

ACME UNITED CORP

Form 4

March 27, 2017

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**JOHNSEN WALTER C**

(Last) (First) (Middle)

**C/O ACME UNITED CORP, 55  
WALLS DRIVE**

(Street)

**FAIRFIELD, CT 06824**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**ACME UNITED CORP [ACU]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**03/06/2017**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)

CEO and Chairman of the Board

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	03/06/2017		M		300	A \$ 14.93	305,806	D	
Common Stock	03/06/2017		S		300	D \$ 24.94	305,506	D	
Common Stock	03/08/2017		M		100	A \$ 14.93	305,606	D	
Common Stock	03/08/2017		S		100	D \$ 24.77	305,506	D	
Common Stock	03/08/2017		M		200	A \$ 14.93	305,706	D	

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Common Stock	03/08/2017	S	200	D	\$ 25.1	305,506	D
Common Stock	03/08/2017	M	300	A	\$ 14.93	305,806	D
Common Stock	03/08/2017	S	300	D	\$ 24.9	305,506	D
Common Stock	03/08/2017	M	300	A	\$ 14.93	305,806	D
Common Stock	03/08/2017	S	300	D	\$ 24.85	305,506	D
Common Stock	03/08/2017	M	300	A	\$ 14.93	305,806	D
Common Stock	03/08/2017	S	300	D	\$ 24.8	305,506	D
Common Stock	03/08/2017	M	304	A	\$ 14.93	305,810	D
Common Stock	03/08/2017	S	304	D	\$ 24.75	305,506	D
Common Stock	03/08/2017	M	400	A	\$ 14.93	305,906	D
Common Stock	03/08/2017	S	400	D	\$ 25.05	305,506	D
Common Stock	03/08/2017	M	400	A	\$ 14.93	305,906	D
Common Stock	03/08/2017	S	400	D	\$ 25	305,506	D
Common Stock	03/13/2017	M	7	A	\$ 14.93	305,513	D
Common Stock	03/13/2017	S	7	D	\$ 25.11	305,506	D
Common Stock	03/13/2017	M	100	A	\$ 14.93	305,606	D
Common Stock	03/13/2017	S	100	D	\$ 25.23	305,506	D
Common Stock	03/13/2017	M	210	A	\$ 14.93	305,716	D
Common Stock	03/13/2017	S	210	D	\$ 25.14	305,506	D
Common Stock	03/13/2017	M	493	A	\$ 14.93	305,999	D
	03/13/2017	S	493	D	\$ 25.1	305,506	D

Common Stock							
Common Stock	03/13/2017	M	1,500	A	\$ 14.93	307,006	D
Common Stock	03/13/2017	S	1,500	D	\$ 25.1	305,506	D
Common Stock	03/13/2017	M	3,390	A	\$ 14.93	308,896	D
Common Stock	03/13/2017	S	3,390	D	\$ 25.1	305,506	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 14.93	03/06/2017		M		300		06/13/2011	06/12/2017	Common Stock	300
Employee Stock Option	\$ 14.93	03/08/2017		M		2,304		06/13/2011	06/12/2017	Common Stock	2,304
Employee Stock Option	\$ 14.93	03/13/2017		M		5,700		06/13/2011	06/12/2017	Common Stock	5,700

## Reporting Owners

**Reporting Owner Name / Address**

## Relationships

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Director	10% Owner	Officer	Other
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JOHNSEN WALTER C  
C/O ACME UNITED CORP  
55 WALLS DRIVE  
FAIRFIELD, CT 06824

X	X	CEO and Chairman of the Board
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## Signatures

/s/ Walter C.  
Johnsen

03/27/2017

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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