Edgar Filing: ERGEN CHARLES W - Form 4

ERGEN CHA Form 4	ARLES W										
January 03, 24	4 UNITED	STATES				ND EXC D.C. 205		NGE (COMMISSION		PPROVAL 3235-0287
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation	Filed pur	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									January 31, 2005 average irs per 0.5
may contin <i>See</i> Instruct 1(b).	nue.		of the Inv	•		•	- •				
(Print or Type R	esponses)										
1. Name and Ac ERGEN CH	ddress of Reporting ARLES W	Person <u>*</u>	2. Issuer Symbol DISH No			Ficker or T		g	5. Relationship of Issuer		
(Last) 9601 S. MEF	(First) (1 RIDIAN BLVD.	Middle)	3. Date of (Month/Da 12/31/20	Earlies ay/Year	t Tra	-			(Chec X Director X Officer (give below)	ek all applicable = X_ 109 = title Oth below) Chairman	
ENGLEWO	(Street) OD, CO 80112		4. If Amer Filed(Mon			e Original			_X_ Form filed by I	One Reporting Pe	rson
(City)	(State)	(Zip)	Table	e I - No	n-De	rivative S	Securi	ties Aco	Person quired, Disposed o	f. or Beneficia	llv Owned
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution Execut		3. Transa Code (Instr.	action 8)	4. Securi nAcquirec Disposec (Instr. 3, Amount	ties d (A) c d of (D 4 and (A) or	or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-
Class A Common Stock	12/31/2018			G		400	D	\$ 0	696,070	D	
Class A Common Stock									19,827	I	I <u>(1)</u>
Class A Common Stock									235	I	I <u>(2)</u>
Class A Common									2,266	Ι	I <u>(3)</u>

Stock			
Class A Common Stock	8,955	Ι	I <u>(4)</u>
Class A Common Stock	6,465	Ι	I <u>(5)</u>
Class A Common Stock	2,167,705	Ι	I <u>(6)</u>
Class A Common Stock	67,000	Ι	I <u>(7)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

a .

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	officer Chairman	Other				
ERGEN CHARLES W 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112	Х	Х	Chairman					
	Х	Х						

Edgar Filing: ERGEN CHARLES W - Form 4

01/03/2019

01/03/2019

Date

ERGEN CANTEY 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112

Signatures

/s/ Charles W. Ergen, by Brandon Ehrhart, his Attorney in Fact

**Signature of Reporting Person Date

/s/ Cantey M. Ergen, by Brandon Ehrhart, her Attorney in Fact

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by Mr. Charles W. Ergen in a 401(k) account.
- (2) Held by Mrs. Cantey M. Ergen, Mr. Ergen's spouse.
- (3) Held by Mrs. Cantey M. Ergen in a 401(k) account.
- (4) The shares are owned beneficially by the reporting persons' child. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (5) The shares are owned beneficially by a trust for which Mrs. Cantey M. Ergen holds a durable power of attorney for the beneficiary. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- The shares are held by a charitable foundation. The reporting persons are officers of the charitable foundation and share voting and(6) dispositive power for the foundation. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.

The shares are held by Telluray Holdings, LLC ("Telluray Holdings"). Mr. Ergen and Mrs. Ergen are the managers of Telluray Holdings. Mrs. Ergen, as a manager of Tellurary Holdings, has sole voting power over the shares held by Telluray Holdings and Mr. Ergen and Mrs.

(7) In the Ergen, as a manager of Telluray Holdings, has sole voting power over the shares held by Telluray Holdings. The reporting persons disclaim beneficial ownership of the shares, except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.