TrueCar, Inc. Form 3 May 15, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

response...

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Guthrie Michael

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

05/15/2014

4. Relationship of Reporting Person(s) to Issuer

TrueCar, Inc. [TRUE]

(Check all applicable)

Chief Financial Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O TRUECAR, INC., 120 **BROADWAY, SUITE 200** 

(Street)

(State)

Director \_X\_\_ Officer (give title below) (specify below) 6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### **SANTA** MONICA. CAÂ 90401

1. Title of Security

(City)

(Instr. 4)

(Zip)

## Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

(Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

10% Owner

Other

Direct (D) or Indirect (I)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title

Amount or Number of Shares

Derivative Security Direct (D) or Indirect

(I)

1

						(Instr. 5)	
Employee Stock Option (right to buy)	(1)	02/14/2022	Common Stock	300,000	\$ 11.505	D	Â
Employee Stock Option (right to buy)	(2)	02/22/2023	Common Stock	66,666	\$ 7.9199	D	Â
Employee Stock Option (right to buy)	(3)	02/22/2023	Common Stock	11,761	\$ 7.9199	D	Â
Employee Stock Option (right to buy)	(4)	05/02/2023	Common Stock	33,333	\$ 7.9199	D	Â
Employee Stock Option (right to buy)	(5)	06/26/2023	Common Stock	180,876	\$ 7.9199	D	Â
Employee Stock Option (right to buy)	(6)	10/22/2023	Common Stock	116,666	\$ 8.8799	D	Â
Employee Stock Option (right to buy)	(7)	02/07/2024	Common Stock	60,000	\$ 9.2549	D	Â
Employee Stock Option (right to buy)	(8)	02/28/2024	Common Stock	105,000	\$ 9.2549	D	Â
Employee Stock Option (right to buy)	(9)	05/02/2024	Common Stock	233,333	\$ 12.8099	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
Guthrie Michael						
C/O TRUECAR, INC.	â	â	Chief Financial Officer	â		
120 BROADWAY, SUITE 200	A	Α	A Chief Financial Officer	А		
SANTA MONICA. CA 90401						

## **Signatures**

/s/ Troy Foster, by power of attorney 05/15/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is subject to an early exercise provision and is immediately exercisable. One sixteenth (1/16th) of the shares subject to option vested on April 3, 2012 and one forty-eighth (1/48th) of the shares vest monthly thereafter.
- (2) The option is subject to an early exercise provision and is immediately exercisable. Shares subject to the option shall vest in forty-eight (48) equal monthly installments beginning on March 22, 2013.
- (3) Shares subject to the option are fully vested and immediately exercisable.
- (4) The option is subject to an early exercise provision and is immediately exercisable. Shares subject to the option shall vest in forty-eight (48) equal monthly installments beginning on June 2, 2013.

Reporting Owners 2

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- (5) The option is subject to an early exercise provision and is immediately exercisable. One-fourth (1/4th) of the shares subject to the option shall vest on June 26, 2014 and one forty-eighth (1/48th) of the shares vest monthly thereafter.
- The option is subject to an early exercise provision and is immediately exercisable. Shares subject to the option shall vest in forty-eight (48) equal monthly installments beginning on February 1, 2014.
- (7) The option is subject to an early exercise provision and is immediately exercisable. Shares subject to the option shall vest in forty-eight (48) equal monthly installments beginning on March 7, 2014.
- The option is subject to an early exercise provision and is immediately exercisable. Shares subject to the option shall vest in forty-eight (48) equal monthly installments beginning on March 22, 2013.
- (9) The option is subject to an early exercise provision and is immediately exercisable. One-fourth (1/4th) of the shares subject to the option shall vest on May 2, 2015 and one forty-eighth (1/48th) of the shares vest monthly thereafter.

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#### **Remarks:**

#### Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.