Edgar Filing: AZURE MIDSTREAM PARTNERS, LP - Form 4/A

AZURE MIDSTREAM PARTNERS, LP Form 4/A

February 05, 2016	5										
FORM 4	UNITED	STATES					NGE	COMMISSION		PPROV/	
Check this box Check this box Check this box											
1. Name and Address Bertolatus Roy E	Person <u>*</u>	2. Issuer Name and Ticker or Trading Symbol AZURE MIDSTREAM PARTNERS, LP [AZUR]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 12377 MERIT DRIVE, SUITE 300			3. Date of Earliest Transaction (Month/Day/Year) 01/27/2016					Director 10% Owner X Officer (give title Other (specify below) below) General Counsel			
F				4. If Amendment, Date Original Filed(Month/Day/Year) 01/29/2016				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City) (State)	(Zip)	Tab	le I - Non-I	Derivative	Securi	ities A	cquired, Disposed of	f, or Beneficia	lly Owne	d
	nsaction Date th/Day/Year)	2A. Deema Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D) and 5 (A) or)	SecuritiesFBeneficially(Owned(6. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature Indirect Benefici Ownersh (Instr. 4)	al 1ip
Reminder: Report on	a separate line	for each cl	ass of sec	urities benef	Perso inform	ns wh nation	no res conta	or indirectly. pond to the collec ained in this form and unless the form	are not	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	Deri
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu

number.

displays a currently valid OMB control

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.		Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					I)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Units	<u>(1)</u>	01/27/2016		А		17,500		(2)	(3)	Common Units	17,500

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Bertolatus Roy E. 12377 MERIT DRIVE SUITE 300 DALLAS, TX 75251			General Counsel					
Signatures								

/s/ Stacy Murray, as Attorney in Fact for Roy E. 02/05/2016 Bertolatus **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Phantom units awarded under the Issuer's 2013 Long-Term Incentive Plan. Each phantom unit is the economic equivalent of one common unit of Azure Midstream Partners, LP and entitles the grantee to receive one common unit or an amount of cash equal to the fair (1)

market value of a common unit upon the vesting of the phantom unit.

(2) The phantom units shall vest in a single installment with such vesting in full to take place on July 18, 2016.

Upon the termination of the Reporting Person's services for any reason during an applicble restricted period, all outstanding unvested (3) phantom units held by such Reporting Person shall be automatically forfeited, unless such forfeiture is otherwise waived, in whole or in part, by the board of directors of Azure Midstream Partners GP, LLC, the general partner of the Issuer (the "General Partner").

Remarks:

The Reporting Person is the General Counsel of the General Partner. The Issuer is managed by the directors and executives of

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.