**ENERGY EAST CORP** Form 4

April 02, 2003

## FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

> Filed By Romeo and Dye's Section 16 Filer www.section16.net

					me and Tic t Corporat		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle)				rting	ntification I g Person, voluntary)	Numbe	Mo	Statement for onth/Day/Year ril 1, 2003	X Director			
(Street) Cooperstown, NY 13326							Dat		Individual or Joint/Group Filing Check Applicable Line) Form filed by One Reporting terson Form filed by More than One Reporting Person			
(City)	(State) (	(Zip)	T	able	I Non-E	<b>Derivat</b>	ive Sec	curities Acquired, Dispo	sed of, or Bene	ficially Owned		
1. Title of Security (Instr. 3)	2. Trans- action			es Acqu posed o	iired	5. Amount of Securities Beneficially	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial				
	(Month/ Day/ Year)	,	Code	V	Amount	(A) or (D)	Price	· ·	or Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
Common Stock								2000.000	00 D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

#### **FORM 4 (continued)** Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g. nuts calls warrants ontions convertible securities)

(c.g., puts, cans, warrants, options, convertible securities)												
Title of	2. Conver-	3.	3A.	4.	5. Number of	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Natı	
rivative	sion or	Trans-	Deemed	Trans-	Derivative	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indir	
urity	Exercise	action	Execution	action	Securities	Date	Underlying	Security	Securities	ship	Benefic	
	Price of	Date	Date,	Code	Acquired (A)	(Month/Day/	Securities	(Instr. 5)	Beneficially	Form	Owners	
str. 3)	Derivative		if any		or Disposed	Year)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4	
	Security	(Month/	(Month/	(Instr.	of (D)				Following	ative		
		-	-	8)					Reported	Security:		
		Year)	Year)		(Instr. 3, 4 &				Transaction(s)	Direct		
	ivative urity etr. 3)	ivative sion or Exercise Price of Derivative Security	Citle of 2. Conver- 3. Transurity Exercise action Price of Derivative Security (Month/Day/	Title of 2. Conver- 3. 3A.  ivative sion or Trans- Deemed Execution Price of Date Date, if any Security (Month/ Day/ Day/	Title of 2. Conver- 3. 3A. 4. Trans- 2. Exercise action Date Date, Code if any Security Security (Month/ Day/ B) (Instr. Day/ B)	Title of 2. Conversive sion or Unity Exercise action Date Date, Price of Derivative Security Security (Month/ Day/ Day/ Security Security Security Date Date, Date Date, Code Of Disposed Of (Instr. Day/ Security	Title of 2. Conversive sion or Transsurity Exercise action Date Derivative Price of Derivative Security (Month/Day/ Month/Day/ Day/ Day/ Security Security (Month/Day/ Security Day/ Security Security Security (Month/Day/ Security	Title of 2. Conver- 3. 3A. 4. 5. Number of 6. Date Exercisable and Expiration Date Securities Price of Date Derivative Security (Month/ Day/ Day/ Day/ Security Security Security (Month/ Day/ Security S	Title of 2. Conver- 3. 3A. 4. 5. Number of 6. Date Exercisable 7. Title and Amount of Derivative sion or Underlying Exercise action Price of Date Date, Itr. 3) Derivative Security (Month/ Day/ Security (Month/ Day/ Security Secu	Title of 2. Conver- 3.	Title of 2. Conver- 3. 3A. 4. 5. Number of 6. Date Exercisable and Expiration Date Exercise action Date Date, Code Securities Or Disposed or Disposed Or Disposed Or Day/ Day/ 8)  5. Number of 6. Date Exercisable Amount of Derivative Security Securities Securities (Instr. 3 & 4)  6. Date Exercisable Amount of Derivative Securities Securities (Instr. 5)  7. Title and Amount of Derivative Security Securities (Instr. 5)  8. Price of Derivative Security Securities (Instr. 5)  9. Number of Derivative Security Securities (Instr. 5)	

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

## Edgar Filing: ENERGY EAST CORP - Form 4

					5)						(Instr. 4)	(D)	
				Code V	(A)	` /		Expira-		Amount		or Indirect	
							Exer-cisable			or		(I)	
								Date		Number of		(Instr. 4)	
										Shares			
Phantom	1 for 1	4/1/03		A	400.0000		(1)		Common	400.0000	9454.8078 <sup>(2)</sup>	D	
Shares									Stock				

## Explanation of Responses:

- (1) Phantom stock granted and dividend phantom stock credited to a participant's account under the Company's Director Share Plan may be settled in cash only after the participant ceases to serve as a director of the Company.
- (2) Includes dividend phantom stock acquired pursuant to the dividend reinvestment feature included in the Company's Director Share Plan which is exempt from reporting under Rule 16a-11.

By: /s/ Walter G. Rich 4/2/03
Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).