VON SCHACK WESLEY W

Form 4/A February 21, 2003

## FORM 4

obligations may continue.

See Instruction 1(b).

Check this box if no longer subject to Section 16.

#### **OMB APPROVAL**

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response...0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

| 1. Name and Ado  | •       |                      |   | ame <b>and</b> Ticke<br>ast Corporatio | Po<br>to     | 6. Relationship of Reporting<br>Person(s)<br>to Issuer (Check all applicable) |              |   |   |  |  |
|--|---------|----------------------|---|--|--------------|---|--------------|---|---|--|--|
| (Last) Energy East Co P.O. Box 12904                       |         | of Reporting Person, |   |  |              |   | h/Day/Year   | X Director 10% Owner X Officer (give title below) Other (specify below) |   |  |  |
|  |         |                      |   |  |              |   |              |   | hairman, Pres<br>xecutive Offic   | sident & Chief<br>er_                  |  |
| (Street) Albany, NY 12212-2904                             |         |                      |   |  |              |   | Date<br>(Mon | of Original ((Cth/Day/Year) X uary 12, 2003                             | 7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One |  |  |
| (City) (State) (Zip)                                       |         |                      |   | Tab                                    | le I Non-Dei | rivativ   | e Secui      | Reporting Person Securities Acquired, Disposed of, or Beneficially Own  |   |  |  |
| 1. Title of 2. Trans- 2A. Deemed Security action Execution |         |                      | 3. Trans-<br>action de Code (Instr. 3, 4 & 5)  (Instr. 8)  4. Securities Acquired (Instr. 3, 4 & 5) |  |              |   |              | 5. Amount of Securities Beneficially Owned Follow-                      | 6. Owner-<br>ship Form:   | 7. Nature of<br>Indirect<br>Beneficial |  |
| Year) (Month/Day/<br>Year)                                 |         |                      |   | V Amount (A) or (D)                    |              |   | Price        | ing Reported<br>Transactions(s)<br>(Instr. 3 & 4)                       | (Instr. 4)  | (Instr. 4)                             |  |
| Common Stock   | 2/13/03 |                      | A   |  | 70680.0000   | A   |              | 98680.000   | D   |  |  |
| Common Stock   |         |                      |   |  |              |   |              | 4874.2200   | l I   | By 401(k) Plan                         |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| (c.g., puts, cans, warrants, options, convertible securities) |            |        |           |        |                 |                     |                        |             |              |        |   |  |  |
|---|------------|--------|-----------|--------|-----------------|---------------------|------------------------|-------------|--------------|--------|---|--|--|
| 1. Title of   | 2. Conver- | 3.     | 3A.       | 4.     | 5. Number of    | 6. Date Exercisable | 7. Title and Amount of | 8. Price of | 9. Number of | 10.    | 1 |  |  |
| Derivative  | sion or    | Trans- | Deemed    | Trans- | Derivative      | and Expiration      | Underlying Securities  | Derivative  | Derivative   | Owner- | 0 |  |  |
| Security  | Exercise   | action | Execution | action | Securities      | Date                | (Instr. 3 & 4)         | Security    | Securities   | ship   | В |  |  |
|   | Price of   | Date   | Date,     | Code   | Acquired (A) or | (Month/Day/         |                        | (Instr. 5)  | Beneficially | Form   | О |  |  |
|   |            |        |           |        |                 |                     |                        |             | i P          |        |   |  |  |

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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|   |         | (Month/ | if any<br>(Month/<br>Day/<br>Year) | (Instr.<br>8)<br>Code | (In | nstr. 3, 4 & | & 5)<br>(D) | Date<br>Exer-cisable | Expira-<br>tion<br>Date |                 | Amount or<br>Number of<br>Shares | Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | of Deriv-<br>ative<br>Security:<br>Direct<br>(D)<br>or<br>Indirect<br>(I) |
|---|---------|---------|------------------------------------|-----------------------|-----|--------------|-------------|----------------------|-------------------------|-----------------|----------------------------------|---|---|
| Employee<br>Stock<br>Option<br>(right to<br>buy) /<br>SAR (2) | 17.9375 |         |                                    |                       |     |              |             | (3)                  |                         | Common<br>Stock | 200000.0000                      | 200000.0000   | (Instr. 4)<br><b>D</b>  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) /<br>SAR (2) | 26.7188 |         |                                    |                       |     |              |             | (4)                  |                         | Common<br>Stock | 200000.0000                      | 200000.0000   | D   |
| Employee<br>Stock<br>Option<br>(right to<br>buy) /<br>SAR (2) | 23.0625 |         |                                    |                       |     |              |             | (5)                  |                         | Common<br>Stock | 200000.0000                      | 200000.0000   | D   |
| Employee<br>Stock<br>Option<br>(right to<br>buy) /<br>SAR (2) | 18.5500 |         |                                    |                       |     |              |             | (6)                  |                         | Common<br>Stock | 200000.0000                      | 200000.0000   | D   |
| Employee<br>Stock<br>Option<br>(right to<br>buy) /<br>SAR (2) | 20.1800 |         |                                    |                       |     |              |             | (7)                  |                         | Common<br>Stock | 200000.0000                      | 200000.0000   | ) D   |
| Employee<br>Stock<br>Option<br>(right to<br>buy) /<br>SAR (2) | 19.6200 |         |                                    |                       |     |              |             | (8)                  |                         | Common<br>Stock | 400000.0000                      | 400000.0000   | D D   |
| Employee<br>Stock<br>Option<br>(right to<br>buy) /<br>SAR (2) | 19.1000 | 2/12/03 |                                    | A                     | 27  | 70000.000    |             | (9)                  |                         | Common<br>Stock | 270000.0000                      | 270000.0000   | D D   |

Explanation of Responses:

<sup>(1)</sup> Includes equity securities acquired with participant contributions, Company matching fund contributions, and reinvested dividends pursuant to the common stock fund of the Company's 401(k) Plan which are exempt from reporting. This balance is as of June 30, 2002, the date of my most recent plan account statement.

<sup>(2)</sup> The Stock Appreciation Right (SAR) was issued in tandem with an Employee Stock Option (right to buy). The exercise of a SAR will result in the corresponding cancellation of the Employee Stock Option (right to buy) to the extent of the number of shares of the Company's Common Stock as to which SARs are exercised. The exercise of the Employee Stock Option (right to buy) will result in the corresponding cancellation of a SAR to the extent of the number of shares of the Company's Common Stock as to which the Employee Stock Option (right to buy) is exercised.

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- (3) The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on January 1, 1999; (b) in aggregate as to no more than 66 2/3% on January 1, 2000; and (c) on January 1, 2001 as to 100% of all options which have not been previously exercised.
- (4) The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 19, 1999; (b) in aggregate as to no more than 66 2/3% on January 1, 2000; and (c) on January 1, 2001 as to 100% of all options which have not been previously exercised.
- (5) The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 11, 2000; (b) in aggregate as to no more than 66 2/3% on January 1, 2001; and (c) on January 1, 2002 as to 100% of all options which have not been previously exercised.
- (6) The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 1, 2001; (b) in aggregate as to no more than 66 2/3% on January 1, 2002; and (c) on January 1, 2003 as to 100% of all options which have not been previously exercised.
- (7) The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on May 29, 2001; (b) in aggregate as to no more than 66 2/3% on January 1, 2002; and (c) on January 1, 2003 as to 100% of all options which have not been previously exercised.
- (8) The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 8, 2002; (b) in aggregate as to no more than 66 2/3% on January 1, 2003; and (c) on January 1, 2004 as to 100% of all options which have not been previously exercised.
- (9) The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on February 12, 2003; (b) in aggregate as to no more than 66 2/3% on January 1, 2004; and (c) on January 1, 2005 as to 100% of all options which have not been previously exercised.

By: /s/ Wesley W. von Schack 2/21/03
Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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