#### COLUMBUS MCKINNON CORP

Form 4 July 26, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

burden hours per

response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KUSHNER STEPHANIE K

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

COLUMBUS MCKINNON CORP

[CMCO]

(Check all applicable)

(Middle)

(Zip)

(Last)

3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

140 JOHN JAMES AUDUBON **PARKWAY** 

(Street)

(State)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

07/25/2013

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

AMHERST, NY 14228

(City)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Indirect (I) Owned Following Reported

(Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

or

(A)

Transaction(s) (Instr. 3 and 4)

Common Stock

Code V Amount (D) Price

8,956

D

Common Stock

07/25/2013

325 (1) A \$0 9.281 M

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Deri Secu Acq (A) ( Disp of (I	oosed O) tr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(2)	07/25/2013		M		325	<u>(1)</u>	<u>(1)</u>	Common Stock	325 (1)	\$ 0
Restricted Stock Units	(2)						(3)	(3)	Common Stock	1,300 (3)	
Restricted Stock Units	(2)						<u>(4)</u>	<u>(4)</u>	Common Stock	650 (4)	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Transfer of the second	Director	10% Owner	Officer	Other			
KUSHNER STEPHANIE K							
140 JOHN JAMES AUDUBON PARKWAY	X						
AMHERST, NY 14228							

## **Signatures**

Mary C. O'Connor, Power of Attorney for Stephanie
Kushner

07/26/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 25, 2012 and 325
- (1) restricted stock units became fully vested and non-forfeitable on July 25, 2013. The remaining 325 restricted stock units become fully vested and non-forfeitable on July 25, 2014, if reporting person remains a director of issuer.
- (2) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.

**(3)** 

Reporting Owners 2

### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture; units become fully vested and non-forfeitable 50% on July 22, 2014, 25% on July 22, 2015 and 25% on July 22, 2016, if reporting person remains a director of issuer.

Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 23, 2013. The remaining 650 restricted stock units become fully vested and non-forfeitable 50% on July 23, 2014 and 50% on July 23, 2015, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.