Dockman William C. Form 4 February 26, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

January 31,

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Dockman William C.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

W R GRACE & CO [GRA]

(Check all applicable)

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director X\_ Officer (give title

10% Owner Other (specify

C/O W. R. GRACE & CO., 7500

02/22/2019

VP and Controller

**GRACE DRIVE** 

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

below)

COLUMBIA, MD 21044

(City)	(State) (	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par	02/22/2010		Code V	Amount	(D)	Price	(Instr. 3 and 4)	D	
value \$0.01 per share	02/22/2019		M	292 (1)	A	(2)	4,937	D	
Common Stock, par value \$0.01 per share	02/22/2019		F	113	D	\$ 77.65	4,824	D	
Common Stock, par value \$0.01 per share	02/25/2019		M	274 (3)	A	(2)	5,098	D	

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Common Stock, par value \$0.01 per share	02/25/2019	F	89	D	\$ 77.93	5,009	D
Common Stock, par value \$0.01 per share	02/25/2019	A	1,971	A	\$0	6,980	D
Common Stock, par value \$0.01 per share	02/25/2019	F	684	D	\$ 77.93	6,296	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onDerivative E		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(4)</u>	02/22/2019		M		292	<u>(1)</u>	<u>(1)</u>	Common Stock	292
Employee Stock Options (rights to buy)	\$ 78.115	02/25/2019		A	6,881		<u>(5)</u>	02/25/2029	Common Stock	6,881
Restricted Stock Units	<u>(4)</u>	02/25/2019		A	1,600		<u>(6)</u>	<u>(6)</u>	Common Stock	1,600
Restricted Stock Units	<u>(4)</u>	02/25/2019		M		274	(3)	(3)	Common Stock	274

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dockman William C. C/O W. R. GRACE & CO. 7500 GRACE DRIVE COLUMBIA, MD 21044

VP and Controller

### **Signatures**

/s/ Sean E. Dempsey, Attorney-in-Fact

02/26/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 23, 2017, the reporting person was granted 875 stock units, vesting annually in three substantially equal installments beginning on February 23, 2018, 292 of these stock units vested and settled on February 22, 2019.
- (2) Restricted stock units converted into Common Stock on a one-for-one basis.
- (3) On February 25, 2016, the reporting person was granted 822 stock units, vesting annually in three substantially equal installments beginning on February 24, 2017, 274 of these stock units vested and settled on February 25, 2019.
- (4) Each Restricted Stock Unit represents a contingent right to receive one share of Common Stock or at the Issuer's election, the cash value thereof.
- (5) Options become exercisable in three substantially equal annual installments beginning on February 25, 2020.
- (6) Restricted Stock Units vest in three substantially equal annual installments beginning on February 25, 2020 and will be settled within 60 days of those vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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