

DIVIDEND & INCOME FUND
Form N-PX/A
June 13, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM N-PX/A

ANNUAL REPORT OF PROXY VOTING RECORD OF
REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-08747

Dividend and Income Fund
(Exact name of registrant as specified in charter)

11 Hanover Square, 12th Floor, 10005
New York, NY
(Address of principal executive offices) (Zip code)

John F. Ramirez, Esq.
Dividend and Income Fund
11 Hanover Square, 12th Floor
New York, NY 10005

Registrant's telephone number, including area code: 1-212-785-0900

Date of fiscal year end: 12/31

Date of reporting period: 07/01/2012 - 06/30/2013

Item 1. Proxy Voting Record

The information contained herein discloses the following information for each matter relating to a portfolio security considered at any shareholder meeting held during the period covered by the report and with respect to which the registrant was entitled to vote:

- (a) The name of the issuer of the portfolio security;
- (b) The exchange ticker symbol of the portfolio security;
- (c) The Council on Uniform Securities Identification Procedures ("CUSIP") number for the portfolio security;
- (d) The shareholder meeting date;

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- (e) A brief identification of the matter voted on;
- (f) Whether the matter was proposed by the issuer or by a security holder;
- (g) Whether the registrant cast its vote on the matter;
- (h) How the registrant cast its vote; and
- (i) Whether the registrant cast its vote for or against management.

Dividend and Income Fund

3M COMPANY

Ticker: MMM Security ID: 88579Y101
 Meeting Date: MAY 14, 2013 Meeting Type: Annual
 Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Linda G. Alvarado | For | For | Management |
| 1b | Elect Director Vance D. Coffman | For | For | Management |
| 1c | Elect Director Michael L. Eskew | For | For | Management |
| 1d | Elect Director W. James Farrell | For | For | Management |
| 1e | Elect Director Herbert L. Henkel | For | For | Management |
| 1f | Elect Director Muhtar Kent | For | For | Management |
| 1g | Elect Director Edward M. Liddy | For | For | Management |
| 1h | Elect Director Robert S. Morrison | For | For | Management |
| 1i | Elect Director Aulana L. Peters | For | For | Management |
| 1j | Elect Director Inge G. Thulin | For | For | Management |
| 1k | Elect Director Robert J. Ulrich | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 5 | Study Feasibility of Prohibiting Political Contributions | Against | Against | Shareholder |

ABBOTT LABORATORIES

Ticker: ABT Security ID: 002824100
 Meeting Date: APR 26, 2013 Meeting Type: Annual

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Record Date: FEB 27, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Robert J. Alpern | For | For | Management |
| 1.2 | Elect Director Roxanne S. Austin | For | For | Management |
| 1.3 | Elect Director Sally E. Blount | For | For | Management |
| 1.4 | Elect Director W. James Farrell | For | For | Management |
| 1.5 | Elect Director Edward M. Liddy | For | For | Management |
| 1.6 | Elect Director Nancy McKinstry | For | For | Management |
| 1.7 | Elect Director Phebe N. Novakovic | For | For | Management |
| 1.8 | Elect Director William A. Osborn | For | For | Management |
| 1.9 | Elect Director Samuel C. Scott, III | For | For | Management |
| 1.10 | Elect Director Glenn F. Tilton | For | For | Management |
| 1.11 | Elect Director Miles D. White | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Label and Eliminate GMO Ingredients in Products | Against | Against | Shareholder |
| 5 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 6 | Require Independent Board Chairman | Against | Against | Shareholder |
| 7 | Stock Retention/Holding Period | Against | For | Shareholder |
| 8 | Cease Compliance Adjustments to Performance Criteria | Against | For | Shareholder |
| 9 | Pro-rata Vesting of Equity Awards | Against | For | Shareholder |

ABBVIE INC.

Ticker: ABBV Security ID: 00287Y109
 Meeting Date: MAY 06, 2013 Meeting Type: Annual
 Record Date: MAR 08, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|----------|-----------|------------|
| 1.1 | Elect Director William H.L. Burnside | For | For | Management |
| 1.2 | Elect Director Edward J. Rapp | For | For | Management |
| 1.3 | Elect Director Roy S. Roberts | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 5 | Approve Omnibus Stock Plan | For | For | Management |

ACE LIMITED

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Ticker: ACE Security ID: H0023R105
 Meeting Date: MAY 16, 2013 Meeting Type: Annual
 Record Date: APR 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Robert M. Hernandez as Director | For | For | Management |
| 1.2 | Elect Peter Menikoff as Director | For | For | Management |
| 1.3 | Elect Robert Ripp as Director | For | For | Management |
| 1.4 | Elect Theodore E. Shasta as Director | For | For | Management |
| 2 | Declassify the Board of Directors | For | For | Management |
| 3.1 | Approve Annual Report | For | For | Management |
| 3.2 | Accept Statutory Financial Statements | For | For | Management |
| 3.3 | Accept Consolidated Financial Statements | For | For | Management |
| 4 | Approve Allocation of Income and Dividends | For | For | Management |
| 5 | Approve Discharge of Board and Senior Management | For | For | Management |
| 6.1 | Ratify PricewaterhouseCoopers AG as Auditors | For | For | Management |
| 6.2 | Ratify PricewaterhouseCoopers LLP as Independent Registered Public Accounting Firm as Auditors | For | For | Management |
| 6.3 | Ratify BDO AG as Special Auditors | For | For | Management |
| 7 | Amend Omnibus Stock Plan | For | For | Management |
| 8 | Approve Dividend Distribution From Legal Reserves Through Reduction of Par Value | For | For | Management |
| 9 | Advisory Vote to ratify Named Executive Officers' Compensation | For | For | Management |

ALTRIA GROUP, INC.

Ticker: MO Security ID: 02209S103
 Meeting Date: MAY 16, 2013 Meeting Type: Annual
 Record Date: MAR 25, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Gerald L. Baliles | For | For | Management |
| 1.2 | Elect Director Martin J. Barrington | For | For | Management |
| 1.3 | Elect Director John T. Casteen, III | For | For | Management |
| 1.4 | Elect Director Dinyar S. Devitre | For | For | Management |
| 1.5 | Elect Director Thomas F. Farrell, II | For | For | Management |
| 1.6 | Elect Director Thomas W. Jones | For | For | Management |
| 1.7 | Elect Director Debra J. Kelly-Ennis | For | For | Management |
| 1.8 | Elect Director W. Leo Kiely, III | For | For | Management |

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| | | | | |
|------|--|---------|-----|-------------|
| 1.9 | Elect Director Kathryn B. McQuade | For | For | Management |
| 1.10 | Elect Director George Munoz | For | For | Management |
| 1.11 | Elect Director Nabil Y. Sakkab | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

AMGEN INC.

Ticker: AMGN Security ID: 031162100
 Meeting Date: MAY 22, 2013 Meeting Type: Annual
 Record Date: MAR 25, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director David Baltimore | For | For | Management |
| 1.2 | Elect Director Frank J. Biondi, Jr. | For | For | Management |
| 1.3 | Elect Director Robert A. Bradway | For | For | Management |
| 1.4 | Elect Director Francois de Carbonnel | For | For | Management |
| 1.5 | Elect Director Vance D. Coffman | For | For | Management |
| 1.6 | Elect Director Robert A. Eckert | For | For | Management |
| 1.7 | Elect Director Rebecca M. Henderson | For | For | Management |
| 1.8 | Elect Director Frank C. Herringer | For | For | Management |
| 1.9 | Elect Director Tyler Jacks | For | For | Management |
| 1.10 | Elect Director Gilbert S. Omenn | For | For | Management |
| 1.11 | Elect Director Judith C. Pelham | For | For | Management |
| 1.12 | Elect Director Leonard D. Schaeffer | For | For | Management |
| 1.13 | Elect Director Ronald D. Sugar | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |

ANALOG DEVICES, INC.

Ticker: ADI Security ID: 032654105
 Meeting Date: MAR 13, 2013 Meeting Type: Annual
 Record Date: JAN 11, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|----------------------------------|---------|-----------|------------|
| 1a | Elect Director Ray Stata | For | For | Management |
| 1b | Elect Director Jerald G. Fishman | For | For | Management |
| 1c | Elect Director James A. Champy | For | For | Management |
| 1d | Elect Director John C. Hodgson | For | For | Management |

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| | | | | |
|----|--|-----|-----|------------|
| 1e | Elect Director Yves-Andre Istel | For | For | Management |
| 1f | Elect Director Neil Novich | For | For | Management |
| 1g | Elect Director F. Grant Saviers | For | For | Management |
| 1h | Elect Director Paul J. Severino | For | For | Management |
| 1i | Elect Director Kenton J. Sicchitano | For | For | Management |
| 1j | Elect Director Lisa T. Su | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Approve Executive Incentive Bonus Plan | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |

AT&T INC.

Ticker: T Security ID: 00206R102
 Meeting Date: APR 26, 2013 Meeting Type: Annual
 Record Date: FEB 27, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Randall L. Stephenson | For | For | Management |
| 1.2 | Elect Director Gilbert F. Amelio | For | For | Management |
| 1.3 | Elect Director Reuben V. Anderson | For | For | Management |
| 1.4 | Elect Director James H. Blanchard | For | For | Management |
| 1.5 | Elect Director Jaime Chico Pardo | For | For | Management |
| 1.6 | Elect Director Scott T. Ford | For | For | Management |
| 1.7 | Elect Director James P. Kelly | For | For | Management |
| 1.8 | Elect Director Jon C. Madonna | For | For | Management |
| 1.9 | Elect Director Michael B. McCallister | For | For | Management |
| 1.10 | Elect Director John B. McCoy | For | For | Management |
| 1.11 | Elect Director Joyce M. Roche | For | For | Management |
| 1.12 | Elect Director Matthew K. Rose | For | For | Management |
| 1.13 | Elect Director Laura D'Andrea Tyson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Stock Purchase and Deferral Plan | For | For | Management |
| 5 | Report on Political Contributions | Against | For | Shareholder |
| 6 | Report on Reducing Lead Battery Health Hazards | Against | For | Shareholder |
| 7 | Submit Severance Agreement (Change-in-Control) to Shareholder Vote | Against | For | Shareholder |
| 8 | Require Independent Board Chairman | Against | Against | Shareholder |

AVALONBAY COMMUNITIES, INC.

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Ticker: AVB Security ID: 053484101
 Meeting Date: MAY 22, 2013 Meeting Type: Annual
 Record Date: MAR 08, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Glyn F. Aeppel | For | For | Management |
| 1.2 | Elect Director Alan B. Buckelew | For | For | Management |
| 1.3 | Elect Director Bruce A. Choate | For | For | Management |
| 1.4 | Elect Director John J. Healy, Jr. | For | For | Management |
| 1.5 | Elect Director Timothy J. Naughton | For | For | Management |
| 1.6 | Elect Director Lance R. Primis | For | For | Management |
| 1.7 | Elect Director Peter S. Rummell | For | For | Management |
| 1.8 | Elect Director H. Jay Sarles | For | For | Management |
| 1.9 | Elect Director W. Edward Walter | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Increase Authorized Common Stock | For | For | Management |

AVON PRODUCTS, INC.

Ticker: AVP Security ID: 054303102
 Meeting Date: MAY 02, 2013 Meeting Type: Annual
 Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Douglas R. Conant | For | For | Management |
| 1.2 | Elect Director W. Don Cornwell | For | For | Management |
| 1.3 | Elect Director V. Ann Hailey | For | For | Management |
| 1.4 | Elect Director Fred Hassan | For | For | Management |
| 1.5 | Elect Director Maria Elena Lagomasino | For | For | Management |
| 1.6 | Elect Director Sheri S. McCoy | For | For | Management |
| 1.7 | Elect Director Ann S. Moore | For | For | Management |
| 1.8 | Elect Director Charles H. Noski | For | For | Management |
| 1.9 | Elect Director Gary M. Rodkin | For | For | Management |
| 1.10 | Elect Director Paula Stern | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Approve Omnibus Stock Plan | For | For | Management |
| 4 | Approve Executive Incentive Bonus Plan | For | For | Management |
| 5 | Ratify Auditors | For | For | Management |
| 6 | Report on Safer Substitutes for Product Ingredients | Against | For | Shareholder |

AXIALL CORPORATION

Ticker: AXLL Security ID: 05463D100
 Meeting Date: MAY 21, 2013 Meeting Type: Annual
 Record Date: MAR 29, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.1 | Elect Director Paul D. Carrico | For | For | Management |
| 1.2 | Elect Director T. Kevin DeNicola | For | For | Management |
| 1.3 | Elect Director Patrick J. Fleming | For | For | Management |
| 1.4 | Elect Director Robert M. Gervis | For | For | Management |
| 1.5 | Elect Director Victoria F. Haynes | For | For | Management |
| 1.6 | Elect Director Stephen E. Macadam | For | For | Management |
| 1.7 | Elect Director William L. Mansfield | For | For | Management |
| 1.8 | Elect Director Michael H. McGarry | For | For | Management |
| 1.9 | Elect Director Mark L. Noetzel | For | For | Management |
| 1.10 | Elect Director Robert Ripp | For | For | Management |
| 1.11 | Elect Director David N. Weinstein | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

BAXTER INTERNATIONAL INC.

Ticker: BAX Security ID: 071813109
 Meeting Date: MAY 07, 2013 Meeting Type: Annual
 Record Date: MAR 11, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Thomas F. Chen | For | For | Management |
| 1b | Elect Director Blake E. Devitt | For | For | Management |
| 1c | Elect Director John D. Forsyth | For | For | Management |
| 1d | Elect Director Gail D. Fosler | For | For | Management |
| 1e | Elect Director Carole J. Shapazian | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Declassify the Board of Directors | For | For | Management |
| 5 | Provide Right to Call Special Meeting | For | For | Management |

BECTON, DICKINSON AND COMPANY

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Ticker: BDX Security ID: 075887109
 Meeting Date: JAN 29, 2013 Meeting Type: Annual
 Record Date: DEC 07, 2012

| # | Proposal | Mgt Rec | Vote | Cast | Sponsor |
|------|--|---------|------|------|------------|
| 1.1 | Elect Director Basil L. Anderson | For | For | For | Management |
| 1.2 | Elect Director Henry P. Becton, Jr. | For | For | For | Management |
| 1.3 | Elect Director Catherine M. Burzik | For | For | For | Management |
| 1.4 | Elect Director Edward F. DeGraan | For | For | For | Management |
| 1.5 | Elect Director Vincent A. Forlenza | For | For | For | Management |
| 1.6 | Elect Director Claire M. Fraser | For | For | For | Management |
| 1.7 | Elect Director Christopher Jones | For | For | For | Management |
| 1.8 | Elect Director Marshall O. Larsen | For | For | For | Management |
| 1.9 | Elect Director Adel A.F. Mahmoud | For | For | For | Management |
| 1.10 | Elect Director Gary A. Mecklenburg | For | For | For | Management |
| 1.11 | Elect Director James F. Orr | For | For | For | Management |
| 1.12 | Elect Director Willard J. Overlock, Jr. | For | For | For | Management |
| 1.13 | Elect Director Rebecca W. Rimel | For | For | For | Management |
| 1.14 | Elect Director Bertram L. Scott | For | For | For | Management |
| 1.15 | Elect Director Alfred Sommer | For | For | For | Management |
| 2 | Ratify Auditors | For | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | For | Management |
| 4 | Rescind Fair Price Provision | For | For | For | Management |
| 5 | Amend Omnibus Stock Plan | For | For | For | Management |

BRISTOL-MYERS SQUIBB COMPANY

Ticker: BMY Security ID: 110122108
 Meeting Date: MAY 07, 2013 Meeting Type: Annual
 Record Date: MAR 14, 2013

| # | Proposal | Mgt Rec | Vote | Cast | Sponsor |
|----|--|---------|------|------|------------|
| 1A | Elect Director Lamberto Andreotti | For | For | For | Management |
| 1B | Elect Director Lewis B. Campbell | For | For | For | Management |
| 1C | Elect Director James M. Cornelius | For | For | For | Management |
| 1D | Elect Director Laurie H. Glimcher | For | For | For | Management |
| 1E | Elect Director Michael Grobstein | For | For | For | Management |
| 1F | Elect Director Alan J. Lacy | For | For | For | Management |
| 1G | Elect Director Vicki L. Sato | For | For | For | Management |
| 1H | Elect Director Elliott Sigal | For | For | For | Management |
| 1I | Elect Director Gerald L. Storch | For | For | For | Management |
| 1J | Elect Director Togo D. West, Jr. | For | For | For | Management |
| 2 | Ratify Auditors | For | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | For | Management |

CAMPBELL SOUP COMPANY

Ticker: CPB Security ID: 134429109
Meeting Date: NOV 14, 2012 Meeting Type: Annual
Record Date: SEP 17, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.1 | Elect Director Edmund M. Carpenter | For | For | Management |
| 1.2 | Elect Director Paul R. Charron | For | For | Management |
| 1.3 | Elect Director Bennett Dorrance | For | For | Management |
| 1.4 | Elect Director Lawrence C. Karlson | For | For | Management |
| 1.5 | Elect Director Randall W. Larrimore | For | For | Management |
| 1.6 | Elect Director Mary Alice Dorrance Malone | For | For | Management |
| 1.7 | Elect Director Sara Mathew | For | For | Management |
| 1.8 | Elect Director Denise M. Morrison | For | For | Management |
| 1.9 | Elect Director Charles R. Perrin | For | For | Management |
| 1.10 | Elect Director A. Barry Rand | For | For | Management |
| 1.11 | Elect Director Nick Shreiber | For | For | Management |
| 1.12 | Elect Director Tracey T. Travis | For | For | Management |
| 1.13 | Elect Director Archbold D. van Beuren | For | For | Management |
| 1.14 | Elect Director Les C. Vinney | For | For | Management |
| 1.15 | Elect Director Charlotte C. Weber | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

CARNIVAL CORPORATION

Ticker: CCL Security ID: 143658300
Meeting Date: APR 17, 2013 Meeting Type: Annual
Record Date: FEB 19, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Reelect Micky Arison As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 2 | Reelect Jonathon Band As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 3 | Reelect Arnold W. Donald As A Director Of Carnival Corporation and As A | For | For | Management |

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- Director Of Carnival Plc.
- 4 Reelect Pier Luigi Foschi As A Director Of Carnival Corporation and As A Director Of Carnival Plc. For For Management
- 5 Reelect Howard S. Frank As A Director Of Carnival Corporation and As A Director Of Carnival Plc. For For Management
- 6 Reelect Richard J. Glasier As A Director Of Carnival Corporation and As A Director Of Carnival Plc. For For Management
- 7 Reelect Debra Kelly-Ennis As A Director Of Carnival Corporation and As A Director Of Carnival Plc. For For Management
- 8 Reelect John Parker As A Director Of Carnival Corporation and As A Director Of Carnival Plc. For For Management
- 9 Reelect Stuart Subotnick As A Director Of Carnival Corporation and As A Director Of Carnival Plc. For For Management
- 10 Reelect Laura Weil As A Director Of Carnival Corporation and As A Director Of Carnival Plc. For For Management
- 11 Reelect Randall J. Weisenburger As A Director Of Carnival Corporation and As A Director Of Carnival Plc. For For Management
- 12 Reappoint The UK Firm Of PricewaterhouseCoopers LLP As Independent Auditors For Carnival Plc And Ratify The U.S. Firm Of PricewaterhouseCoopers LLP As The Independent Auditor For Carnival Corporation For For Management
- 13 Authorize The Audit Committee Of Carnival Plc To Fix Remuneration Of The Independent Auditors Of Carnival Plc For For Management
- 14 Receive The UK Accounts And Reports Of The Directors And Auditors Of Carnival Plc For The Year Ended November 30, 2011 For For Management
- 15 Advisory Vote to Ratify Named Executive Officers' Compensation For For Management
- 16 Approve Remuneration of Executive Directors For For Management
- 17 Authorize Issue of Equity with Pre-emptive Rights For For Management
- 18 Authorize Issue of Equity without Pre-emptive Rights For For Management
- 19 Authorize Shares for Market Purchase For For Management

CENTURYLINK, INC.

Ticker: CTL Security ID: 156700106
 Meeting Date: MAY 22, 2013 Meeting Type: Annual
 Record Date: APR 03, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director W. Bruce Hanks | For | For | Management |
| 1.2 | Elect Director C. G. Melville, Jr. | For | For | Management |
| 1.3 | Elect Director Fred R. Nichols | For | For | Management |
| 1.4 | Elect Director William A. Owens | For | For | Management |
| 1.5 | Elect Director Harvey P. Perry | For | For | Management |
| 1.6 | Elect Director Glen F. Post, III | For | For | Management |
| 1.7 | Elect Director Laurie A. Siegel | For | For | Management |
| 1.8 | Elect Director Joseph R. Zimmel | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4a | Share Retention Policy | Against | For | Shareholder |
| 4b | Adopt Policy on Bonus Banking | Against | For | Shareholder |
| 4c | Proxy Access | Against | For | Shareholder |
| 4d | Provide for Confidential Voting | Against | For | Shareholder |

CHEVRON CORPORATION

Ticker: CVX Security ID: 166764100
 Meeting Date: MAY 29, 2013 Meeting Type: Annual
 Record Date: APR 03, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Linnet F. Deily | For | For | Management |
| 1b | Elect Director Robert E. Denham | For | For | Management |
| 1c | Elect Director Alice P. Gast | For | For | Management |
| 1d | Elect Director Enrique Hernandez, Jr. | For | For | Management |
| 1e | Elect Director George L. Kirkland | For | For | Management |
| 1f | Elect Director Charles W. Moorman, IV | For | For | Management |
| 1g | Elect Director Kevin W. Sharer | For | For | Management |
| 1h | Elect Director John G. Stumpf | For | For | Management |
| 1i | Elect Director Ronald D. Sugar | For | For | Management |
| 1j | Elect Director Carl Ware | For | For | Management |
| 1k | Elect Director John S. Watson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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|----|--|---------|---------|-------------|
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Report on Management of Hydraulic Fracturing Risks and Opportunities | Against | For | Shareholder |
| 6 | Report on Offshore Oil Wells and Spill Mitigation Measures | Against | Against | Shareholder |
| 7 | Report on Financial Risks of Climate Change | Against | Against | Shareholder |
| 8 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 9 | Prohibit Political Contributions | Against | Against | Shareholder |
| 10 | Provide for Cumulative Voting | Against | For | Shareholder |
| 11 | Amend Articles/Bylaws/Charter -- Call Special Meetings | Against | For | Shareholder |
| 12 | Require Director Nominee with Environmental Expertise | Against | For | Shareholder |
| 13 | Adopt Guidelines for Country Selection | Against | For | Shareholder |

CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102
 Meeting Date: NOV 15, 2012 Meeting Type: Annual
 Record Date: SEP 17, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Carol A. Bartz | For | For | Management |
| 1b | Elect Director Marc Benioff | For | For | Management |
| 1c | Elect Director M. Michele Burns | For | For | Management |
| 1d | Elect Director Michael D. Capellas | For | For | Management |
| 1e | Elect Director Larry R. Carter | For | For | Management |
| 1f | Elect Director John T. Chambers | For | For | Management |
| 1g | Elect Director Brian L. Halla | For | For | Management |
| 1h | Elect Director John L. Hennessy | For | For | Management |
| 1i | Elect Director Kristina M. Johnson | For | For | Management |
| 1j | Elect Director Richard M. Kovacevich | For | For | Management |
| 1k | Elect Director Roderick C. McGeary | For | For | Management |
| 1l | Elect Director Arun Sarin | For | For | Management |
| 1m | Elect Director Steven M. West | For | For | Management |
| 2 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |
| 5 | Require Independent Board Chairman | Against | Against | Shareholder |
| 6 | Report on Eliminating Conflict Minerals from Supply Chain | Against | Against | Shareholder |

CONOCOPHILLIPS

Ticker: COP Security ID: 20825C104
 Meeting Date: MAY 14, 2013 Meeting Type: Annual
 Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Richard L. Armitage | For | For | Management |
| 1b | Elect Director Richard H. Auchinleck | For | For | Management |
| 1c | Elect Director James E. Copeland, Jr. | For | For | Management |
| 1d | Elect Director Jody L. Freeman | For | For | Management |
| 1e | Elect Director Gay Huey Evans | For | For | Management |
| 1f | Elect Director Ryan M. Lance | For | For | Management |
| 1g | Elect Director Mohd H. Marican | For | For | Management |
| 1h | Elect Director Robert A. Niblock | For | For | Management |
| 1i | Elect Director Harald J. Norvik | For | For | Management |
| 1j | Elect Director William E. Wade, Jr. | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 5 | Adopt Quantitative GHG Goals for Products and Operations | Against | For | Shareholder |
| 6 | Amend EEO Policy to Prohibit Discrimination based on Gender Identity | Against | For | Shareholder |

CSX CORPORATION

Ticker: CSX Security ID: 126408103
 Meeting Date: MAY 08, 2013 Meeting Type: Annual
 Record Date: MAR 08, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Donna M. Alvarado | For | For | Management |
| 1b | Elect Director John B. Breaux | For | For | Management |
| 1c | Elect Director Pamela L. Carter | For | For | Management |
| 1d | Elect Director Steven T. Halverson | For | For | Management |
| 1e | Elect Director Edward J. Kelly, III | For | For | Management |
| 1f | Elect Director Gilbert H. Lamphere | For | For | Management |
| 1g | Elect Director John D. McPherson | For | For | Management |
| 1h | Elect Director Timothy T. O'Toole | For | For | Management |
| 1i | Elect Director David M. Ratcliffe | For | For | Management |
| 1j | Elect Director Donald J. Shepard | For | For | Management |
| 1k | Elect Director Michael J. Ward | For | For | Management |
| 1l | Elect Director J.C. Watts, Jr. | For | For | Management |
| 1m | Elect Director J. Steven Whisler | For | For | Management |

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| | | | | |
|---|--|-----|-----|------------|
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

DOVER CORPORATION

Ticker: DOV Security ID: 260003108
 Meeting Date: MAY 02, 2013 Meeting Type: Annual
 Record Date: MAR 06, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director David H. Benson | For | For | Management |
| 1b | Elect Director Robert W. Cremin | For | For | Management |
| 1c | Elect Director Jean-Pierre M. Ergas | For | For | Management |
| 1d | Elect Director Peter T. Francis | For | For | Management |
| 1e | Elect Director Kristiane C. Graham | For | For | Management |
| 1f | Elect Director M.F. Johnston | For | For | Management |
| 1g | Elect Director Robert A. Livingston | For | For | Management |
| 1h | Elect Director Richard K. Lochridge | For | For | Management |
| 1i | Elect Director Bernard G. Rethore | For | For | Management |
| 1j | Elect Director Michael B. Stubbs | For | For | Management |
| 1k | Elect Director Stephen M. Todd | For | For | Management |
| 1l | Elect Director Stephen K. Wagner | For | For | Management |
| 1m | Elect Director Mary A. Winston | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Reduce Supermajority Vote Requirement | Against | For | Shareholder |

E. I. DU PONT DE NEMOURS AND COMPANY

Ticker: DD Security ID: 263534109
 Meeting Date: APR 24, 2013 Meeting Type: Annual
 Record Date: FEB 27, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Lamberto Andreotti | For | For | Management |
| 1b | Elect Director Richard H. Brown | For | For | Management |
| 1c | Elect Director Robert A. Brown | For | For | Management |
| 1d | Elect Director Bertrand P. Collomb | For | For | Management |
| 1e | Elect Director Curtis J. Crawford | For | For | Management |
| 1f | Elect Director Alexander M. Cutler | For | For | Management |
| 1g | Elect Director Eleuthere I. Du Pont | For | For | Management |

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|----|--|---------|---------|-------------|
| 1h | Elect Director Marillyn A. Hewson | For | For | Management |
| 1i | Elect Director Lois D. Juliber | For | For | Management |
| 1j | Elect Director Ellen J. Kullman | For | For | Management |
| 1k | Elect Director Lee M. Thomas | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 6 | Report on Genetically Engineered Seed | Against | Against | Shareholder |
| 7 | Report on Pay Disparity | Against | Against | Shareholder |

EMERSON ELECTRIC CO.

Ticker: EMR Security ID: 291011104
 Meeting Date: FEB 05, 2013 Meeting Type: Annual
 Record Date: NOV 27, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director C. A. H. Boersig | For | For | Management |
| 1.2 | Elect Director J. B. Bolten | For | For | Management |
| 1.3 | Elect Director M. S. Levatich | For | For | Management |
| 1.4 | Elect Director R. L. Stephenson | For | For | Management |
| 1.5 | Elect Director A.A. Busch, III | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Declassify the Board of Directors | For | For | Management |
| 5 | Report on Sustainability | Against | For | Shareholder |

ENTERGY CORPORATION

Ticker: ETR Security ID: 29364G103
 Meeting Date: MAY 03, 2013 Meeting Type: Annual
 Record Date: MAR 05, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Maureen Scannell Bateman | For | For | Management |
| 1b | Elect Director L.P. Denault | For | For | Management |
| 1c | Elect Director Gary W. Edwards | For | For | Management |
| 1d | Elect Director Alexis M. Herman | For | For | Management |
| 1e | Elect Director Donald C. Hintz | For | For | Management |
| 1f | Elect Director Stuart L. Levenick | For | For | Management |

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| | | | | |
|----|--|---------|---------|-------------|
| 1g | Elect Director Blanche L. Lincoln | For | For | Management |
| 1h | Elect Director Stewart C. Myers | For | For | Management |
| 1i | Elect Director W.J. Tauzin | For | For | Management |
| 1j | Elect Director Steven V. Wilkinson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 5 | Minimize Pool Storage of Spent Nuclear Fuel | Against | Against | Shareholder |

EXELON CORPORATION

Ticker: EXC Security ID: 30161N101
 Meeting Date: APR 23, 2013 Meeting Type: Annual
 Record Date: MAR 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Anthony K. Anderson | For | For | Management |
| 1b | Elect Director Ann C. Berzin | For | For | Management |
| 1c | Elect Director John A. Canning, Jr. | For | For | Management |
| 1d | Elect Director Christopher M. Crane | For | For | Management |
| 1e | Elect Director Yves C. de Balmann | For | For | Management |
| 1f | Elect Director Nicholas DeBenedictis | For | For | Management |
| 1g | Elect Director Nelson A. Diaz | For | For | Management |
| 1h | Elect Director Sue L. Gin | For | For | Management |
| 1i | Elect Director Paul L. Joskow | For | For | Management |
| 1j | Elect Director Robert J. Lawless | For | For | Management |
| 1k | Elect Director Richard W. Mies | For | For | Management |
| 1l | Elect Director William C. Richardson | For | For | Management |
| 1m | Elect Director Thomas J. Ridge | For | For | Management |
| 1n | Elect Director John W. Rogers, Jr. | For | For | Management |
| 1o | Elect Director Mayo A. Shattuck, III | For | For | Management |
| 1p | Elect Director Stephen D. Steinour | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Qualified Employee Stock Purchase Plan | For | For | Management |

EXXON MOBIL CORPORATION

Ticker: XOM Security ID: 30231G102

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Meeting Date: MAY 29, 2013 Meeting Type: Annual
Record Date: APR 04, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director M.J. Boskin | For | For | Management |
| 1.2 | Elect Director P. Brabeck-Letmathe | For | For | Management |
| 1.3 | Elect Director U.M. Burns | For | For | Management |
| 1.4 | Elect Director L.R. Faulkner | For | For | Management |
| 1.5 | Elect Director J.S. Fishman | For | For | Management |
| 1.6 | Elect Director H.H. Fore | For | For | Management |
| 1.7 | Elect Director K.C. Frazier | For | For | Management |
| 1.8 | Elect Director W.W. George | For | For | Management |
| 1.9 | Elect Director S.J. Palmisano | For | For | Management |
| 1.10 | Elect Director S.S. Reinemund | For | For | Management |
| 1.11 | Elect Director R.W. Tillerson | For | For | Management |
| 1.12 | Elect Director W.C. Weldon | For | For | Management |
| 1.13 | Elect Director E.E. Whitacre, Jr. | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Require a Majority Vote for the Election of Directors | Against | For | Shareholder |
| 6 | Limit Directors to a Maximum of Three Board Memberships in Companies with Sales over \$500 Million Annually | Against | Against | Shareholder |
| 7 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 8 | Study Feasibility of Prohibiting Political Contributions | Against | Against | Shareholder |
| 9 | Adopt Sexual Orientation Anti-bias Policy | Against | Against | Shareholder |
| 10 | Report on Management of Hydraulic Fracturing Risks and Opportunities | Against | For | Shareholder |
| 11 | Adopt Quantitative GHG Goals for Products and Operations | Against | For | Shareholder |

FIRSTENERGY CORP.

Ticker: FE Security ID: 337932107
Meeting Date: MAY 21, 2013 Meeting Type: Annual
Record Date: MAR 22, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Paul T. Addison | For | For | Management |
| 1.2 | Elect Director Anthony J. Alexander | For | For | Management |
| 1.3 | Elect Director Michael J. Anderson | For | For | Management |
| 1.4 | Elect Director Carol A. Cartwright | For | For | Management |
| 1.5 | Elect Director William T. Cottle | For | For | Management |

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|------|--|---------|----------|-------------|
| 1.6 | Elect Director Robert B. Heisler, Jr. | For | Withhold | Management |
| 1.7 | Elect Director Julia L. Johnson | For | For | Management |
| 1.8 | Elect Director Ted J. Kleisner | For | Withhold | Management |
| 1.9 | Elect Director Donald T. Misheff | For | For | Management |
| 1.10 | Elect Director Ernest J. Novak, Jr. | For | For | Management |
| 1.11 | Elect Director Christopher D. Pappas | For | Withhold | Management |
| 1.12 | Elect Director Catherine A. Rein | For | Withhold | Management |
| 1.13 | Elect Director George M. Smart | For | For | Management |
| 1.14 | Elect Director Wes M. Taylor | For | Withhold | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Reduce Supermajority Vote Requirement | For | For | Management |
| 5 | Cease CEO Compensation Benchmarking Policy | Against | Against | Shareholder |
| 6 | Submit SERP to Shareholder Vote | Against | For | Shareholder |
| 7 | Stock Retention/Holding Period | Against | For | Shareholder |
| 8 | Require a Majority Vote for the Election of Directors | Against | For | Shareholder |
| 9 | Provide Right to Act by Written Consent | Against | For | Shareholder |

GENERAL ELECTRIC COMPANY

Ticker: GE Security ID: 369604103
 Meeting Date: APR 24, 2013 Meeting Type: Annual
 Record Date: FEB 25, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Elect Director W. Geoffrey Beattie | For | For | Management |
| 2 | Elect Director John J. Brennan | For | For | Management |
| 3 | Elect Director James I. Cash, Jr. | For | For | Management |
| 4 | Elect Director Francisco D'Souza | For | For | Management |
| 5 | Elect Director Marijn E. Dekkers | For | For | Management |
| 6 | Elect Director Ann M. Fudge | For | For | Management |
| 7 | Elect Director Susan Hockfield | For | For | Management |
| 8 | Elect Director Jeffrey R. Immelt | For | For | Management |
| 9 | Elect Director Andrea Jung | For | For | Management |
| 10 | Elect Director Robert W. Lane | For | For | Management |
| 11 | Elect Director Ralph S. Larsen | For | For | Management |
| 12 | Elect Director Rochelle B. Lazarus | For | For | Management |
| 13 | Elect Director James J. Mulva | For | For | Management |
| 14 | Elect Director Mary L. Schapiro | For | For | Management |
| 15 | Elect Director Robert J. Swieringa | For | For | Management |
| 16 | Elect Director James S. Tisch | For | For | Management |
| 17 | Elect Director Douglas A. Warner, III | For | For | Management |
| 18 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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|----|---|---------|---------|-------------|
| 19 | Ratify Auditors | For | For | Management |
| 20 | Cessation of All Stock Options and Bonuses | Against | Against | Shareholder |
| 21 | Establish Term Limits for Directors | Against | Against | Shareholder |
| 22 | Require Independent Board Chairman | Against | Against | Shareholder |
| 23 | Provide Right to Act by Written Consent | Against | Against | Shareholder |
| 24 | Stock Retention/Holding Period | Against | For | Shareholder |
| 25 | Require More Director Nominations Than Open Seats | Against | Against | Shareholder |

H. J. HEINZ COMPANY

Ticker: HNZ Security ID: 423074103
 Meeting Date: AUG 28, 2012 Meeting Type: Annual
 Record Date: JUN 19, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Elect Director W.R. Johnson | For | For | Management |
| 2 | Elect Director C.E. Bunch | For | For | Management |
| 3 | Elect Director L.S. Coleman, Jr. | For | For | Management |
| 4 | Elect Director J.G. Drosdick | For | For | Management |
| 5 | Elect Director E.E. Holiday | For | For | Management |
| 6 | Elect Director C. Kendle | For | For | Management |
| 7 | Elect Director D.R. O'Hare | For | For | Management |
| 8 | Elect Director N. Peltz | For | For | Management |
| 9 | Elect Director D.H. Reilley | For | For | Management |
| 10 | Elect Director L.C. Swann | For | For | Management |
| 11 | Elect Director T.J. Usher | For | For | Management |
| 12 | Elect Director M.F. Weinstein | For | For | Management |
| 13 | Ratify Auditors | For | For | Management |
| 14 | Approve Omnibus Stock Plan | For | For | Management |
| 15 | Amend Omnibus Stock Plan | For | For | Management |
| 16 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |

H. J. HEINZ COMPANY

Ticker: HNZ Security ID: 423074103
 Meeting Date: APR 30, 2013 Meeting Type: Special
 Record Date: MAR 18, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement | For | For | Management |

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|---|------------------------------------|-----|---------|------------|
| 2 | Adjourn Meeting | For | For | Management |
| 3 | Advisory Vote on Golden Parachutes | For | Against | Management |

HONEYWELL INTERNATIONAL INC.

Ticker: HON Security ID: 438516106
 Meeting Date: APR 22, 2013 Meeting Type: Annual
 Record Date: FEB 22, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1A | Elect Director Gordon M. Bethune | For | For | Management |
| 1B | Elect Director Kevin Burke | For | For | Management |
| 1C | Elect Director Jaime Chico Pardo | For | For | Management |
| 1D | Elect Director David M. Cote | For | For | Management |
| 1E | Elect Director D. Scott Davis | For | For | Management |
| 1F | Elect Director Linnet F. Deily | For | For | Management |
| 1G | Elect Director Judd Gregg | For | For | Management |
| 1H | Elect Director Clive Hollick | For | For | Management |
| 1I | Elect Director Grace D. Lieblein | For | For | Management |
| 1J | Elect Director George Paz | For | For | Management |
| 1K | Elect Director Bradley T. Sheares | For | For | Management |
| 1L | Elect Director Robin L. Washington | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 6 | Pro-rata Vesting of Equity Awards | Against | For | Shareholder |

INTEL CORPORATION

Ticker: INTC Security ID: 458140100
 Meeting Date: MAY 16, 2013 Meeting Type: Annual
 Record Date: MAR 18, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Charlene Barshefsky | For | For | Management |
| 1b | Elect Director Andy D. Bryant | For | For | Management |
| 1c | Elect Director Susan L. Decker | For | For | Management |
| 1d | Elect Director John J. Donahoe | For | For | Management |
| 1e | Elect Director Reed E. Hundt | For | For | Management |
| 1f | Elect Director James D. Plummer | For | For | Management |
| 1g | Elect Director David S. Pottruck | For | For | Management |

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| | | | | |
|----|--|---------|---------|-------------|
| 1h | Elect Director Frank D. Yeary | For | For | Management |
| 1i | Elect Director David B. Yoffie | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Stock Retention/Holding Period | Against | For | Shareholder |

INTERNATIONAL BUSINESS MACHINES CORPORATION

Ticker: IBM Security ID: 459200101
 Meeting Date: APR 30, 2013 Meeting Type: Annual
 Record Date: MAR 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Alain J.P. Belda | For | For | Management |
| 1.2 | Elect Director William R. Brody | For | For | Management |
| 1.3 | Elect Director Kenneth I. Chenault | For | For | Management |
| 1.4 | Elect Director Michael L. Eskew | For | For | Management |
| 1.5 | Elect Director David N. Farr | For | For | Management |
| 1.6 | Elect Director Shirley Ann Jackson | For | For | Management |
| 1.7 | Elect Director Andrew N. Liveris | For | For | Management |
| 1.8 | Elect Director W. James McNerney, Jr. | For | For | Management |
| 1.9 | Elect Director James W. Owens | For | For | Management |
| 1.10 | Elect Director Virginia M. Rometty | For | For | Management |
| 1.11 | Elect Director Joan E. Spero | For | For | Management |
| 1.12 | Elect Director Sidney Taurel | For | For | Management |
| 1.13 | Elect Director Lorenzo H. Zambrano | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 5 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 6 | Require Independent Board Chairman | Against | For | Shareholder |
| 7 | Stock Retention/Holding Period | Against | For | Shareholder |

INVESCO LTD.

Ticker: IVZ Security ID: G491BT108
 Meeting Date: MAY 16, 2013 Meeting Type: Annual
 Record Date: MAR 18, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Joseph R. Canion | For | For | Management |

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|-----|--|-----|-----|------------|
| 1.2 | Elect Director Edward P. Lawrence | For | For | Management |
| 1.3 | Elect Director Phoebe A. Wood | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |

JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104
 Meeting Date: APR 25, 2013 Meeting Type: Annual
 Record Date: FEB 26, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Mary Sue Coleman | For | For | Management |
| 1.2 | Elect Director James G. Cullen | For | For | Management |
| 1.3 | Elect Director Ian E.L. Davis | For | For | Management |
| 1.4 | Elect Director Alex Gorsky | For | For | Management |
| 1.5 | Elect Director Michael M.E. Johns | For | For | Management |
| 1.6 | Elect Director Susan L. Lindquist | For | For | Management |
| 1.7 | Elect Director Anne M. Mulcahy | For | For | Management |
| 1.8 | Elect Director Leo F. Mullin | For | For | Management |
| 1.9 | Elect Director William D. Perez | For | For | Management |
| 1.10 | Elect Director Charles Prince | For | For | Management |
| 1.11 | Elect Director A. Eugene Washington | For | For | Management |
| 1.12 | Elect Director Ronald A. Williams | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Stock Retention/Holding Period | Against | For | Shareholder |
| 5 | Screen Political Contributions for Consistency with Corporate Values | Against | Against | Shareholder |
| 6 | Require Independent Board Chairman | Against | Against | Shareholder |

JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100
 Meeting Date: MAY 21, 2013 Meeting Type: Annual
 Record Date: MAR 22, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director James A. Bell | For | For | Management |
| 1b | Elect Director Crandall C. Bowles | For | For | Management |

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|----|---|---------|---------|-------------|
| 1c | Elect Director Stephen B. Burke | For | For | Management |
| 1d | Elect Director David M. Cote | For | Against | Management |
| 1e | Elect Director James S. Crown | For | Against | Management |
| 1f | Elect Director James Dimon | For | For | Management |
| 1g | Elect Director Timothy P. Flynn | For | For | Management |
| 1h | Elect Director Ellen V. Futter | For | Against | Management |
| 1i | Elect Director Laban P. Jackson, Jr. | For | For | Management |
| 1j | Elect Director Lee R. Raymond | For | For | Management |
| 1k | Elect Director William C. Weldon | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Act by Written Consent | For | For | Management |
| 5 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 6 | Require Independent Board Chairman | Against | For | Shareholder |
| 7 | Stock Retention/Holding Period | Against | Against | Shareholder |
| 8 | Institute Procedures to Prevent Investments in Companies that Contribute to Genocide or Crimes Against Humanity | Against | Against | Shareholder |
| 9 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |

KIMBERLY-CLARK CORPORATION

Ticker: KMB Security ID: 494368103
 Meeting Date: MAY 02, 2013 Meeting Type: Annual
 Record Date: MAR 04, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director John R. Alm | For | For | Management |
| 1.2 | Elect Director John F. Bergstrom | For | For | Management |
| 1.3 | Elect Director Abelardo E. Bru | For | For | Management |
| 1.4 | Elect Director Robert W. Decherd | For | For | Management |
| 1.5 | Elect Director Thomas J. Falk | For | For | Management |
| 1.6 | Elect Director Fabian T. Garcia | For | For | Management |
| 1.7 | Elect Director Mae C. Jemison | For | For | Management |
| 1.8 | Elect Director James M. Jenness | For | For | Management |
| 1.9 | Elect Director Nancy J. Karch | For | For | Management |
| 1.10 | Elect Director Ian C. Read | For | For | Management |
| 1.11 | Elect Director Linda Johnson Rice | For | For | Management |
| 1.12 | Elect Director Marc J. Shapiro | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

KINDER MORGAN, INC.

Ticker: KMI Security ID: 49456B101
 Meeting Date: MAY 07, 2013 Meeting Type: Annual
 Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Richard D. Kinder | For | For | Management |
| 1.2 | Elect Director C. Park Shaper | For | For | Management |
| 1.3 | Elect Director Steven J. Kean | For | For | Management |
| 1.4 | Elect Director Anthony W. Hall, Jr. | For | For | Management |
| 1.5 | Elect Director Deborah A. Macdonald | For | For | Management |
| 1.6 | Elect Director Michael Miller | For | For | Management |
| 1.7 | Elect Director Michael C. Morgan | For | For | Management |
| 1.8 | Elect Director Fayez Sarofim | For | For | Management |
| 1.9 | Elect Director Joel V. Staff | For | Withhold | Management |
| 1.10 | Elect Director John Stokes | For | For | Management |
| 1.11 | Elect Director Robert F. Vagt | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |

KONINKLIJKE PHILIPS ELECTRONICS

Ticker: PHG Security ID: 500472303
 Meeting Date: MAY 03, 2013 Meeting Type: Annual
 Record Date: APR 05, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | President's Speech | None | None | Management |
| 2a | Adopt Financial Statements | For | For | Management |
| 2b | Receive Explanation on Company's Reserves and Dividend Policy | None | None | Management |
| 2c | Approve Dividends of EUR 0.75 Per Share | For | For | Management |
| 2d | Approve Discharge of Management Board | For | For | Management |
| 2e | Approve Discharge of Supervisory Board | For | For | Management |
| 3a | Reelect C.A. Poon to Supervisory Board | For | For | Management |
| 3b | Reelect J.J. Schiro to Supervisory Board | For | For | Management |
| 3c | Reelect J. van der Veer to Supervisory Board | For | For | Management |
| 4a | Amend Long-Term Incentive Plan | For | For | Management |
| 4b | Approve Performance Share and Performance Stock Option Grants | For | For | Management |
| 5 | Change Company Name to Koninklijke Philips N.V. | For | For | Management |
| 6a | Grant Board Authority to Issue Shares | For | For | Management |

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Up To 10 Percent of Issued Capital
Plus Additional 10 Percent in Case of
Takeover/Merger

| | | | | |
|----|--|------|------|------------|
| 6b | Authorize Board to Exclude Preemptive Rights from Issuance under Item 6a | For | For | Management |
| 7 | Authorize Repurchase of Shares | For | For | Management |
| 8 | Approve Cancellation of Repurchased Shares | For | For | Management |
| 9 | Allow Questions | None | None | Management |

KRAFT FOODS GROUP, INC.

Ticker: KRFT Security ID: 50076Q106
Meeting Date: MAY 22, 2013 Meeting Type: Annual
Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|----------|-----------|-------------|
| 1a | Elect Director Abelardo E. Bru | For | For | Management |
| 1b | Elect Director Jeanne P. Jackson | For | For | Management |
| 1c | Elect Director E. Follin Smith | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Ratify Auditors | For | For | Management |
| 6 | Label Products with GMO Ingredients | Against | Against | Shareholder |

L BRANDS, INC.

Ticker: LTD Security ID: 501797104
Meeting Date: MAY 23, 2013 Meeting Type: Annual
Record Date: APR 03, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director Dennis S. Hersch | For | For | Management |
| 1.2 | Elect Director David T. Kollat | For | For | Management |
| 1.3 | Elect Director William R. Loomis, Jr. | For | For | Management |
| 1.4 | Elect Director Leslie H. Wexner | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Declassify the Board of Directors | For | For | Management |
| 5 | Pro-rata Vesting of Equity Plans | Against | For | Shareholder |

LENDER PROCESSING SERVICES, INC.

Ticker: LPS Security ID: 52602E102
 Meeting Date: MAY 23, 2013 Meeting Type: Annual
 Record Date: MAR 25, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Dan R. Carmichael | For | For | Management |
| 1.2 | Elect Director Alvin R. (Pete) Carpenter | For | For | Management |
| 1.3 | Elect Director Hugh R. Harris | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |

MATTEL, INC.

Ticker: MAT Security ID: 577081102
 Meeting Date: MAY 10, 2013 Meeting Type: Annual
 Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Michael J. Dolan | For | For | Management |
| 1b | Elect Director Trevor A. Edwards | For | For | Management |
| 1c | Elect Director Frances D. Fergusson | For | For | Management |
| 1d | Elect Director Dominic Ng | For | For | Management |
| 1e | Elect Director Vasant M. Prabhu | For | For | Management |
| 1f | Elect Director Andrea L. Rich | For | For | Management |
| 1g | Elect Director Dean A. Scarborough | For | For | Management |
| 1h | Elect Director Christopher A. Sinclair | For | For | Management |
| 1i | Elect Director Bryan G. Stockton | For | For | Management |
| 1j | Elect Director Dirk Van de Put | For | For | Management |
| 1k | Elect Director Kathy White Loyd | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | Against | Shareholder |

MCDONALD'S CORPORATION

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Ticker: MCD Security ID: 580135101
 Meeting Date: MAY 23, 2013 Meeting Type: Annual
 Record Date: MAR 25, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Walter E. Massey | For | For | Management |
| 1b | Elect Director John W. Rogers, Jr. | For | For | Management |
| 1c | Elect Director Roger W. Stone | For | For | Management |
| 1d | Elect Director Miles D. White | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Report on Pay Disparity | Against | Against | Shareholder |
| 5 | Stock Retention/Holding Period | Against | For | Shareholder |
| 6 | Report on Human Rights Risk Assessment Process | Against | For | Shareholder |
| 7 | Report on Nutrition Initiatives and Childhood Obesity Concerns | Against | Against | Shareholder |

MEDTRONIC, INC.

Ticker: MDT Security ID: 585055106
 Meeting Date: AUG 23, 2012 Meeting Type: Annual
 Record Date: JUN 25, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Richard H. Anderson | For | For | Management |
| 1.2 | Elect Director Victor J. Dzau | For | For | Management |
| 1.3 | Elect Director Omar Ishrak | For | For | Management |
| 1.4 | Elect Director Shirley Ann Jackson | For | For | Management |
| 1.5 | Elect Director Michael O. Leavitt | For | For | Management |
| 1.6 | Elect Director James T. Lenehan | For | For | Management |
| 1.7 | Elect Director Denise M. O'Leary | For | For | Management |
| 1.8 | Elect Director Kendall J. Powell | For | For | Management |
| 1.9 | Elect Director Robert C. Pozen | For | For | Management |
| 1.10 | Elect Director Jack W. Schuler | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Adopt Majority Voting for Uncontested Election of Directors | For | For | Management |
| 5 | Adopt Proxy Access Right | Against | Against | Shareholder |
| 6 | Reduce Supermajority Vote Requirement | Against | For | Shareholder |

MERCK & CO., INC.

Ticker: MRK Security ID: 58933Y105
 Meeting Date: MAY 28, 2013 Meeting Type: Annual
 Record Date: APR 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Leslie A. Brun | For | For | Management |
| 1b | Elect Director Thomas R. Cech | For | For | Management |
| 1c | Elect Director Kenneth C. Frazier | For | For | Management |
| 1d | Elect Director Thomas H. Glocer | For | For | Management |
| 1e | Elect Director William B. Harrison Jr. | For | For | Management |
| 1f | Elect Director C. Robert Kidder | For | For | Management |
| 1g | Elect Director Rochelle B. Lazarus | For | For | Management |
| 1h | Elect Director Carlos E. Represas | For | For | Management |
| 1i | Elect Director Patricia F. Russo | For | For | Management |
| 1j | Elect Director Craig B. Thompson | For | For | Management |
| 1k | Elect Director Wendell P. Weeks | For | For | Management |
| 1l | Elect Director Peter C. Wendell | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 5 | Amend Articles/Bylaws/Charter -- Call Special Meetings | Against | For | Shareholder |
| 6 | Report on Charitable and Political Contributions | Against | Against | Shareholder |
| 7 | Report on Lobbying Activities | Against | Against | Shareholder |

METLIFE, INC.

Ticker: MET Security ID: 59156R108
 Meeting Date: APR 23, 2013 Meeting Type: Annual
 Record Date: MAR 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director R. Glenn Hubbard | For | For | Management |
| 1.2 | Elect Director Steven A. Kandarian | For | For | Management |
| 1.3 | Elect Director John M. Keane | For | For | Management |
| 1.4 | Elect Director Alfred F. Kelly, Jr. | For | For | Management |
| 1.5 | Elect Director James M. Kilts | For | For | Management |
| 1.6 | Elect Director Catherine R. Kinney | For | For | Management |
| 1.7 | Elect Director Hugh B. Price | For | For | Management |
| 1.8 | Elect Director Kenton J. Sicchitano | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |

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3 Advisory Vote to Ratify Named Executive Officers' Compensation For For Management

MICROCHIP TECHNOLOGY INCORPORATED

Ticker: MCHP Security ID: 595017104
 Meeting Date: AUG 17, 2012 Meeting Type: Annual
 Record Date: JUN 22, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Steve Sanghi | For | For | Management |
| 1.2 | Elect Director Albert J. Hugo-Martinez | For | For | Management |
| 1.3 | Elect Director L.B. Day | For | For | Management |
| 1.4 | Elect Director Matthew W. Chapman | For | For | Management |
| 1.5 | Elect Director Wade F. Meyercord | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Approve Conversion of Securities | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104
 Meeting Date: NOV 28, 2012 Meeting Type: Annual
 Record Date: SEP 14, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1 | Elect Director Steven A. Ballmer | For | For | Management |
| 2 | Elect Director Dina Dublon | For | For | Management |
| 3 | Elect Director William H. Gates, III | For | For | Management |
| 4 | Elect Director Maria M. Klawe | For | For | Management |
| 5 | Elect Director Stephen J. Luczo | For | For | Management |
| 6 | Elect Director David F. Marquardt | For | For | Management |
| 7 | Elect Director Charles H. Noski | For | For | Management |
| 8 | Elect Director Helmut Panke | For | For | Management |
| 9 | Elect Director John W. Thompson | For | For | Management |
| 10 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 11 | Approve Qualified Employee Stock Purchase Plan | For | For | Management |
| 12 | Ratify Auditors | For | For | Management |
| 13 | Provide for Cumulative Voting | Against | For | Shareholder |

MID-AMERICA APARTMENT COMMUNITIES, INC.

Ticker: MAA Security ID: 59522J103
Meeting Date: MAY 21, 2013 Meeting Type: Annual
Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director H. Eric Bolton, Jr. | For | For | Management |
| 1.2 | Elect Director Alan B. Graf, Jr. | For | For | Management |
| 1.3 | Elect Director Ralph Horn | For | For | Management |
| 1.4 | Elect Director Philip W. Norwood | For | For | Management |
| 1.5 | Elect Director W. Reid Sanders | For | For | Management |
| 1.6 | Elect Director William B. Sansom | For | For | Management |
| 1.7 | Elect Director Gary Shorb | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

MOLSON COORS BREWING COMPANY

Ticker: TAP Security ID: 60871R209
Meeting Date: MAY 29, 2013 Meeting Type: Annual
Record Date: APR 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Roger Eaton | For | For | Management |
| 1.2 | Elect Director Charles M. Herington | For | For | Management |
| 1.3 | Elect Director H. Sanford Riley | For | For | Management |
| 2 | Amend Certificate of Incorporation to Provide that Holders of Class A and Class B Common Stock shall Vote Together as a Single Class, on an Advisory Basis, to Approve Compensation | For | For | Management |

MONDELEZ INTERNATIONAL, INC.

Ticker: MDLZ Security ID: 609207105
Meeting Date: MAY 21, 2013 Meeting Type: Annual

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Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Stephen F. Bollenbach | For | For | Management |
| 1b | Elect Director Lewis W.K. Booth | For | For | Management |
| 1c | Elect Director Lois D. Juliber | For | For | Management |
| 1d | Elect Director Mark D. Ketchum | For | For | Management |
| 1e | Elect Director Jorge S. Mesquita | For | For | Management |
| 1f | Elect Director Fredric G. Reynolds | For | For | Management |
| 1g | Elect Director Irene B. Rosenfeld | For | For | Management |
| 1h | Elect Director Patrick T. Siewert | For | For | Management |
| 1i | Elect Director Ruth J. Simmons | For | For | Management |
| 1j | Elect Director Ratan N. Tata | For | For | Management |
| 1k | Elect Director Jean-Francois M. L. van Boxmeer | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Report on Adopting Extended Producer Responsibility Policy | Against | Against | Shareholder |

NEWCASTLE INVESTMENT CORP.

Ticker: NCT Security ID: 65105M108
 Meeting Date: JUN 06, 2013 Meeting Type: Annual
 Record Date: MAR 18, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|----------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Kevin J. Finnerty | For | For | Management |
| 1.2 | Elect Director Kenneth M. Riis | For | For | Management |
| 2 | Increase Authorized Common Stock | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

NORFOLK SOUTHERN CORPORATION

Ticker: NSC Security ID: 655844108
 Meeting Date: MAY 09, 2013 Meeting Type: Annual
 Record Date: MAR 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-----------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Thomas D. Bell, Jr | For | For | Management |
| 1.2 | Elect Director Erskine B. Bowles | For | For | Management |
| 1.3 | Elect Director Robert A. Bradway | For | For | Management |

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| | | | | |
|------|--|-----|-----|------------|
| 1.4 | Elect Director Wesley G. Bush | For | For | Management |
| 1.5 | Elect Director Daniel A. Carp | For | For | Management |
| 1.6 | Elect Director Karen N. Horn | For | For | Management |
| 1.7 | Elect Director Burton M. Joyce | For | For | Management |
| 1.8 | Elect Director Steven F. Leer | For | For | Management |
| 1.9 | Elect Director Michael D. Lockhart | For | For | Management |
| 1.10 | Elect Director Charles W. Moorman | For | For | Management |
| 1.11 | Elect Director Martin H. Nesbitt | For | For | Management |
| 1.12 | Elect Director John R. Thompson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Call Special Meeting | For | For | Management |

NYSE EURONEXT

Ticker: NYX Security ID: 629491101
 Meeting Date: APR 25, 2013 Meeting Type: Annual
 Record Date: FEB 28, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Andre Bergen | For | For | Management |
| 1b | Elect Director Ellyn L. Brown | For | For | Management |
| 1c | Elect Director Marshall N. Carter | For | For | Management |
| 1d | Elect Director Dominique Cerutti | For | For | Management |
| 1e | Elect Director George Cox | For | For | Management |
| 1f | Elect Director Sylvain Hefes | For | For | Management |
| 1g | Elect Director Jan-Michiel Hessels | For | For | Management |
| 1h | Elect Director Lawrence E. Leibowitz | For | For | Management |
| 1i | Elect Director Duncan M. McFarland | For | For | Management |
| 1j | Elect Director James J. McNulty | For | For | Management |
| 1k | Elect Director Duncan L. Niederauer | For | For | Management |
| 1l | Elect Director Luis Maria Viana Palha da Silva | For | For | Management |
| 1m | Elect Director Robert G. Scott | For | For | Management |
| 1n | Elect Director Jackson P. Tai | For | For | Management |
| 1o | Elect Director Rijnhard van Tets | For | For | Management |
| 1p | Elect Director Brian Williamson | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Amend Omnibus Stock Plan | For | For | Management |
| 6 | Provide Right to Call Special Meeting | For | For | Management |
| 7 | Provide Right to Act by Written Consent | For | For | Management |

NYSE EURONEXT

Ticker: NYX Security ID: 629491101
 Meeting Date: JUN 03, 2013 Meeting Type: Special
 Record Date: APR 26, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement | | For For | Management |
| 2 | Advisory Vote on Golden Parachutes | | For For | Management |
| 3 | Adjourn Meeting | | For For | Management |

OCCIDENTAL PETROLEUM CORPORATION

Ticker: OXY Security ID: 674599105
 Meeting Date: MAY 03, 2013 Meeting Type: Annual
 Record Date: MAR 13, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-------------|-------------|
| 1.1 | Elect Director Spencer Abraham | | For For | Management |
| 1.2 | Elect Director Howard I. Atkins | | For For | Management |
| 1.3 | Elect Director Stephen I. Chazen | | For For | Management |
| 1.4 | Elect Director Edward P. Djerejian | | For For | Management |
| 1.5 | Elect Director John E. Feick | | For For | Management |
| 1.6 | Elect Director Margaret M. Foran | | For For | Management |
| 1.7 | Elect Director Carlos M. Gutierrez | | For For | Management |
| 1.8 | Elect Director Ray R. Irani | | For Against | Management |
| 1.9 | Elect Director Avedick B. Poladian | | For For | Management |
| 1.10 | Elect Director Aziz D. Syriani | | For Against | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | | For For | Management |
| 3 | Ratify Auditors | | For For | Management |
| 4 | Provide Right to Act by Written Consent | | Against For | Shareholder |

OLD REPUBLIC INTERNATIONAL CORPORATION

Ticker: ORI Security ID: 680223104
 Meeting Date: MAY 24, 2013 Meeting Type: Annual
 Record Date: MAR 28, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|-----|--|-----|-----|------------|
| 1.1 | Elect Director Jimmy A. Dew | For | For | Management |
| 1.2 | Elect Director John M. Dixon | For | For | Management |
| 1.3 | Elect Director Dennis P. Van Mieghem | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

PACCAR INC

Ticker: PCAR Security ID: 693718108
 Meeting Date: APR 29, 2013 Meeting Type: Annual
 Record Date: MAR 04, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---------------------------------------|---------|-----------|-------------|
| 1.1 | Elect Director Alison J. Carnwath | For | For | Management |
| 1.2 | Elect Director Luiz Kaufmann | For | For | Management |
| 1.3 | Elect Director John M. Pigott | For | For | Management |
| 1.4 | Elect Director Gregory M. E. Spierkel | For | For | Management |
| 2 | Declassify the Board of Directors | Against | For | Shareholder |
| 3 | Reduce Supermajority Vote Requirement | Against | For | Shareholder |

PARKER-HANNIFIN CORPORATION

Ticker: PH Security ID: 701094104
 Meeting Date: OCT 24, 2012 Meeting Type: Annual
 Record Date: AUG 31, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Robert G. Bohn | For | For | Management |
| 1.2 | Elect Director Linda S. Harty | For | For | Management |
| 1.3 | Elect Director William E. Kassling | For | For | Management |
| 1.4 | Elect Director Robert J. Kohlhepp | For | For | Management |
| 1.5 | Elect Director Klaus-Peter Muller | For | For | Management |
| 1.6 | Elect Director Candy M. Obourn | For | For | Management |
| 1.7 | Elect Director Joseph M. Scaminace | For | For | Management |
| 1.8 | Elect Director Wolfgang R. Schmitt | For | For | Management |
| 1.9 | Elect Director Ake Svensson | For | For | Management |
| 1.10 | Elect Director James L. Wainscott | For | For | Management |
| 1.11 | Elect Director Donald E. Washkewicz | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |

5 Require Independent Board Chairman Against Against Shareholder

PAYCHEX, INC.

Ticker: PAYX Security ID: 704326107
 Meeting Date: OCT 23, 2012 Meeting Type: Annual
 Record Date: AUG 24, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director B. Thomas Golisano | For | For | Management |
| 1b | Elect Director Joseph G. Doody | For | For | Management |
| 1c | Elect Director David J. S. Flaschen | For | For | Management |
| 1d | Elect Director Phillip Horsley | For | For | Management |
| 1e | Elect Director Grant M. Inman | For | For | Management |
| 1f | Elect Director Pamela A. Joseph | For | For | Management |
| 1g | Elect Director Martin Mucci | For | For | Management |
| 1h | Elect Director Joseph M. Tucci | For | For | Management |
| 1i | Elect Director Joseph M. Velli | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |

PEOPLE'S UNITED FINANCIAL, INC.

Ticker: PBCT Security ID: 712704105
 Meeting Date: APR 18, 2013 Meeting Type: Annual
 Record Date: FEB 20, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Kevin T. Bottomley | For | For | Management |
| 1.2 | Elect Director John K. Dwight | For | For | Management |
| 1.3 | Elect Director Janet M. Hansen | For | For | Management |
| 1.4 | Elect Director Mark W. Richards | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Declassify the Board of Directors | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |

PEPSICO, INC.

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Ticker: PEP Security ID: 713448108
 Meeting Date: MAY 01, 2013 Meeting Type: Annual
 Record Date: MAR 07, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Shona L. Brown | For | For | Management |
| 1.2 | Elect Director George W. Buckley | For | For | Management |
| 1.3 | Elect Director Ian M. Cook | For | For | Management |
| 1.4 | Elect Director Dina Dublon | For | For | Management |
| 1.5 | Elect Director Victor J. Dzau | For | For | Management |
| 1.6 | Elect Director Ray L. Hunt | For | For | Management |
| 1.7 | Elect Director Alberto Ibarguen | For | For | Management |
| 1.8 | Elect Director Indra K. Nooyi | For | For | Management |
| 1.9 | Elect Director Sharon Percy Rockefeller | For | For | Management |
| 1.10 | Elect Director James J. Schiro | For | For | Management |
| 1.11 | Elect Director Lloyd G. Trotter | For | For | Management |
| 1.12 | Elect Director Daniel Vasella | For | For | Management |
| 1.13 | Elect Director Alberto Weisser | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

PFIZER INC.

Ticker: PFE Security ID: 717081103
 Meeting Date: APR 25, 2013 Meeting Type: Annual
 Record Date: FEB 27, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Dennis A. Ausiello | For | For | Management |
| 1.2 | Elect Director M. Anthony Burns | For | For | Management |
| 1.3 | Elect Director W. Don Cornwell | For | For | Management |
| 1.4 | Elect Director Frances D. Fergusson | For | For | Management |
| 1.5 | Elect Director William H. Gray, III | For | For | Management |
| 1.6 | Elect Director Helen H. Hobbs | For | For | Management |
| 1.7 | Elect Director Constance J. Horner | For | For | Management |
| 1.8 | Elect Director James M. Kilts | For | For | Management |
| 1.9 | Elect Director George A. Lorch | For | For | Management |
| 1.10 | Elect Director Suzanne Nora Johnson | For | For | Management |
| 1.11 | Elect Director Ian C. Read | For | For | Management |
| 1.12 | Elect Director Stephen W. Sanger | For | For | Management |
| 1.13 | Elect Director Marc Tessier-Lavigne | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Stock Retention/Holding Period | Against | For | Shareholder |

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5 Provide Right to Act by Written Consent Against For Shareholder

PG&E CORPORATION

Ticker: PCG Security ID: 69331C108
 Meeting Date: MAY 06, 2013 Meeting Type: Annual
 Record Date: MAR 07, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director David R. Andrews | For | For | Management |
| 1.2 | Elect Director Lewis Chew | For | For | Management |
| 1.3 | Elect Director C. Lee Cox | For | For | Management |
| 1.4 | Elect Director Anthony F. Earley, Jr. | For | For | Management |
| 1.5 | Elect Director Fred J. Fowler | For | For | Management |
| 1.6 | Elect Director Maryellen C. Herringer | For | For | Management |
| 1.7 | Elect Director Roger H. Kimmel | For | For | Management |
| 1.8 | Elect Director Richard A. Meserve | For | For | Management |
| 1.9 | Elect Director Forrest E. Miller | For | For | Management |
| 1.10 | Elect Director Rosendo G. Parra | For | For | Management |
| 1.11 | Elect Director Barbara L. Rambo | For | For | Management |
| 1.12 | Elect Director Barry Lawson Williams | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | Against | Shareholder |

PHILIP MORRIS INTERNATIONAL INC.

Ticker: PM Security ID: 718172109
 Meeting Date: MAY 08, 2013 Meeting Type: Annual
 Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Harold Brown | For | For | Management |
| 1.2 | Elect Director Mathis Cabiavetta | For | For | Management |
| 1.3 | Elect Director Andre Calantzopoulos | For | For | Management |
| 1.4 | Elect Director Louis C. Camilleri | For | For | Management |
| 1.5 | Elect Director J. Dudley Fishburn | For | For | Management |
| 1.6 | Elect Director Jennifer Li | For | For | Management |
| 1.7 | Elect Director Graham Mackay | For | For | Management |
| 1.8 | Elect Director Sergio Marchionne | For | For | Management |
| 1.9 | Elect Director Kalpana Morparia | For | For | Management |
| 1.10 | Elect Director Lucio A. Noto | For | For | Management |

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| | | | | |
|------|--|-----|-----|------------|
| 1.11 | Elect Director Robert B. Polet | For | For | Management |
| 1.12 | Elect Director Carlos Slim Helu | For | For | Management |
| 1.13 | Elect Director Stephen M. Wolf | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

PHILLIPS 66

Ticker: PSX Security ID: 718546104
 Meeting Date: MAY 08, 2013 Meeting Type: Annual
 Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|----------|-----------|------------|
| 1a | Elect Director Greg C. Garland | For | For | Management |
| 1b | Elect Director John E. Lowe | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Approve Omnibus Stock Plan | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |

PPG INDUSTRIES, INC.

Ticker: PPG Security ID: 693506107
 Meeting Date: APR 18, 2013 Meeting Type: Annual
 Record Date: FEB 22, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director James G. Berges | For | For | Management |
| 1.2 | Elect Director John V. Faraci | For | For | Management |
| 1.3 | Elect Director Victoria F. Haynes | For | For | Management |
| 1.4 | Elect Director Martin H. Richenhagen | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Declassify the Board of Directors | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |
| 5 | Reduce Supermajority Vote Requirement | Against | For | Shareholder |

QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103
 Meeting Date: MAR 05, 2013 Meeting Type: Annual
 Record Date: JAN 07, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Barbara T. Alexander | For | For | Management |
| 1b | Elect Director Donald G. Cruickshank | For | For | Management |
| 1c | Elect Director Raymond V. Dittamore | For | For | Management |
| 1d | Elect Director Susan Hockfield | For | For | Management |
| 1e | Elect Director Thomas W. Horton | For | For | Management |
| 1f | Elect Director Paul E. Jacobs | For | For | Management |
| 1g | Elect Director Sherry Lansing | For | For | Management |
| 1h | Elect Director Duane A. Nelles | For | For | Management |
| 1i | Elect Director Francisco Ros | For | For | Management |
| 1j | Elect Director Brent Scowcroft | For | For | Management |
| 1k | Elect Director Marc I. Stern | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

ROCKWELL AUTOMATION, INC.

Ticker: ROK Security ID: 773903109
 Meeting Date: FEB 05, 2013 Meeting Type: Annual
 Record Date: DEC 10, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Barry C. Johnson | For | For | Management |
| 1.2 | Elect Director William T. McCormick, Jr. | For | For | Management |
| 1.3 | Elect Director Keith D. Nosbusch | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

SANOFI

Ticker: SAN Security ID: 80105N105
 Meeting Date: MAY 03, 2013 Meeting Type: Annual/Special
 Record Date: MAR 25, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|----|---|-----|-----|------------|
| 1 | Approve Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Consolidated Financial Statements and Statutory Reports | For | For | Management |
| 3 | Approve Allocation of Income and Dividends of EUR 2.77 per Share | For | For | Management |
| 4 | Elect Fabienne Lecorvaisier as Director | For | For | Management |
| 5 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | For | Management |
| 6 | Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 1.3 Billion | For | For | Management |
| 7 | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 520 Million | For | For | Management |
| 8 | Authorize Capital Increase of up to 10 Percent of Issued Capital for Future Acquisitions | For | For | Management |
| 9 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | For | For | Management |
| 10 | Authorize Capitalization of Reserves of Up to EUR 500 Million for Bonus Issue or Increase in Par Value | For | For | Management |
| 11 | Approve Employee Stock Purchase Plan | For | For | Management |
| 12 | Authorize up to 0.2 Percent of Issued Capital for Use in Grants of Restricted Shares in Substitution for Discount under Capital Increases for Employee Stock Purchase Plans | For | For | Management |
| 13 | Authorize up to 0.7 Percent of Issued Capital for Use in Stock Option Plan | For | For | Management |
| 14 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares | For | For | Management |
| 15 | Authorize Filing of Required Documents/Other Formalities | For | For | Management |

SEASPAN CORPORATION

Ticker: SSW Security ID: Y75638109
 Meeting Date: APR 26, 2013 Meeting Type: Annual
 Record Date: MAR 07, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|----|--|-----|----------|------------|
| 1a | Elect Gerry Wang as Director | For | Withhold | Management |
| 1b | Elect Graham Porter as Director | For | Withhold | Management |
| 1c | Elect Harald H. Ludwig as Director | For | Withhold | Management |
| 2 | Ratify KPMG LLP, Chartered Accountants as Auditors | For | For | Management |

SOLAR CAPITAL LTD.

Ticker: SLRC Security ID: 83413U100
 Meeting Date: APR 30, 2013 Meeting Type: Annual
 Record Date: MAR 14, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Elect Director David S. Wachter | For | For | Management |
| 2 | Approve Issuance of Shares Below Net Asset Value (NAV) | For | For | Management |

SPECTRA ENERGY CORP

Ticker: SE Security ID: 847560109
 Meeting Date: APR 30, 2013 Meeting Type: Annual
 Record Date: MAR 04, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director William T. Esrey | For | For | Management |
| 1b | Elect Director Gregory L. Ebel | For | For | Management |
| 1c | Elect Director Austin A. Adams | For | For | Management |
| 1d | Elect Director Joseph Alvarado | For | For | Management |
| 1e | Elect Director Pamela L. Carter | For | For | Management |
| 1f | Elect Director F. Anthony Comper | For | For | Management |
| 1g | Elect Director Peter B. Hamilton | For | For | Management |
| 1h | Elect Director Dennis R. Hendrix | For | For | Management |
| 1i | Elect Director Michael McShane | For | For | Management |
| 1j | Elect Director Michael G. Morris | For | For | Management |
| 1k | Elect Director Michael E.J. Phelps | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 5 | Report on Methane Emissions | Against | For | Shareholder |

STAPLES, INC.

Ticker: SPLS Security ID: 855030102
 Meeting Date: JUN 03, 2013 Meeting Type: Annual
 Record Date: APR 08, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Basil L. Anderson | For | For | Management |
| 1b | Elect Director Arthur M. Blank | For | For | Management |
| 1c | Elect Director Drew G. Faust | For | For | Management |
| 1d | Elect Director Justin King | For | For | Management |
| 1e | Elect Director Carol Meyrowitz | For | For | Management |
| 1f | Elect Director Rowland T. Moriarty | For | For | Management |
| 1g | Elect Director Robert C. Nakasone | For | For | Management |
| 1h | Elect Director Ronald L. Sargent | For | For | Management |
| 1i | Elect Director Elizabeth A. Smith | For | For | Management |
| 1j | Elect Director Robert E. Sulentic | For | For | Management |
| 1k | Elect Director Raul Vazquez | For | For | Management |
| 1l | Elect Director Vijay Vishwanath | For | For | Management |
| 1m | Elect Director Paul F. Walsh | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Proxy Access | Against | For | Shareholder |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |

STAR ASIA FINANCE LIMITED

Ticker: Security ID: 855082301
 Meeting Date: SEP 12, 2012 Meeting Type: Annual
 Record Date: AUG 06, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|--------------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | Did Not Vote | Management |
| 2.1 | Reelect Talmai Morgan as Director | For | Did Not Vote | Management |
| 2.2 | Reelect Roger Phillips as Director | For | Did Not Vote | Management |
| 2.3 | Reelect Daniel Cohen as Director | For | Did Not Vote | Management |
| 3 | Reappoint Grant Thornton LLP as Auditors and Authorise Board to Fix Their Remuneration | For | Did Not Vote | Management |

STMICROELECTRONICS NV

Ticker: STM Security ID: 861012102
 Meeting Date: JUN 21, 2013 Meeting Type: Annual
 Record Date: MAY 24, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1 | Open Meeting | None | None | Management |
| 2 | Receive Report of Management Board (Non-Voting) | None | None | Management |
| 3 | Receive Report of Supervisory Board (Non-Voting) | None | None | Management |
| 4a | Adopt Financial Statements | For | For | Management |
| 4b | Receive Explanation on Company's Reserves and Dividend Policy | None | None | Management |
| 4c1 | Approve Allocation of Income | For | For | Management |
| 4c2 | Approve Dividends of USD 0.20 Per Share | For | For | Management |
| 4d | Approve Discharge of Management Board | For | For | Management |
| 4e | Approve Discharge of Supervisory Board | For | For | Management |
| 5 | Approve Restricted Stock Grants to CEO | For | Against | Management |
| 6 | Approve Amendments Remuneration of Supervisory Board | For | For | Management |
| 7a | Elect Janet G. Davidson to Supervisory Board | For | For | Management |
| 7b | Elect Alessandro Ovi to Supervisory Board | For | Against | Management |
| 8 | Approve Employee Restricted Stock Plan | For | Against | Management |
| 9 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | For | Management |
| 10 | Allow Questions | None | None | Management |
| 11 | Close Meeting | None | None | Management |

TARGET CORPORATION

Ticker: TGT Security ID: 87612E106
 Meeting Date: JUN 12, 2013 Meeting Type: Annual
 Record Date: APR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Roxanne S. Austin | For | For | Management |
| 1b | Elect Director Douglas M. Baker, Jr. | For | For | Management |
| 1c | Elect Director Henrique De Castro | For | For | Management |
| 1d | Elect Director Calvin Darden | For | For | Management |
| 1e | Elect Director Mary N. Dillon | For | For | Management |
| 1f | Elect Director James A. Johnson | For | For | Management |
| 1g | Elect Director Mary E. Minnick | For | For | Management |

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| | | | | |
|----|--|---------|---------|-------------|
| 1h | Elect Director Anne M. Mulcahy | For | For | Management |
| 1i | Elect Director Derica W. Rice | For | For | Management |
| 1j | Elect Director Gregg W. Steinhafel | For | For | Management |
| 1k | Elect Director John G. Stumpf | For | For | Management |
| 1l | Elect Director Solomon D. Trujillo | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Report on Electronics Recycling and Preventing E-Waste Export | Against | Against | Shareholder |

THE CLOROX COMPANY

Ticker: CLX Security ID: 189054109
 Meeting Date: NOV 14, 2012 Meeting Type: Annual
 Record Date: SEP 18, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Daniel Boggan, Jr. | For | For | Management |
| 1.2 | Elect Director Richard H. Carmona | For | For | Management |
| 1.3 | Elect Director Tully M. Friedman | For | For | Management |
| 1.4 | Elect Director George J. Harad | For | For | Management |
| 1.5 | Elect Director Donald R. Knauss | For | For | Management |
| 1.6 | Elect Director Robert W. Matschullat | For | For | Management |
| 1.7 | Elect Director Edward A. Mueller | For | For | Management |
| 1.8 | Elect Director Pamela Thomas-Graham | For | For | Management |
| 1.9 | Elect Director Carolyn M. Ticknor | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |

THE COCA-COLA COMPANY

Ticker: KO Security ID: 191216100
 Meeting Date: JUL 10, 2012 Meeting Type: Special
 Record Date: MAY 21, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---------------------|---------|-----------|------------|
| 1 | Approve Stock Split | For | For | Management |

THE COCA-COLA COMPANY

Ticker: KO Security ID: 191216100
 Meeting Date: APR 24, 2013 Meeting Type: Annual
 Record Date: FEB 25, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Herbert A. Allen | For | For | Management |
| 1.2 | Elect Director Ronald W. Allen | For | Against | Management |
| 1.3 | Elect Director Howard G. Buffett | For | For | Management |
| 1.4 | Elect Director Richard M. Daley | For | For | Management |
| 1.5 | Elect Director Barry Diller | For | For | Management |
| 1.6 | Elect Director Helene D. Gayle | For | For | Management |
| 1.7 | Elect Director Evan G. Greenberg | For | For | Management |
| 1.8 | Elect Director Alexis M. Herman | For | For | Management |
| 1.9 | Elect Director Muhtar Kent | For | For | Management |
| 1.10 | Elect Director Robert A. Kotick | For | For | Management |
| 1.11 | Elect Director Maria Elena Lagomasino | For | For | Management |
| 1.12 | Elect Director Donald F. McHenry | For | For | Management |
| 1.13 | Elect Director Sam Nunn | For | For | Management |
| 1.14 | Elect Director James D. Robinson, III | For | For | Management |
| 1.15 | Elect Director Peter V. Ueberroth | For | For | Management |
| 1.16 | Elect Director Jacob Wallenberg | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Provide Right to Call Special Meeting | For | For | Management |
| 5 | Establish Board Committee on Human Rights | Against | Against | Shareholder |

THE DOW CHEMICAL COMPANY

Ticker: DOW Security ID: 260543103
 Meeting Date: MAY 09, 2013 Meeting Type: Annual
 Record Date: MAR 18, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Arnold A. Allemang | For | For | Management |
| 1b | Elect Director Ajay Banga | For | For | Management |
| 1c | Elect Director Jacqueline K. Barton | For | For | Management |
| 1d | Elect Director James A. Bell | For | For | Management |
| 1e | Elect Director Jeff M. Fettig | For | For | Management |
| 1f | Elect Director Andrew N. Liveris | For | For | Management |
| 1g | Elect Director Paul Polman | For | For | Management |

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| | | | | |
|----|--|---------|-----|-------------|
| 1h | Elect Director Dennis H. Reilley | For | For | Management |
| 1i | Elect Director James M. Ringler | For | For | Management |
| 1j | Elect Director Ruth G. Shaw | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Stock Retention | Against | For | Shareholder |

THE KROGER CO.

Ticker: KR Security ID: 501044101
 Meeting Date: JUN 27, 2013 Meeting Type: Annual
 Record Date: APR 30, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Reuben V. Anderson | For | For | Management |
| 1b | Elect Director Robert D. Beyer | For | For | Management |
| 1c | Elect Director David B. Dillon | For | For | Management |
| 1d | Elect Director Susan J. Kropf | For | For | Management |
| 1e | Elect Director John T. LaMacchia | For | For | Management |
| 1f | Elect Director David B. Lewis | For | For | Management |
| 1g | Elect Director W. Rodney McMullen | For | For | Management |
| 1h | Elect Director Jorge P. Montoya | For | For | Management |
| 1i | Elect Director Clyde R. Moore | For | For | Management |
| 1j | Elect Director Susan M. Phillips | For | For | Management |
| 1k | Elect Director Steven R. Rogel | For | For | Management |
| 1l | Elect Director James A. Runde | For | For | Management |
| 1m | Elect Director Ronald L. Sargent | For | For | Management |
| 1n | Elect Director Bobby S. Shackouls | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Auditors | For | For | Management |
| 4 | Report on Supply Chain Human Rights Risks and Management | Against | Against | Shareholder |
| 5 | Require Independent Board Chairman | Against | Against | Shareholder |
| 6 | Report on Adopting Extended Producer Responsibility Policy | Against | Against | Shareholder |
| 7 | Adopt and Implement Sustainable Palm Oil Policy | Against | Against | Shareholder |

THE PROCTER & GAMBLE COMPANY

Ticker: PG Security ID: 742718109

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Meeting Date: OCT 09, 2012 Meeting Type: Annual
Record Date: AUG 10, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Angela F. Braly | For | For | Management |
| 1.2 | Elect Director Kenneth I. Chenault | For | For | Management |
| 1.3 | Elect Director Scott D. Cook | For | For | Management |
| 1.4 | Elect Director Susan Desmond-Hellmann | For | For | Management |
| 1.5 | Elect Director Robert A. McDonald | For | For | Management |
| 1.6 | Elect Director W. James McNeerney, Jr. | For | For | Management |
| 1.7 | Elect Director Johnathan A. Rodgers | For | For | Management |
| 1.8 | Elect Director Margaret C. Whitman | For | For | Management |
| 1.9 | Elect Director Mary Agnes Wilderotter | For | For | Management |
| 1.10 | Elect Director Patricia A. Woertz | For | For | Management |
| 1.11 | Elect Director Ernesto Zedillo | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Adopt Proxy Statement Reporting on Political Contributions and Advisory Vote | Against | Against | Shareholder |
| 5 | Report on Adopting Extended Producer Responsibility Policy | Against | Against | Shareholder |
| 6 | Reduce Supermajority Vote Requirement | Against | For | Shareholder |

THE SOUTHERN COMPANY

Ticker: SO Security ID: 842587107
Meeting Date: MAY 22, 2013 Meeting Type: Annual
Record Date: MAR 25, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Juanita Powell Baranco | For | For | Management |
| 1b | Elect Director Jon A. Boscia | For | For | Management |
| 1c | Elect Director Henry A. 'Hal' Clark, III | For | For | Management |
| 1d | Elect Director Thomas A. Fanning | For | For | Management |
| 1e | Elect Director David J. Grain | For | For | Management |
| 1f | Elect Director H. William Habermeyer, Jr. | For | For | Management |
| 1g | Elect Director Veronica M. Hagen | For | For | Management |
| 1h | Elect Director Warren A. Hood, Jr. | For | For | Management |
| 1i | Elect Director Donald M. James | For | For | Management |
| 1j | Elect Director Dale E. Klein | For | For | Management |
| 1k | Elect Director William G. Smith, Jr. | For | For | Management |
| 1l | Elect Director Steven R. Specker | For | For | Management |
| 1m | Elect Director E. Jenner Wood, III | For | Against | Management |

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| | | | | |
|---|--|-----|-----|------------|
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Alter Mandatory Retirement Policy for Directors | For | For | Management |
| 5 | Reduce Supermajority Vote Requirement | For | For | Management |
| 6 | Reduce Supermajority Vote Requirement | For | For | Management |

THE WESTERN UNION COMPANY

Ticker: WU Security ID: 959802109
 Meeting Date: MAY 30, 2013 Meeting Type: Annual
 Record Date: APR 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|-------------|
| 1 | Elect Director Dinyar S. Devitre | For | For | Management |
| 2 | Elect Director Betsy D. Holden | For | For | Management |
| 3 | Elect Director Wulf von Schimmelmann | For | For | Management |
| 4 | Elect Director Solomon D. Trujillo | For | For | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 6 | Ratify Auditors | For | For | Management |
| 7 | Provide Right to Call Special Meeting | For | For | Management |
| 8 | Require Consistency with Corporate Values and Report on Political Contributions | Against | Against | Shareholder |

TIME WARNER INC.

Ticker: TWX Security ID: 887317303
 Meeting Date: MAY 23, 2013 Meeting Type: Annual
 Record Date: MAR 25, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director James L. Barksdale | For | For | Management |
| 1.2 | Elect Director William P. Barr | For | For | Management |
| 1.3 | Elect Director Jeffrey L. Bewkes | For | For | Management |
| 1.4 | Elect Director Stephen F. Bollenbach | For | For | Management |
| 1.5 | Elect Director Robert C. Clark | For | For | Management |
| 1.6 | Elect Director Mathias Dopfner | For | For | Management |
| 1.7 | Elect Director Jessica P. Einhorn | For | For | Management |
| 1.8 | Elect Director Fred Hassan | For | For | Management |
| 1.9 | Elect Director Kenneth J. Novack | For | For | Management |

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| | | | | |
|------|--|-----|-----|------------|
| 1.10 | Elect Director Paul D. Wachter | For | For | Management |
| 1.11 | Elect Director Deborah C. Wright | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |

U.S. BANCORP

Ticker: USB Security ID: 902973304
 Meeting Date: APR 16, 2013 Meeting Type: Annual
 Record Date: FEB 20, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Douglas M. Baker, Jr. | For | For | Management |
| 1b | Elect Director Y. Marc Belton | For | For | Management |
| 1c | Elect Director Victoria Buyniski Gluckman | For | For | Management |
| 1d | Elect Director Arthur D. Collins, Jr. | For | For | Management |
| 1e | Elect Director Richard K. Davis | For | For | Management |
| 1f | Elect Director Roland A. Hernandez | For | For | Management |
| 1g | Elect Director Doreen Woo Ho | For | For | Management |
| 1h | Elect Director Joel W. Johnson | For | For | Management |
| 1i | Elect Director Olivia F. Kirtley | For | For | Management |
| 1j | Elect Director Jerry W. Levin | For | For | Management |
| 1k | Elect Director David B. O'Maley | For | For | Management |
| 1l | Elect Director O'dell M. Owens | For | For | Management |
| 1m | Elect Director Craig D. Schnuck | For | For | Management |
| 1n | Elect Director Patrick T. Stokes | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | Against | Shareholder |

UNITED PARCEL SERVICE, INC.

Ticker: UPS Security ID: 911312106
 Meeting Date: MAY 02, 2013 Meeting Type: Annual
 Record Date: MAR 15, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|----------------------------------|---------|-----------|------------|
| 1a | Elect Director F. Duane Ackerman | For | For | Management |
| 1b | Elect Director Michael J. Burns | For | For | Management |

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|----|--|---------|---------|-------------|
| 1c | Elect Director D. Scott Davis | For | For | Management |
| 1d | Elect Director Stuart E. Eizenstat | For | For | Management |
| 1e | Elect Director Michael L. Eskew | For | For | Management |
| 1f | Elect Director William R. Johnson | For | For | Management |
| 1g | Elect Director Candace Kendle | For | For | Management |
| 1h | Elect Director Ann M. Livermore | For | For | Management |
| 1i | Elect Director Rudy H. P. Markham | For | For | Management |
| 1j | Elect Director Clark T. Randt, Jr. | For | For | Management |
| 1k | Elect Director Carol B. Tome | For | For | Management |
| 1l | Elect Director Kevin M. Warsh | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Report on Lobbying Payments and Policy Against | Against | Against | Shareholder |
| 4 | Approve Recapitalization Plan for all | Against | For | Shareholder |

Stock to Have One-vote per Share

UNITED TECHNOLOGIES CORPORATION

Ticker: UTX Security ID: 913017109
 Meeting Date: APR 29, 2013 Meeting Type: Annual
 Record Date: MAR 01, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Louis R. Chenevert | For | For | Management |
| 1b | Elect Director John V. Faraci | For | For | Management |
| 1c | Elect Director Jean-Pierre Garnier | For | For | Management |
| 1d | Elect Director Jamie S. Gorelick | For | For | Management |
| 1e | Elect Director Edward A. Kangas | For | For | Management |
| 1f | Elect Director Ellen J. Kullman | For | For | Management |
| 1g | Elect Director Marshall O. Larsen | For | For | Management |
| 1h | Elect Director Harold McGraw, III | For | For | Management |
| 1i | Elect Director Richard B. Myers | For | For | Management |
| 1j | Elect Director H. Patrick Swygert | For | For | Management |
| 1k | Elect Director Andre Villeneuve | For | For | Management |
| 1l | Elect Director Christine Todd Whitman | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

VERIZON COMMUNICATIONS INC.

Ticker: VZ Security ID: 92343V104
 Meeting Date: MAY 02, 2013 Meeting Type: Annual
 Record Date: MAR 04, 2013

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor | |
|------|--|---------|-----------|-------------|-------------|
| 1.1 | Elect Director Richard L. Carrion | For | For | Management | |
| 1.2 | Elect Director Melanie L. Healey | For | For | Management | |
| 1.3 | Elect Director M. Frances Keeth | For | For | Management | |
| 1.4 | Elect Director Robert W. Lane | For | For | Management | |
| 1.5 | Elect Director Lowell C. McAdam | For | For | Management | |
| 1.6 | Elect Director Sandra O. Moose | For | For | Management | |
| 1.7 | Elect Director Joseph Neubauer | For | For | Management | |
| 1.8 | Elect Director Donald T. Nicolaisen | For | For | Management | |
| 1.9 | Elect Director Clarence Otis, Jr. | For | For | Management | |
| 1.10 | Elect Director Hugh B. Price | For | For | Management | |
| 1.11 | Elect Director Rodney E. Slater | For | For | Management | |
| 1.12 | Elect Director Kathryn A. Tesija | For | For | Management | |
| 1.13 | Elect Director Gregory D. Wasson | For | For | Management | |
| 2 | Ratify Auditors | For | For | Management | |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management | |
| 4 | Amend Omnibus Stock Plan | For | For | Management | |
| 5 | Commit to Wireless Network Neutrality | Against | For | Shareholder | |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder | |
| 7 | Adopt Proxy Access Right | Against | For | Shareholder | |
| 8 | Submit Severance Agreement (Change-in-Control) to Shareholder Vote | Against | For | Shareholder | |
| 9 | Amend Articles/Bylaws/Charter-Special Meetings | Call | Against | For | Shareholder |
| 10 | Provide Right to Act by Written Consent | Against | For | Shareholder | |

VODAFONE GROUP PLC

Ticker: VOD Security ID: 92857W209
 Meeting Date: JUL 24, 2012 Meeting Type: Annual
 Record Date: JUN 01, 2012

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Re-elect Gerard Kleisterlee as Director | For | For | Management |
| 3 | Re-elect Vittorio Colao as Director | For | For | Management |
| 4 | Re-elect Andy Halford as Director | For | For | Management |
| 5 | Re-elect Stephen Pusey as Director | For | For | Management |
| 6 | Re-elect Renee James as Director | For | For | Management |
| 7 | Re-elect Alan Jebson as Director | For | For | Management |
| 8 | Re-elect Samuel Jonah as Director | For | For | Management |
| 9 | Re-elect Nick Land as Director | For | For | Management |
| 10 | Re-elect Anne Lauvergeon as Director | For | For | Management |
| 11 | Re-elect Luc Vandeveldel as Director | For | For | Management |

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| | | | | |
|----|--|-----|-----|------------|
| 12 | Re-elect Anthony Watson as Director | For | For | Management |
| 13 | Re-elect Philip Yea as Director | For | For | Management |
| 14 | Approve Final Dividend | For | For | Management |
| 15 | Approve Remuneration Report | For | For | Management |
| 16 | Reappoint Deloitte LLP as Auditors | For | For | Management |
| 17 | Authorise the Audit and Risk Committee to Fix Remuneration of Auditors | For | For | Management |
| 18 | Authorise Issue of Equity with Pre-emptive Rights | For | For | Management |
| 19 | Authorise Issue of Equity without Pre-emptive Rights | For | For | Management |
| 20 | Authorise Market Purchase of Ordinary Shares | For | For | Management |
| 21 | Authorise EU Political Donations and Expenditure | For | For | Management |
| 22 | Authorise the Company to Call EGM with Two Weeks' Notice | For | For | Management |

WASTE MANAGEMENT, INC.

Ticker: WM Security ID: 94106L109
 Meeting Date: MAY 10, 2013 Meeting Type: Annual
 Record Date: MAR 13, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Bradbury H. Anderson | For | For | Management |
| 1b | Elect Director Frank M. Clark, Jr. | For | For | Management |
| 1c | Elect Director Patrick W. Gross | For | For | Management |
| 1d | Elect Director Victoria M. Holt | For | For | Management |
| 1e | Elect Director John C. Pope | For | For | Management |
| 1f | Elect Director W. Robert Reum | For | For | Management |
| 1g | Elect Director David P. Steiner | For | For | Management |
| 1h | Elect Director Thomas H. Weidemeyer | For | For | Management |
| 2 | Ratify Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Stock Retention | Against | For | Shareholder |
| 5 | Report on Political Contributions | Against | For | Shareholder |
| 6 | Adopt Compensation Benchmarking Policy | Against | For | Shareholder |

WELLS FARGO & COMPANY

Ticker: WFC Security ID: 949746101
 Meeting Date: APR 23, 2013 Meeting Type: Annual

Record Date: FEB 26, 2013

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director John D. Baker, II | For | For | Management |
| 1b | Elect Director Elaine L. Chao | For | For | Management |
| 1c | Elect Director John S. Chen | For | For | Management |
| 1d | Elect Director Lloyd H. Dean | For | For | Management |
| 1e | Elect Director Susan E. Engel | For | For | Management |
| 1f | Elect Director Enrique Hernandez, Jr. | For | For | Management |
| 1g | Elect Director Donald M. James | For | For | Management |
| 1h | Elect Director Cynthia H. Milligan | For | For | Management |
| 1i | Elect Director Federico F. Pena | For | For | Management |
| 1j | Elect Director Howard V. Richardson | For | For | Management |
| 1k | Elect Director Judith M. Runstad | For | For | Management |
| 1l | Elect Director Stephen W. Sanger | For | For | Management |
| 1m | Elect Director John G. Stumpf | For | For | Management |
| 1n | Elect Director Susan G. Swenson | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Ratify Auditors | For | For | Management |
| 5 | Require Independent Board Chairman | Against | Against | Shareholder |
| 6 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 7 | Review Fair Housing and Fair Lending Compliance | Against | For | Shareholder |

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this Report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dividend and Income Fund

By: /s/ Thomas B. Winmill
 Thomas B. Winmill, Chief
 Executive Officer

Date: June 13, 2014