### Edgar Filing: Hixon Phillip D. - Form 4

Hixon Phillip Form 4										
September 28	Л									PPROVAL
	UNITED		CURITIES AND EXCHANGE C Washington, D.C. 20549				COMMISSION	OMB Number:	3235-0287	
Check this if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er <b>STATEN</b> 6. Filed pur <sup>15</sup> Section 17(	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940						January 31 Expires: 2005 Estimated average burden hours per response 0.5		
(Print or Type R	lesponses)									
1. Name and Address of Reporting Person <u>*</u> Hixon Phillip D.			2. Issuer Name and Ticker or Trading Symbol TUESDAY MORNING CORP/DE [TUES]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 6250 LBJ FREEWAY			3. Date of Earliest Transaction (Month/Day/Year) 09/26/2018				Director 10% Owner X Officer (give title Other (specify below) below) EVP, Store Operations			
	4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
DALLAS, T	X 75240							Person	fore than One Re	porting
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	med on Date, if Day/Year)	Code	4. Securities Acquired tion(A) or Disposed of (D) ) (Instr. 3, 4 and 5) (A) or		of	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/26/2018			Code V A	Amount 15,648 (1)	(D) A	Price \$ 0	(Instr. 3 and 4) 107,734	D	
Common Stock	09/26/2018			А	26,826 (2)	А	\$0	134,560	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount c Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Share
Employee Stock Option(right to buy)	\$ 3.25	09/26/2018		А	37,258	(3)	09/26/2028	Common Stock	37,25

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
Hixon Phillip D. 6250 LBJ FREEWAY DALLAS, TX 75240			EVP, Store	Operations				
Signatures								
By:/s/ Phillip D. Hixon, by Bri in Fact	dgett Zete	erberg, Attor	ney	09/28/2018				

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 15,648 shares represent shares of time-vesting restricted stock granted pursuant to the Company's 2014 Long-Term Incentive Plan and vest in equal installments on September 26, 2019, September 26, 2020, September 26, 2021 and September 26, 2022.

Date

- The 26,826 shares represent shares of performance-based restricted stock granted pursuant to the Company's 2014 Long-Term Incentive(2) Plan. Subject to the satisfaction of certain performance criteria, the restricted stock will vest on September 26, 2021. Reflects the maximum number of shares that may vest.
- (3) The options were granted pursuant to the Company's 2014 Long-Term Incentive Plan and vest in equal installments on September 26, 2019, September 26, 2020, September 26, 2021 and September 26, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.