Edgar Filing: Rockwood Holdings, Inc. - Form 4

Rockwood Holdings, Inc. Form 4 December 16, 2008

if no longer

subject to

Section 16.

Form 4 or

Form 5

1(b).

per share

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Zatta Robert J		Symbol	2. Issuer Name and Ticker or Trading Symbol Rockwood Holdings, Inc. [ROC]			5. Relationship of Reporting Person(s) to Issuer			
(Last)		3. Date of Earliest Transaction				(Check all applicable)			
(Last)	(First) (M	(Month/D		ansaction		Director	10%	Owner	
C/O ROCKWOOD HOLDINGS, 12/1			-			Officer (give title Other (specify			
INC., 100 C	VERLOOK CEN	TER				below)	below) SVP & CFO		
(Street) 4. If			ndment, Dat	te Original		6. Individual or Joint/Group Filing(Check			
		Filed(Mor	nth/Day/Year)			Applicable Line)			
PRINCETO	N, NJ 08540						One Reporting Pe More than One Re		
(City)	(State)	(Zip) Tabl	e I - Non-D	erivative S	ecurities Ad	quired, Disposed	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8) Code V	4. Securit m(A) or Dis (D) (Instr. 3, 4 Amount	-	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Stock, par value \$0.01	12/12/2008		A <u>(1)</u>	14,451	A \$0	37,017	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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January 31,

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (right to buy)	\$ 9.18	12/12/2008		А	54,844	(2)	12/12/2015	Common Stock, par value \$0.01 per share	54,844

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	ionships Officer SVP & CFO	Other				
Zatta Robert J C/O ROCKWOOD HOLDINGS, II 100 OVERLOOK CENTER PRINCETON, NJ 08540	NC.		SVP & CFO					
Signatures								
/s/ Robert J. 12/16/	2008							

<u>**</u>Signature of Reporting Person

Zatta

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units
- (2) These options vest in three equal annual installments beginning December 31, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.