

NEON SYSTEMS INC
Form SC 13G/A
February 12, 2004

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

SCHEDULE 13G

OMB APPROVAL
OMB Number:
3235-0145
Expires:
December 31, 2005
Estimated average
burden hours per
response. . 11

**Under the Securities Exchange Act of 1934
(Amendment No. 1)***

NEON Systems, Inc.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

6400509105

(CUSIP Number)

December 31, 2003

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
- Rule 13d-1(c)
- Rule 13d-1(d)

Edgar Filing: NEON SYSTEMS INC - Form SC 13G/A

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No.

1. Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only)
John J. Moores

2. Check the Appropriate Box if a Member of a Group (See Instructions)
(a)
(b)

3. SEC Use Only

4. Citizenship or Place of Organization
USA

Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With

5. Sole Voting Power
2,905,702

6. Shared Voting Power
744,265

7. Sole Dispositive Power
2,905,702

8. Shared Dispositive Power
744,265

9. Aggregate Amount Beneficially Owned by Each Reporting Person
3,649,967

10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

11. Percent of Class Represented by Amount in Row (9)
41%

12. Type of Reporting Person (See Instructions)
IN

Item 1.

- (a) Name of Issuer
NEON Systems, Inc.
- (b) Address of Issuer's Principal Executive Offices
14100 Southwest Freeway, Suite 500, Sugarland, Texas

Item 2.

- (a) Name of Person Filing
John J. Moores
- (b) Address of Principal Business Office or, if none, Residence
12480 High Bluff Drive #200, San Diego, CA 92130
- (c) Citizenship
U.S. Citizen
- (d) Title of Class of Securities
Common Stock
- (e) CUSIP Number
6400509105

Item 3.

If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
- (e) An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
- (f) An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);
- (h) A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) Group, in accordance with §240.13d-1(b)(1)(ii)(J).

Item 4. Ownership

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned:
3,649,967
- (b) Percent of class:
41%
- (c) Number of shares as to which the person has:
 - (i) Sole power to vote or to direct the vote
2,905,702
 - (ii) Shared power to vote or to direct the vote
744,265
 - (iii) Sole power to dispose or to direct the disposition of
2,905,702
 - (iv) Shared power to dispose or to direct the disposition of
744,265

Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following .

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person

Item 8. Identification and Classification of Members of the Group

Item 9. Notice of Dissolution of Group

Item 10. Certification

Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 12, 2004
Date

/s/ John J. Moores
Signature

John J. Moores
Name/Title