

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

DIVIDEND & INCOME FUND

Form NSAR-B

February 26, 2018

PAGE 1  
000 B000000 12/31/2017  
000 C000000 0001059213  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 DIVIDEND AND INCOME FUND  
001 B000000 811-08747  
001 C000000 2127850900  
002 A000000 11 HANOVER SQUARE  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10005  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 BEXIL ADVISERS LLC  
008 B000001 A  
008 C000001 801-71976  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10005  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC  
012 B000001 84-00416  
012 C010001 BROOKLYN  
012 C020001 NY  
012 C030001 11219  
013 A000001 TAIT, WELLER & BAKER LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
015 A000001 STATE STREET BANK & TRUST COMPANY  
015 B000001 C  
015 C010001 KANSAS CITY  
015 C020001 MO  
PAGE 2  
015 C030001 64105  
015 E010001 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 FIDELITY BROKERAGE

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|     |         |                           |
|-----|---------|---------------------------|
| 020 | C000001 | 42                        |
| 020 | A000002 | TRADE MANAGE CAPITAL INC. |
| 020 | C000002 | 21                        |
| 020 | A000003 | BMO CAPITAL MARKETS CORP. |
| 020 | C000003 | 2                         |
| 020 | C000004 | 0                         |
| 020 | C000005 | 0                         |
| 020 | C000006 | 0                         |
| 020 | C000007 | 0                         |
| 020 | C000008 | 0                         |
| 020 | C000009 | 0                         |
| 020 | C000010 | 0                         |
| 021 | 000000  | 65                        |
| 022 | A000001 | STATE STREET              |
| 022 | C000001 | 93215                     |
| 022 | D000001 | 94069                     |
| 022 | C000002 | 0                         |
| 022 | D000002 | 0                         |
| 022 | C000003 | 0                         |
| 022 | D000003 | 0                         |
| 022 | C000004 | 0                         |
| 022 | D000004 | 0                         |
| 022 | C000005 | 0                         |
| 022 | D000005 | 0                         |
| 022 | C000006 | 0                         |
| 022 | D000006 | 0                         |
| 022 | C000007 | 0                         |
| 022 | D000007 | 0                         |
| 022 | C000008 | 0                         |
| 022 | D000008 | 0                         |
| 022 | C000009 | 0                         |
| 022 | D000009 | 0                         |
| 022 | C000010 | 0                         |
| 022 | D000010 | 0                         |
| 023 | C000000 | 93215                     |
| 023 | D000000 | 94069                     |
| 024 | 000000  | N                         |
| 025 | D000001 | 0                         |
| 025 | D000002 | 0                         |
| 025 | D000003 | 0                         |
| 025 | D000004 | 0                         |
| 025 | D000005 | 0                         |
| 025 | D000006 | 0                         |
| 025 | D000007 | 0                         |
| 025 | D000008 | 0                         |
|     | PAGE    | 3                         |
| 026 | A000000 | N                         |
| 026 | B000000 | Y                         |
| 026 | C000000 | N                         |
| 026 | D000000 | Y                         |
| 026 | E000000 | N                         |
| 026 | F000000 | N                         |
| 026 | G010000 | N                         |
| 026 | G020000 | N                         |
| 026 | H000000 | Y                         |
| 027 | 000000  | N                         |
| 028 | A010000 | 0                         |
| 028 | A020000 | 0                         |
| 028 | A030000 | 0                         |
| 028 | A040000 | 0                         |
| 028 | B010000 | 0                         |
| 028 | B020000 | 0                         |

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|     |         |       |   |
|-----|---------|-------|---|
| 028 | B030000 |       | 0 |
| 028 | B040000 |       | 0 |
| 028 | C010000 |       | 0 |
| 028 | C020000 |       | 0 |
| 028 | C030000 |       | 0 |
| 028 | C040000 |       | 0 |
| 028 | D010000 |       | 0 |
| 028 | D020000 |       | 0 |
| 028 | D030000 |       | 0 |
| 028 | D040000 |       | 0 |
| 028 | E010000 |       | 0 |
| 028 | E020000 |       | 0 |
| 028 | E030000 |       | 0 |
| 028 | E040000 |       | 0 |
| 028 | F010000 |       | 0 |
| 028 | F020000 |       | 0 |
| 028 | F030000 |       | 0 |
| 028 | F040000 |       | 0 |
| 028 | G010000 |       | 0 |
| 028 | G020000 |       | 0 |
| 028 | G030000 |       | 0 |
| 028 | G040000 |       | 0 |
| 028 | H000000 |       | 0 |
| 030 | A000000 |       | 0 |
| 030 | B000000 | 0.00  |   |
| 030 | C000000 | 0.00  |   |
| 031 | A000000 |       | 0 |
| 031 | B000000 |       | 0 |
| 032 | 000000  |       | 0 |
| 033 | 000000  |       | 0 |
| 035 | 000000  |       | 0 |
| 036 | B000000 |       | 0 |
| 038 | 000000  |       | 0 |
| 042 | A000000 |       | 0 |
| 042 | B000000 |       | 0 |
|     | PAGE    | 4     |   |
| 042 | C000000 |       | 0 |
| 042 | D000000 |       | 0 |
| 042 | E000000 |       | 0 |
| 042 | F000000 |       | 0 |
| 042 | G000000 |       | 0 |
| 042 | H000000 |       | 0 |
| 043 | 000000  |       | 0 |
| 044 | 000000  |       | 0 |
| 045 | 000000  | Y     |   |
| 046 | 000000  | N     |   |
| 047 | 000000  | Y     |   |
| 048 | 000000  | 0.950 |   |
| 048 | A010000 |       | 0 |
| 048 | A020000 | 0.000 |   |
| 048 | B010000 |       | 0 |
| 048 | B020000 | 0.000 |   |
| 048 | C010000 |       | 0 |
| 048 | C020000 | 0.000 |   |
| 048 | D010000 |       | 0 |
| 048 | D020000 | 0.000 |   |
| 048 | E010000 |       | 0 |
| 048 | E020000 | 0.000 |   |
| 048 | F010000 |       | 0 |
| 048 | F020000 | 0.000 |   |
| 048 | G010000 |       | 0 |
| 048 | G020000 | 0.000 |   |

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048 H010000 0  
 048 H020000 0.000  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 0  
 048 K020000 0.000  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 N  
 054 B000000 N  
 054 C000000 N  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 Y  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 N  
 054 K000000 N  
 054 L000000 N  
 PAGE 5  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 N  
 055 A000000 N  
 055 B000000 Y  
 056 000000 N  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 062 L000000 0.0  
 062 M000000 0.0  
 062 N000000 0.0  
 062 O000000 0.0  
 062 P000000 0.0  
 062 Q000000 0.0  
 062 R000000 0.0  
 063 A000000 0  
 063 B000000 0.0  
 066 A000000 Y  
 066 B000000 N  
 066 C000000 N  
 066 D000000 N

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066 E000000 N  
 066 F000000 Y  
 066 G000000 N  
 067 000000 N  
 068 A000000 N  
 068 B000000 N  
 069 000000 N  
 070 A010000 Y  
 070 A020000 N  
 070 B010000 Y  
 070 B020000 N  
 070 C010000 Y  
 070 C020000 N  
 070 D010000 Y  
 070 D020000 N

PAGE 6

070 E010000 Y  
 070 E020000 N  
 070 F010000 Y  
 070 F020000 N  
 070 G010000 Y  
 070 G020000 N  
 070 H010000 Y  
 070 H020000 N  
 070 I010000 Y  
 070 I020000 N  
 070 J010000 Y  
 070 J020000 Y  
 070 K010000 Y  
 070 K020000 Y  
 070 L010000 Y  
 070 L020000 Y  
 070 M010000 Y  
 070 M020000 Y  
 070 N010000 Y  
 070 N020000 Y  
 070 O010000 Y  
 070 O020000 Y  
 070 P010000 Y  
 070 P020000 N  
 070 Q010000 N  
 070 Q020000 N  
 070 R010000 Y  
 070 R020000 N

|             |        |
|-------------|--------|
| 071 A000000 | 71733  |
| 071 B000000 | 68557  |
| 071 C000000 | 169989 |
| 071 D000000 | 40     |
| 072 A000000 | 12     |
| 072 B000000 | 42     |
| 072 C000000 | 4505   |
| 072 D000000 | 0      |
| 072 E000000 | 0      |
| 072 F000000 | 1633   |
| 072 G000000 | 262    |
| 072 H000000 | 0      |
| 072 I000000 | 20     |
| 072 J000000 | 8      |
| 072 K000000 | 11     |
| 072 L000000 | 32     |
| 072 M000000 | 79     |
| 072 N000000 | 35     |

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072 O000000 0  
 072 P000000 238  
 072 Q000000 84  
 072 R000000 36  
 072 S000000 68

PAGE 7

072 T000000 0  
 072 U000000 0  
 072 V000000 16  
 072 W000000 347  
 072 X000000 2867  
 072 Y000000 0  
 072 Z000000 1680  
 072AA000000 5223  
 072BB000000 0  
 072CC010000 27388  
 072CC020000 0  
 072DD010000 0  
 072DD020000 0  
 072EE000000 0  
 073 A010000 0.3900  
 073 A020000 0.0000  
 073 B000000 0.0900  
 073 C000000 0.1200  
 074 A000000 0  
 074 B000000 0  
 074 C000000 0  
 074 D000000 527  
 074 E000000 5393  
 074 F000000 204674  
 074 G000000 0  
 074 H000000 0  
 074 I000000 3923  
 074 J000000 0  
 074 K000000 0  
 074 L000000 316  
 074 M000000 38  
 074 N000000 214871  
 074 O000000 0  
 074 P000000 231  
 074 Q000000 0  
 074 R010000 0  
 074 R020000 0  
 074 R030000 0  
 074 R040000 35239  
 074 S000000 0  
 074 T000000 179401  
 074 U010000 10690  
 074 U020000 0  
 074 V010000 16.78  
 074 V020000 0.00  
 074 W000000 0.0000  
 074 X000000 38  
 074 Y000000 13803  
 075 A000000 0  
 075 B000000 162245  
 076 000000 13.43

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077 A000000 Y  
 077 B000000 Y  
 078 000000 N  
 080 A000000 ICI MUTUAL INSURANCE COMPANY

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|           |         |                              |
|-----------|---------|------------------------------|
| 080       | C000000 | 1100                         |
| 081       | A000000 | Y                            |
| 081       | B000000 | 8                            |
| 082       | A000000 | Y                            |
| 082       | B000000 | 25                           |
| 083       | A000000 | N                            |
| 083       | B000000 | 0                            |
| 084       | A000000 | N                            |
| 084       | B000000 | 0                            |
| 085       | A000000 | Y                            |
| 085       | B000000 | N                            |
| 086       | A010000 | 0                            |
| 086       | A020000 | 0                            |
| 086       | B010000 | 0                            |
| 086       | B020000 | 0                            |
| 086       | C010000 | 0                            |
| 086       | C020000 | 0                            |
| 086       | D010000 | 0                            |
| 086       | D020000 | 0                            |
| 086       | E010000 | 0                            |
| 086       | E020000 | 0                            |
| 086       | F010000 | 0                            |
| 086       | F020000 | 0                            |
| 087       | A010000 | SHARE OF BENEFICIAL INTEREST |
| 087       | A020000 | 25538A204                    |
| 087       | A030000 | DNI                          |
| 088       | A000000 | N                            |
| 088       | B000000 | N                            |
| 088       | C000000 | N                            |
| 088       | D000000 | N                            |
| SIGNATURE |         |                              |
| TITLE     |         |                              |