Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

COLUMBU Form 4 February 19	JS MCKINNON	CORP											
FORM									OMB AP	PROVAL			
	UNITED	STATES			AND EX(n, D.C. 202		NGE C	OMMISSION	OMB Number:	3235-0287			
Check t if no lor subject Section Form 4	nger to 16. or							ENEFICIAL OWNERSHIP OF					
Form 5 obligation may con <i>See</i> Inst 1(b).	ons Section 170	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)												
1. Name and Address of Reporting Person <u>*</u> TEVENS TIMOTHY T			Symbol				5. Relationship of Reporting Person(s) to Issuer						
			COLUMBUS MCKINNON CORP [CMCO]					(Check all applicable)					
(Month				onth/Day/Year)				X Director 10% Owner X Officer (give title Other (specify below) below)					
PARKWA			02/17/	2013				Presi	dent & CEO				
	(Street)			nendment, l onth/Day/Ye	Date Original ear)			 6. Individual or Join Applicable Line) _X_ Form filed by Or 	e Reporting Per	son			
AMHERS	Г, NY 14228-119	7						Form filed by Mo Person	re than One Rep	orting			
(City)	(State)	(Zip)	Tal	ble I - Non	-Derivative	Securi	ties Acqu	iired, Disposed of,	or Beneficiall	y Owned			
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Date, if Transactionor Disposed of (D) nstr. 3) any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (A) or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)						
Common Stock				Code V	Amount	(D)	Price	301,611.7103	D				
Common Stock	02/17/2015			А	76.2734 (1)	A	\$ 25.61	301,687.9837 (2)	D				
Common Stcok								6,167.8748 <u>(3)</u>	D				
Common Stock								7,000	Ι	By spouse			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orfNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	8,770
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	45,172
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	33,190
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	31,902
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	53,568
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	44,689
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stcok	38,938

Reporting Owners

Reporting Owner Name / Address

Relationships

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	Director	10% Owner	Officer	Other
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	Х		President & CEO	
Signatures				

Timothy T. Tevens

02/19/2015

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional restricted stock units attributable to dividend reinvestment.

Includes 89,726.9837 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 4,250.4922 shares become fully vested and non-forfeitable on 5/23/2015, 13,435.5795 shares become fully vested and non-forfeitable 50% per year for two years

- (2) beginning 5/21/2015, 15,983.8626 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 27,911 shares become fully vested and non-forfeitable on 5/21/2015, 15,436.0494 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015 and the remaining 12,710 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) All exercisable, pursuant to IRS limitations.
- (5) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years, beginning 5/21/2013, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
- (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.