

Pierce Robert W Jr
Form 4
February 07, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Pierce Robert W Jr

2. Issuer Name and Ticker or Trading Symbol
UFP TECHNOLOGIES INC [UFPT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

C/O UFP TECHNOLOGIES, INC., 100 HALE STREET

3. Date of Earliest Transaction (Month/Day/Year)
02/06/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEWBURYPORT, MA 01950

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock, \$.01 Par Value | 02/06/2018 | | M | | 1,060 (2) | A | \$ 12.37 39,150 D |
| Common Stock, \$.01 Par Value | 02/06/2018 | | M | | 3,500 (2) | A | \$ 10.14 42,650 D |
| Common Stock, \$.01 Par Value | 02/06/2018 | | M | | 4,734 (1) | A | \$ 4.13 47,384 D |
| Common Stock, \$.01 | 02/06/2018 | | M | | 3,500 (1) | A | \$ 4.23 50,884 D |

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Par Value

| | | | | | | | |
|-------------------------------------|------------|---|---------------------|---|---------|--------|---|
| Common Stock, \$.01 Par Value | 02/06/2018 | M | <u>2,907</u> (1) | A | \$ 9.09 | 53,791 | D |
|-------------------------------------|------------|---|---------------------|---|---------|--------|---|

| | | | | | | | |
|-------------------------------------|------------|---|---------------------|---|---------|--------|---|
| Common Stock, \$.01 Par Value | 02/06/2018 | M | <u>3,500</u> (1) | A | \$ 9.25 | 57,291 | D |
|-------------------------------------|------------|---|---------------------|---|---------|--------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 12.37 | 02/06/2018 | | M | 1,060 | 06/04/2008 06/04/2018 | Common Stock, \$.01 Par Value | 1,060 | |
| Stock Option (Right to Buy) | \$ 10.14 | 02/06/2018 | | M | 3,500 | 07/01/2008 07/01/2018 | Common Stock, \$.01 Par Value | 3,500 | |
| Stock Option (Right to Buy) | \$ 4.13 | 02/06/2018 | | M | 4,734 | 06/03/2009 06/03/2019 | Common Stock, \$.01 Par Value | 4,734 | |
| Stock Option (Right to Buy) | \$ 4.23 | 02/06/2018 | | M | 3,500 | 07/01/2009 07/01/2019 | Common Stock, \$.01 Par Value | 3,500 | |
| Stock Option | \$ 9.09 | 02/06/2018 | | M | 2,907 | 06/09/2010 06/09/2020 | Common Stock, | 2,907 | |

| | | | | | | | | | |
|-----------------------------|---------|------------|---|-------|------------|------------|--|--|--------------------------------------|
| (Right to Buy) | | | | | | | | | \$.01 Par Value |
| Stock Option (Right to Buy) | \$ 9.25 | 02/06/2018 | M | 3,500 | 07/01/2010 | 07/01/2020 | | | Common Stock, \$.01 Par Value 3,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Pierce Robert W Jr C/O UFP TECHNOLOGIES, INC. 100 HALE STREET NEWBURYPORT, MA 01950 | X | | | |

Signatures

Patrick J. Kinney, Jr. as attorney-in-fact for Robert W. Pierce, Jr. 02/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares issued under the issuer's 2009 Non-Employee Director Stock Incentive Plan
- (2) Represents shares issued under the issuer's 1998 Directors Stock Option Incentive Plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.