

Adaptimmune Therapeutics PLC
Form 8-K
November 13, 2017

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

FORM 8-K

Current Report

**Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): **November 9, 2017**

ADAPTIMMUNE THERAPEUTICS PLC

(Exact name of registrant as specified in its charter)

England and Wales
(State or other jurisdiction of
incorporation)

1-37368
(Commission File Number)

Not Applicable
(IRS Employer Identification No.)

60 Jubilee Avenue, Milton Park

Abingdon, Oxfordshire OX14 4RX

United Kingdom

(Address of principal executive offices, including zip code)

(44) 1235 430000

Edgar Filing: Adaptimmune Therapeutics PLC - Form 8-K

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☒

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 8.01. Other Events.

On November 9, 2017, Adaptimmune Therapeutics plc (the Company or Adaptimmune) issued a press release announcing an oral presentation with updated data from its ongoing pilot study of NY-ESO SPEAR T-cells in synovial sarcoma and an overview of study design from a trial in progress poster for its ongoing study of NY-ESO SPEAR T-cells in myxoid/round cell liposarcoma at the 2017 Connective Tissue Oncology Society (CTOS) annual meeting. The press release is furnished as Exhibit 99.1 to this report and is incorporated by reference herein.

On November 10, 2017, the Company issued a press release announcing the presentation of two trials in progress posters at the 2017 Society for Immunotherapy for Cancer (SITC) annual meeting. The trials in progress posters summarized the study designs for Adaptimmune's ongoing clinical trials with MAGE-A4 SPEAR T-cells targeting multiple solid tumors and NY-ESO SPEAR T-cells with or without KEYTRUDA® (pembrolizumab) in multiple myeloma. The press release is furnished as Exhibit 99.2 to this report and is incorporated by reference herein.

The information in Item 8.01 of this Form 8-K (including the attached Exhibit 99.1 and the attached Exhibit 99.2) shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, (the Exchange Act), or incorporated by reference in any filing made by the Company under the Securities Act of 1933, as amended, or the Exchange Act, except as expressly set forth by the Company by specific reference in such a filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits. The following exhibits are furnished as part of this Report on Form 8-K:

Exhibit No.	Description of Exhibit
99.1	Press release concerning presentation of data at CTOS meeting dated November 9, 2017
99.2	Press release concerning presentation of trials in progress posters at SITC meeting dated November 10, 2017

Exhibit Index

Exhibit No.	Description of Exhibit
99.1	<u>Press release concerning presentation of data at CTOS meeting dated November 9, 2017</u>
99.2	<u>Press release concerning presentation of trials in progress posters at SITC meeting dated November 10, 2017.</u>

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

ADAPT IMMUNE THERAPEUTICS PLC

Date: November 13, 2017

By:

/s/ Margaret Henry
Name: Margaret Henry
Title: Corporate Secretary