Edgar Filing: ENERGY EAST CORP - Form 4

ENERGY E Form 4	EAST CORP										
April 04, 20	005										
FORM		статес	SECU		ND EV		NCE	COMMISSION		APPROVAL	
-	UNITED	SIAILS		shington.			INGE (OMB Number:	3235-0287	
Subject to Section 16. Form 4 or			CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ection 16(a) of the Securities Exchange Act of 1934,							Estimated average burden hours per	
obligation may con <i>See</i> Inst 1(b). (Print or Type	ons Section 17(a nution	a) of the P	ublic U		ding Coi	npan	y Act of	f 1935 or Sectio	n		
KUMP ROBERT D Symb			Symbol	ssuer Name and Ticker or Trading ool ERGY EAST CORP [EAS]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N			of Earliest T		-	L,	(Check all applicable)			
ENERGY	EAST MANAGEN ATION, 52 FARM	MENT		Day/Year)	ansaction			Director X Officer (give below) Vice Presi		% Owner her (specify er & Se	
	(Street)		4. If Ame	endment, Date Original			6. Individual or Joint/Group Filing(Check				
NEW GLC	DUCESTER, ME 0		Filed(Mo	nth/Day/Yea	r)			Applicable Line) _X_ Form filed by (Form filed by M			
(City)		(Zip)						Person			
-		-						uired, Disposed of			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution 1 any (Month/Da	Date, if	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/01/2005			A	7,540	A	\$ 26.12	21,483.3394 (1)	D		
Common Stock								612.8145 <u>(1)</u> (2)	Ι	As Power of Attorney for Mother	
Common Stock								25.8979 <u>(1)</u> <u>(2)</u>	Ι	By Self as Custodian for Daughter	
Common								19.5276 <u>(1)</u> <u>(2)</u>	Ι	By Self as	

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Stock							Cus	todian		
SIOCK							for S			
Common Stock					3,	,579.279 <u>(3)</u> I	By ² Plan	401(k) 1		
Reminder: Re	port on a separ	rate line for each class	s of securities benefic	Persons informat required	s who respond tion contained d to respond u s a currently v	irectly. d to the collectior d in this form are inless the form ralid OMB control	not (9-	474 02)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	 3A. Deemed Execution Date, if any (Month/Day/Year) 	Code	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of St	
Employee Stock Option (right to buy) / SAR (<u>4)</u>	\$ 26.7188					02/19/1999 <u>(5)</u>	02/19/2009	Common Stock	40,0	
Employee Stock Option (right to buy) / SAR (<u>4)</u>	\$ 19.62					02/08/2002 <u>(6)</u>	02/08/2012	Common Stock	60,0	
Employee Stock Option (right to buy) / SAR (4)	\$ 19.1					02/12/2003 <u>(7)</u>	02/12/2013	Common Stock	20,0	
Employee Stock Option (right to buy) /	\$ 23.89					02/12/2004 <u>⁽⁸⁾</u>	02/12/2014	Common Stock	20,0	

SAR (4) Employee Stock Option (right to buy) / SAR (4)	\$ 25.11				10/15/2004 <u>⁽⁹⁾</u>	10/15/2014	Common Stock	50,0
Employee Stock option (right to buy) / SAR (4)	\$ 26.22	04/01/2005	A	11,962	04/01/2005 <u>(10)</u>	04/01/2015	Common Stock	11,9

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KUMP ROBERT D ENERGY EAST MANAGEMENT CORPORATION 52 FARM VIEW ROAD NEW GLOUCESTER, ME 04260			Vice President, Treasurer & Se			

Signatures

Robert D. Kump 04/04/2005

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes equity securities acquired with reinvested dividends which are exempt from reporting under Rule 16a-11.
- (2) Beneficial ownership expressly disclaimed.
- (3) Includes equity securities acquired with participant contributions, Company matching fund contributions, and reinvested dividends pursuant to the common stock fund of the Company's 401(k) Plan which are exempt from reporting.

The Stock Appreciation Right (SAR) was issued in tandem with an Employee Stock Option (right to buy). The exercise of a SAR will result in the corresponding cancellation of the Employee Stock Option (right to buy) to the extent of the number of shares of the

(4) Company's Common Stock as to which SARs are exercised. The exercise of the Employee Stock Option (right to buy) will result in the corresponding cancellation of a SAR to the extent of the number of shares of the Company's Common Stock as to which the Employee Stock Option (right to buy) is exercised.

The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no
(5) more than 33 1/3% on February 19, 1999; (b) in aggregate as to no more than 66 2/3% on January 1, 2000; and (c) on January 1, 2001 as to 100% of all options which have not been previously exercised.

The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 8, 2002; (b) in aggregate as to no more than 66 2/3% on January 1, 2003; and (c) on January 1, 2004 as to 100% of all options which have not been previously exercised.

(7)

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The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 12, 2003; (b) in aggregate as to no more than 66 2/3% on January 1, 2004; and (c) on January 1, 2005 as to 100% of all options which have not been previously exercised.

The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 12, 2004; (b) in aggregate as to no more than 66 2/3% on January 1, 2005; and (c) on January 1, 2006 as to 100% of all options which have not been previously exercised.

The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on October 15, 2004; (b) in aggregate as to no more than 66 2/3% on January 1, 2005; and (c) on January 1, 2006 as to 100% of all options which have not been previously exercised.

The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no
(10) more than 33 1/3% on April 1, 2005; (b) in aggregate as to no more than 66 2/3% on January 1, 2006; and (c) on January 1, 2007 as to 100% of all options which have not been previously exercised.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.