

JD.com, Inc.
Form SC 13G/A
February 03, 2016

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

SCHEDULE 13G

(Rule 13d-102)

Information to Be Included in Statements Filed Pursuant to Rules 13d-1 (b), (c) and (d) and Amendments Thereto Filed Pursuant To 13d-2

**Under the Securities Exchange Act of 1934
(Amendment No. 1)***

JD.com, Inc.

(Name of Issuer)

Ordinary Shares, par value of \$0.00002 per share

(Title of Class of Securities)

47215P 106

(CUSIP Number)

December 31, 2015

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
- Rule 13d-1(c)
- Rule 13d-1(d)

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Names of Reporting Persons

1 Richard Qiangdong Liu

Check the Appropriate Box if a Member of a Group

2

- (a)
- (b)

SEC Use Only

3

Citizenship or Place of Organization

4 People's Republic of China

5 Sole Voting Power
508,116,021

Number of
Shares
Beneficially
Owned by
Each
Reporting
Person With:

6 Shared Voting Power
0

7 Sole Dispositive Power
449,444,989

8 Shared Dispositive Power
0

Aggregate Amount Beneficially Owned by Each Reporting Person

508,116,021 (This includes 58,671,032 shares beneficially owned by Fortune Rising Holdings Limited, which holds and administers these shares for the benefit of plan participants under the Issuer's Share Incentive Plan (excluding Mr. Richard Qiangdong Liu himself). Mr. Liu can exercise the voting power with respect to these shares on behalf of Fortune Rising Holdings Limited, but does not have any economic interest in these shares. See Item 4.)

10 Check if the Aggregate Amount in Row (9) Excludes Certain Shares

Percent of Class Represented by Amount in Row (9)

11 18.3%. *The voting power of the shares beneficially owned represent 81.0% of the total outstanding voting power. **

Type of Reporting Person

12 IN

* The percentage of voting power is calculated by dividing the voting power beneficially owned by the Reporting Person by the voting power of all of the Issuer's holders of Class A ordinary shares and Class B ordinary shares as a single class as of December 31, 2015. Each holder of Class A Shares is entitled to one vote per share and each holder of Class B Shares is entitled to twenty votes per share on all matters submitted to

them for a vote.

** During the fourth quarter of 2015, Fortune Rising Holdings Limited sold an aggregate of 1,714,666 ADSs in the open market on behalf of certain employees of the Issuer (which do not include Mr. Richard Qiangdong Liu, who did not sell any of the shares of the Issuer he beneficially owns in the open market in 2015). Fortune Rising Holdings Limited holds the Class B ordinary shares of the Issuer for the purpose of transferring such shares to the plan participants according to awards granted by the Issuer under the Issuer's Share Incentive Plan, and administers the awards and acts according to the Issuer's instructions. However, the logistical procedures relating to the conversion of 3,429,332 Class B ordinary shares held by Fortune Rising Holdings Limited into 1,714,666 ADSs had not been completed as of December 31, 2015. Assuming such logistics had been completed as of December 31, 2015, Mr. Liu would have beneficially owned a total of 504,686,689 ordinary shares, representing 18.2% of the total outstanding ordinary shares of the Issuer, and the voting power of the shares beneficially owned represented 80.9% of the total outstanding voting power. See Item 4.

Names of Reporting Persons

1 Max Smart Limited

Check the Appropriate Box if a Member of a Group

2

(a)
 (b)

SEC Use Only

3

Citizenship or Place of Organization

4 British Virgin Islands

5 Sole Voting Power
 449,444,989

Number of
 Shares
 Beneficially
 Owned by
 Each
 Reporting
 Person With:

6 Shared Voting Power
 0

7 Sole Dispositive Power
 449,444,989

8 Shared Dispositive Power
 0

Aggregate Amount Beneficially Owned by Each Reporting Person

9 449,444,989

Check if the Aggregate Amount in Row (9) Excludes Certain Shares

10

Percent of Class Represented by Amount in Row (9)

11 16.2%. *The voting power of the shares beneficially owned represent 71.1% of the total outstanding voting power.

Type of Reporting Person

12 CO

* The percentage of voting power is calculated by dividing the voting power beneficially owned by the Reporting Person by the voting power of all of the Issuer's holders of Class A ordinary shares and Class B ordinary shares as a single class as of December 31, 2015. Each holder of Class A Shares is entitled to one vote per share and each holder of Class B Shares is entitled to twenty votes per share on all matters submitted to them for a vote.

Names of Reporting Persons

1 Fortune Rising Holdings Limited *

Check the Appropriate Box if a Member of a Group

2

- (a)
- (b)

3 SEC Use Only

Citizenship or Place of Organization

4 British Virgin Islands

5 Sole Voting Power
58,671,032

Number of Shares Beneficially Owned by Each Reporting Person With: 6 Shared Voting Power
0

7 Sole Dispositive Power
58,671,032

8 Shared Dispositive Power
0

Aggregate Amount Beneficially Owned by Each Reporting Person

9 58,671,032 (Fortune Rising Holdings Limited holds and administers these shares for the benefit of plan participants under the Issuer's Share Incentive Plan (excluding Mr. Richard Qiangdong Liu himself). Mr. Liu can exercise the voting power with respect to these shares on behalf of Fortune Rising Holdings Limited, but does not have any economic interest in these shares. See Item 4.)

Check if the Aggregate Amount in Row (9) Excludes Certain Shares

10

Percent of Class Represented by Amount in Row (9)

11 2.1%. **The voting power of the shares beneficially owned represent 9.9% of the total outstanding voting power.

Type of Reporting Person

12 CO

* Fortune Rising Holdings Limited, a British Virgin Islands company, holds and administers the Class B ordinary shares of the Issuer for the benefit of plan participants under the Issuer's Share Incentive Plan (excluding Mr. Richard Qiangdong Liu himself), such as transferring such shares to the plan participants according to awards granted by the Issuer under the Issuer's Share Incentive Plan, and administers the awards and acts according to the Issuer's instructions. See Item 4.

** The percentage of voting power is calculated by dividing the voting power beneficially owned by the Reporting Person by the voting power of all of the Issuer's holders of Class A ordinary shares and Class B ordinary shares as a single class as of December 31, 2015. Each holder of Class A Shares is entitled to one vote per share and each holder of Class B Shares is entitled to twenty votes per share on all matters submitted to them for a vote.

*** During the fourth quarter of 2015, Fortune Rising Holdings Limited sold an aggregate of 1,714,666 ADSs in the open market on behalf of certain employees of the Issuer (which do not include Mr. Richard Qiangdong Liu). Fortune Rising Holdings Limited holds the Class B ordinary shares of the Issuer for the purpose of transferring such shares to the plan participants according to awards granted by the Issuer under the Issuer's Share Incentive Plan, and administers the awards and acts according to the Issuer's instructions. However, the logistical procedures relating to the conversion of 3,429,332 Class B ordinary shares held by Fortune Rising Holdings Limited into 1,714,666 ADSs had not been completed as of December 31, 2015. Assuming such logistics had been completed as of December 31, 2015, Fortune Rising Holdings Limited would have held 55,241,700 Class B ordinary shares, representing 2.0% of the total outstanding ordinary shares of the Issuer, and the voting power of the shares beneficially owned represented 9.3% of the total outstanding voting power. See Item 4.

Edgar Filing: JD.com, Inc. - Form SC 13G/A

Item 1(a).

Name of Issuer:

JD.com, Inc.

Item 1(b).

Address of Issuer's Principal Executive Offices:

20th Floor, Building A

No. 18 Kechuang 11 Street

Yizhuang Economic and Technological Development Zone
Daxing District, Beijing 101111

The People's Republic of China

Item 2(a).

Name of Person Filing:

Richard Qiangdong Liu

Max Smart Limited

Item 2(b).

Fortune Rising Holdings Limited

Address of Principal Business Office, or, if none, Residence:

Richard Qiangdong Liu

JD.com, Inc.

20th Floor, Building A

No. 18 Kechuang 11 Street

Yizhuang Economic and Technological Development Zone
Daxing District, Beijing 101111

The People's Republic of China

Max Smart Limited

c/o Richard Qiangdong Liu

JD.com, Inc.

20th Floor, Building A

No. 18 Kechuang 11 Street

Yizhuang Economic and Technological Development Zone
Daxing District, Beijing 101111

The People's Republic of China

Fortune Rising Holdings Limited

Edgar Filing: JD.com, Inc. - Form SC 13G/A

c/o Richard Qiangdong Liu

JD.com, Inc.

20th Floor, Building A

No. 18 Kechuang 11 Street

Yizhuang Economic and Technological Development Zone
Daxing District, Beijing 101111

Item 2(c).

The People's Republic of China

Citizenship:

Richard Qiangdong Liu People's Republic of China

Max Smart Limited British Virgin Islands

Item 2(d).

Fortune Rising Holdings Limited British Virgin Islands

Title of Class of Securities:

Ordinary shares, par value of \$0.00002 per share

The Issuer's ordinary shares consist of Class A ordinary shares and Class B ordinary shares. Holders of Class A ordinary shares and Class B ordinary shares have the same rights except for voting and conversion rights. Each Class A ordinary share is entitled to one vote, and each Class B ordinary share is entitled to twenty votes. Each Class B ordinary share is convertible at the option of the holder into one Class A ordinary share, whereas Class A ordinary shares are not convertible into Class B ordinary shares under any circumstances.

Item 2(e).

CUSIP No.:
47215P 106

This CUSIP number applies to the American Depositary Shares of the Issuer (ADSs), each representing two Class A ordinary shares of the Issuer, par value \$0.00002 per share. No CUSIP has been assigned to the ordinary shares.

Item 3.

If this statement is filed pursuant to §§240.13d-1(b), or 240.13d-2(b) or (c), check whether the persons filing is a:

Not applicable

Item 4.**Ownership**

The following information with respect to the ownership of the ordinary shares of par value of \$0.00002 per share of JD.com, Inc. by each of the reporting persons is provided as of December 31, 2015:

Reporting Person	Amount beneficially owned:	Percent of class:	Sole power to vote or direct the vote:	Shared power to vote or direct the vote:	Sole power to dispose or direct the disposition of:	Shared power to dispose or to direct the disposition of:
Richard Qiangdong Liu	508,116,021	18.3	508,116,021	0	449,444,989	0
Max Smart Limited	449,444,989	16.2	449,444,989	0	449,444,989	0
Fortune Rising Holdings Limited	58,671,032	2.1	58,671,032	0	58,671,032	0

The 508,116,021 ordinary shares of the Issuer beneficially owned by Mr. Richard Qiangdong Liu comprise (i) 421,507,423 Class B ordinary shares directly held by Max Smart Limited and 13,968,783 restricted ADSs, representing 27,937,566 Class A ordinary shares, owned by Max Smart Limited as described below, and (ii) 58,671,032 Class B ordinary shares held by Fortune Rising Holdings Limited as described below.

Max Smart Limited, a British Virgin Islands company, directly holds 421,507,423 Class B ordinary shares of the Issuer, and owns 13,968,783 restricted ADSs, representing 27,937,566 Class A ordinary shares. Max Smart Limited is 100% owned by UBS Nominees Limited on behalf of The Max Smart Trust, with UBS Trustees (BVI) Ltd. as the trustee, Mr. Richard Qiangdong Liu as the settlor and protector, and Mr. Liu and others designated by Mr. Liu as beneficiaries. Mr. Liu reserves the right to revoke The Max Smart Trust without the consent of another person, and Mr. Liu is the sole director of Max Smart Limited. Pursuant to Section 13(d) of the Securities Exchange Act of 1934, as amended, and the rules promulgated thereunder, Mr. Liu may be deemed to beneficially own all of the ordinary shares of the Issuer held by Max Smart Limited.

As of December 31, 2015, Fortune Rising Holdings Limited, a British Virgin Islands company, held 58,671,032 Class B ordinary shares. Fortune Rising Holdings Limited holds and administers the Class B ordinary shares of the Issuer for the benefit of plan participants under the Issuer's Share Incentive Plan (excluding Mr. Richard Qiangdong Liu himself), such as transferring such shares to the plan participants according to awards granted by the Issuer under the Issuer's Share Incentive Plan, and administers the awards and acts according to the Issuer's instructions. Mr. Richard Qiangdong Liu is the sole shareholder and the sole director of Fortune Rising Holdings Limited. As the representative of Fortune Rising Holdings Limited, Mr. Liu can exercise the voting power with respect to these shares on behalf of Fortune Rising Holdings Limited, but does not have any economic interest in these shares. Pursuant to Section 13(d) of the Securities Exchange Act of 1934, as amended, and the rules promulgated thereunder, Mr. Liu may be deemed to beneficially own all of the ordinary shares of the Issuer held by Fortune Rising Holdings Limited.

The percentage of the class of securities beneficially owned by each of the reporting persons as of December 31, 2015 is based on 2,771,308,842 outstanding ordinary shares as a single class, being the sum of 2,313,578,195 Class A ordinary shares and 480,178,455 Class B ordinary shares outstanding as of December 31, 2015 assuming conversion of all Class B ordinary shares into Class A ordinary shares, and excluding the 22,447,808 Class A ordinary shares issued to the Issuer's depository bank for bulk issuance of ADSs reserved for future issuances upon the exercising or vesting of awards granted under the Issuer's Share Incentive Plan.

* During the fourth quarter of 2015, Fortune Rising Holdings Limited sold an aggregate of 1,714,666 ADSs (representing 3,429,332 Class A ordinary shares) in the open market on behalf of certain employees of the Issuer (not including Mr. Richard Qiangdong Liu) who wished to sell the shares that they had received upon the exercising or vesting of the awards granted to them under the Issuer's Share Incentive Plan. However, the logistics with the Issuer's Cayman registrar and depository bank, including the conversion of 3,429,332 Class B ordinary shares held by Fortune Rising Holdings Limited into an equal number of Class A ordinary shares and the conversion of these Class A ordinary shares into 1,714,666 ADSs, had not been completed as of December 31, 2015. Assuming the aforementioned logistics had been completed as of December 31, 2015, Fortune Rising Holdings Limited would hold 55,241,700 Class B ordinary shares, and the ownership of the ordinary shares of the Issuer by each of the reporting persons would be as follows:

Reporting Person	Amount beneficially owned:	Percent of class:	Sole power to vote or direct the vote:	Shared power to vote or to direct the vote:	Sole power to dispose or to direct the disposition of:	Shared power to dispose or to direct the disposition of:
Richard Qiangdong Liu	504,686,689	18.2	504,686,689	0	449,444,989	0
Max Smart Limited	449,444,989	16.2	449,444,989	0	449,444,989	0
Fortune Rising Holdings Limited	55,241,700	2.0	55,241,700	0	55,241,700	0

Item 5. Ownership of Five Percent or Less of a Class

Not applicable

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person

Not applicable

Item 8. Identification and Classification of Members of the Group

Not applicable

Item 9. Notice of Dissolution of Group

Not applicable

Item 10. Certifications

Not applicable

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 3, 2016

Richard Qiangdong Liu

/s/ Richard Qiangdong Liu
Richard Qiangdong Liu

Max Smart Limited

By: /s/ Richard Qiangdong Liu
Name: Richard Qiangdong Liu
Title: Director

Fortune Rising Holdings Limited

By: /s/ Richard Qiangdong Liu
Name: Richard Qiangdong Liu
Title: Director

LIST OF EXHIBITS

Exhibit No.	Description
99.1	Joint Filing Agreement