Echo Global Logistics, Inc. Form 4

December 05, 2014

### FORM 4

Check this box

if no longer

subject to

Form 5

obligations

may continue.

See Instruction

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

ton, D.C. 20549 N

Expires: January 31, 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Section 16. SECURITIES
Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Common

Stock

12/05/2014

(Print or Type Responses)

1. Name and Address of Reporting Person \*

WAGGONER DOUGLAS R			Symbol				]	Issuer		
			Echo Global Logistics, Inc. [ECHO]					(Check all applicable)		
(Last)	(First)	(Middle)		of Earliest T Day/Year)	`ransactior	1	_	_X_ Director	10%	Owner
INC., 600 Y	O GLOBAL LOO WEST CHICAC SUITE 725	· ·	12/03/2	2014				_X_ Officer (give below) Chief E	title Other below) xecutive Office	
CITICA CO	(Street)			endment, D onth/Day/Yea		al	1	5. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M	ne Reporting Pe	erson
CHICAGO	), IL 60654						Ī	Person		r8
(City)	(State)	(Zip)	Tab	le I - Non-	Derivativo	e Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		Date, if	3. Transactic Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/03/2014			M	3,400	A	\$ 3.68	161,865	D	
Common Stock	12/03/2014			S <u>(1)</u>	3,400	D	\$ 29 (2)	158,465	D	
Common Stock	12/05/2014			M	2,118	A	\$ 3.68	160,583	D	

 $S_{\underline{1}}^{(1)}$ 

2,118

D

\$

(3)

29.0552 158,465

D

#### Edgar Filing: Echo Global Logistics, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 3.68	12/03/2014		M	3,400	11/16/2006(4)	10/01/2016	Common Stock	3,400
Stock Option (Right to Buy)	\$ 3.68	12/05/2014		M	2,118	11/16/2006(4)	10/01/2016	Common Stock	2,118

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
WAGGONER DOUGLAS R C/O ECHO GLOBAL LOGISTICS, INC. 600 WEST CHICAGO AVENUE, SUITE 725 CHICAGO, IL 60654	X		Chief Executive Officer			

## **Signatures**

/s/ David B. Menzel, by Power of Attorney 12/05/2014

\*\*Signature of Reporting Person Date

Reporting Owners 2

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 5, 2014.
- The price is the weighted average price for the transactions reported on this line. The range of prices for the transactions reported on this (2) line is between \$29.00 and \$29.00 per share. Complete information regarding the number of shares purchased at each separate price will be provided upon request by the Commission Staff, the issuer or a security holder of the issuer.
- The price is the weighted average price for the transactions reported on this line. The range of prices for the transactions reported on this (3) line is between \$29.00 and \$29.15 per share. Complete information regarding the number of shares purchased at each separate price will be provided upon request by the Commission Staff, the issuer or a security holder of the issuer.
- (4) Date at which first vesting occurs is indicated. 50,000 of the shares originally subject to the option became exercisable at the first vesting date, and the remaining 400,000 shares became exercisable in annual installments of 100,000 beginning on January 1, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.