#### COLUMBUS MCKINNON CORP

Form 4 July 24, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

if no longer

subject to

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading PINCHUK NICHOLAS T Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) 140 JOHN JAMES AUDUBON 07/22/2015 **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting AMHERST, NY 14228 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 26,608 D Stock Common 07/22/2015 327 (1) A \$0 26,935 D M Stock Common

327 (2) A

\$0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

07/23/2015

Stock

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form

27,262

D

(9-02)

### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

# displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                  |
|---|---|---|---|---|---|--|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                  | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| Restricted<br>Stock<br>Units                        | (3)   | 07/22/2015                              |   | M                                       | 327.482   | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock   | 654.964                          |
| Restricted<br>Stock<br>Units                        | (3)   | 07/23/2015                              |   | M                                       | 327.482   | <u>(5)</u>   | <u>(5)</u>         | Common<br>Stock   | 327.482                          |
| Restricted<br>Stock<br>Units                        | (3)   |   |   |   |   | <u>(6)</u>   | <u>(6)</u>         | Common<br>Stock   | 1,509.242                        |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| . 9                            | Director      | 10% Owner | Officer | Other |  |  |
| PINCHUK NICHOLAS T             |               |           |         |       |  |  |
| 140 JOHN JAMES AUDUBON PARKWAY | X             |           |         |       |  |  |
| AMHERST, NY 14228              |               |           |         |       |  |  |

# **Signatures**

Mary C. O'Connor, Power of Attorney for Nicholas T. Pinchuk

07/23/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 327.482 restricted stock units became fully vested on July 22, 2015, of which 327 was issued as common stock and .482 was issued in cash of \$11.37.
- (2) 327.482 restricted stock units became fully vested on July 23, 2015, of which 327 was issued as common stock and .482 was issued in cash of \$11.19.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.

**(4)** 

Reporting Owners 2

### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 22, 2014, 327.482 restricted stock units became fully vested and non-forfeitable on July 22, 2015. The remaining restricted stock units become fully vested and non-forfeitable on July 22, 2016, if reporting person remains a director of issuer.

- Originally a 1,300 restricted stock unit award, 650 restricted stock units became fully vested and non-forfeitable on July 23, 2012, 325 (5) restricted stock units became fully vested and non-forfeitable on July 23, 2014 and 327.482 restricted stock units became fully vested and non-forfeitable on July 23, 2015.
- Subject to forfeiture, units become fully vested and non-forfeitable 50% on July 28, 2015, 25% on July 28, 2016 and 25% on July 28, 2017, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.