#### Edgar Filing: KINGSWAY FINANCIAL SERVICES INC - Form 4

#### KINGSWAY FINANCIAL SERVICES INC

Form 4 July 14, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Fitzgerald John Taylor Maloney

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**Executive Vice President** 

KINGSWAY FINANCIAL SERVICES INC [KFS]

(Last) (First)

(State)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title below)

10% Owner Other (specify

KINGSWAY FINANCIAL SERVICES, 150 PIERCE RD

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

07/14/2016

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_\_ Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ITASCA, IL 60143

(City)

|            |                     |                    |            |                               |         |           | lanca, 2 isposea   | or, or <i>2</i> criticing |              |
|------------|---------------------|--------------------|------------|-------------------------------|---------|-----------|--------------------|---------------------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed         | 3.         | 4. Securi                     | ities A | cquired   | 5. Amount of       | 6. Ownership              | 7. Nature of |
| Security   | (Month/Day/Year)    | Execution Date, if | Transactio | Transaction(A) or Disposed of |         |           | Securities         | Form: Direct              | Indirect     |
| (Instr. 3) |                     | any                | Code       | (D)                           |         |           | Beneficially       | (D) or                    | Beneficial   |
|            |                     | (Month/Day/Year)   | (Instr. 8) | (Instr. 3, 4 and 5)           |         | Owned     | Indirect (I)       | Ownership                 |              |
|            |                     | •                  |            | , ,                           |         | Following | (Instr. 4)         | (Instr. 4)                |              |
|            |                     |                    |            |                               |         |           | Reported           |                           |              |
|            |                     |                    |            |                               | (A)     |           | Transaction(s)     |                           |              |
|            |                     |                    | G 1 17     |                               | or      | ъ.        | (Instr. 3 and 4)   |                           |              |
|            |                     |                    | Code V     | Amount                        | (D)     | Price     |                    |                           |              |
| Common     | 07/14/2016          |                    | Р          | 2,053                         | ٨       | \$        | 306,530 (1)        | D                         |              |
| Stock      | 07/14/2010          |                    | 1          | 2,033                         | А       | 5.39      | 300,330 <u>~</u>   | D                         |              |
|            |                     |                    |            |                               |         |           |                    |                           |              |
| Common     | 07/14/2016          |                    | Р          | 996                           | Α       | \$ 5 1    | 307,526 (1)        | D                         |              |
| Stock      | 07/14/2010          |                    | 1          | <i>33</i> 0                   | А       | φ 3.4     | 301,320 <u>(*)</u> | D                         |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer    |            | 7. Title     |          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|------------|--------------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D    | ate        | Amou         | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/     | Year)      | Under        | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e               |            | Securi       | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |                 |            | (Instr.      | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                 |            |              |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |                 |            |              |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |                 |            |              |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |                 |            |              |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                 |            |              |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |                 |            |              |          |             |        |
|             |             |                     |                    |            |            |                 |            |              |          |             |        |
|             |             |                     |                    |            |            |                 |            |              | Amount   |             |        |
|             |             |                     |                    |            |            | Date            | Expiration |              | or       |             |        |
|             |             |                     |                    |            |            | Exercisable     | Date       | Title Number |          |             |        |
|             |             |                     |                    |            |            | Excression Date |            | of           |          |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                 |            |              | Shares   |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address | eporting Owner Name / Address |           |         |       |
|--------------------------------|-------------------------------|-----------|---------|-------|
|                                | Director                      | 10% Owner | Officer | Other |

X

Fitzgerald John Taylor Maloney KINGSWAY FINANCIAL SERVICES 150 PIERCE RD ITASCA, IL 60143

**Executive Vice President** 

Dolotionchine

### **Signatures**

/s/ John Taylor Maloney
Fitzerald

07/14/2016

\*\*Signature of Reporting Person

#### . . . . . . .

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These trades were pursuant to the SEC Act Rule 10b5-1

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Reporting Owners 2