

Edgar Filing: ADVANCED REFRIGERATION TECHNOLOGIES INC - Form 3

ADVANCED REFRIGERATION TECHNOLOGIES INC

Form 3

January 09, 2002

OMB APPROVAL

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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

MCEWAN RICK R.

(Last) (First) (Middle)

1100 WEST KATELLA AVENUE SUITE J

(Street)

ORANGE CALIFORNIA 92867

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)

February 2, 2001

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

ADVANCED REFRIGERATION TECHNOLOGIES, INC. ARGT

5. Relationship of Reporting Person to Issuer
(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President

6. If Amendment, Date of Original (Month/Day/Year)

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7. Individual or Joint/Group Filing (Check applicable line)

Form Filed by 1 Reporting Person

Form Filed by More than 1 Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indi (Instr. 4) |
|------------------------------------|---|---|---------------------------------|
|------------------------------------|---|---|---------------------------------|

| | | | |
|----------------------------|--------|---|--|
| COMMON STOCK, NO PAR VALUE | 40,100 | D | |
|----------------------------|--------|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)
SEC 1473 (3-99)

FORM 3 (continued)

Table II -- Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security |
|--|--|-----------------|---|--|
| | Date Exercisable | Expiration Date | | |
| COMMON STOCK | 5/1/02 | 5/1/06 | STOCK OPTION 134,000 | \$0.30 |
| COMMON STOCK | 8/1/02 | 5/1/06 | STOCK OPTION 33,000 | \$0.30 |
| COMMON STOCK | 11/1/02 | 5/1/06 | STOCK OPTION 33,000 | \$0.30 |
| COMMON STOCK | 2/1/03 | 5/1/06 | STOCK OPTION 33,000 | \$0.30 |
| COMMON STOCK | 5/1/03 | 5/1/06 | STOCK OPTION 34,000 | \$0.30 |
| COMMON STOCK | 8/1/03 | 5/1/06 | STOCK OPTION 33,000 | \$0.30 |
| COMMON STOCK | 11/1/03 | 5/1/06 | STOCK OPTION 33,000 | \$0.30 |
| COMMON STOCK | 2/1/04 | 5/1/06 | STOCK OPTION 33,000 | \$0.30 |
| COMMON STOCK | 5/1/04 | 5/1/06 | STOCK OPTION 34,000 | \$0.30 |

Explanation of Responses:

/s/ RICK R. MCEWAN

JULY 11, 2001

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.