KUMP ROBERT D

Form 4 June 10, 2005

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

**ROAD** 

(Print or Type Responses)

1. Name and Address of Reporting Person \* KUMP ROBERT D

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

**ENERGY EAST CORP [EAS]** 

(Check all applicable)

**ENERGY EAST MANAGEMENT** CORPORATION, 52 FARM VIEW

(Street)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 06/08/2005

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below)

Vice President, Treasurer & Se

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW GLOUCESTER, ME 04260

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acq	uired, Disposed of	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)		Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	06/08/2005		M	30,000	A	\$ 19.62	51,515.0353 (1)	D	
Common Stock	06/08/2005		D	30,000	D	\$ 28.9	21,515.0353 (1)	D	
Common Stock							619.1344 <u>(1)</u>	I	As Power of Attorney for Mother
Common Stock							26.1649 (1) (2)	I	By Self as Custodian for

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			Daughter				
Common Stock	19.729 (1) (2)	I	By Self as Custodian for Son				
Common Stock	3,640.1778 (3)	I	By 401(k) Plan				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.							
	Persons who respond to the collectinformation contained in this form required to respond unless the for displays a currently valid OMB connumber.	are not m	SEC 1474 (9-02)				
Table H. Davinsking Committee Access	ind Dimend of an Dangfaialle Orange						

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDeri Secu Acqu or D (D)	urities uired (A) isposed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (right to buy) / SAR (4)	\$ 26.7188						02/19/1999(5)	02/19/2009	Common Stock	40,0
Employee Stock Option (right to buy) / SAR (4)	\$ 19.62	06/08/2005		M		30,000	02/08/2002(6)	02/08/2012	Common Stock	30,0
Employee Stock Option (right to buy) / SAR (4)	\$ 23.89						02/12/2004(7)	02/12/2014	Common Stock	20,0
Employee Stock Option	\$ 25.11						10/15/2004(8)	10/15/2014	Common Stock	50,0

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(right to buy)/

**SAR** (4)

**Employee** 

Stock

buy)/ SAR (4)

option (right to

\$ 26.22

04/01/2005(9) 04/01/2015

Common Stock

# **Reporting Owners**

Reporting Owner Name / Address

Relationships 10% Owner Officer Other Director

KUMP ROBERT D **ENERGY EAST MANAGEMENT CORPORATION** 52 FARM VIEW ROAD NEW GLOUCESTER, ME 04260

Vice President, Treasurer & Se

### Signatures

Robert D. Kump 06/10/2005

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes equity securities acquired with reinvested dividends which are exempt from reporting under Rule 16a-11.
- (2) Beneficial ownership expressly disclaimed.
- Includes equity securities acquired with participant contributions, Company matching fund contributions, and reinvested dividends pursuant to the common stock fund of the Company's 401(k) Plan which are exempt from reporting.
  - The Stock Appreciation Right (SAR) was issued in tandem with an Employee Stock Option (right to buy). The exercise of a SAR will result in the corresponding cancellation of the Employee Stock Option (right to buy) to the extent of the number of shares of the
- (4) Company's Common Stock as to which SARs are exercised. The exercise of the Employee Stock Option (right to buy) will result in the corresponding cancellation of a SAR to the extent of the number of shares of the Company's Common Stock as to which the Employee Stock Option (right to buy) is exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more (5) than 33 1/3% on February 19, 1999; (b) in aggregate as to no more than 66 2/3% on January 1, 2000; and (c) on January 1, 2001 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more (6) than 33 1/3% on February 8, 2002; (b) in aggregate as to no more than 66 2/3% on January 1, 2003; and (c) on January 1, 2004 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more (7) than 33 1/3% on February 12, 2004; (b) in aggregate as to no more than 66 2/3% on January 1, 2005; and (c) on January 1, 2006 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on October 15, 2004; (b) in aggregate as to no more than 66 2/3% on January 1, 2005; and (c) on January 1, 2006 as to 100% of all options which have not been previously exercised.

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The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on April 1, 2005; (b) in aggregate as to no more than 66 2/3% on January 1, 2006; and (c) on January 1, 2007 as to 100% of all options which have not been previously exercised.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.