Edgar Filing: SCHULTE DANIEL C - Form 4

| SCHULTE I | DANIEL C | | | | | | | | | | | |
|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|----------------|--------------------------------------------------|--------------------------------|---------------------------|-----------|-----------|------------------------------------------------------------|---------------------------------------|------------------------|--|--|
| Form 4 | | | | | | | | | | | | |
| April 06, 200 | | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO | | | | | | | OMMISSION | OMB APPROVAL | | | | |
| - | UNIT | DSIAIL | | shington, | | | NGE U | 01v11v1155101v | OMB Number: | 3235-0287 | | |
| Check th | is box | | • • a. | sinigton, | D.C. 20 | 547 | | | | January 31, | | |
| if no longer STATEMENT OF CHANG | | | | GES IN | GES IN BENEFICIAL OWNERSH | | | | Expires: | 2005 | | |
| | subject to STATE WEAT OF CHARGES IN DETICIAL OWN Section 16. SECURITIES | | | | | | | | Estimated average burden hours per | | | |
| Form 4 o | r | | | | | | | | response 0.5 | | | |
| Form 5 obligatio | | • | | • • | | | U | e Act of 1934, | | | | |
| may cont | | | | • | • | · · | | 1935 or Section | 1 | | | |
| See Instr | uction | 30(h |) of the In | vestment | Compan | y Ac | t of 194 | -0 | | | | |
| 1(b). | | | | | | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | | | | |
| | | | | | | | | | | | | |
| 1. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading 5. Relationshi | | | | | | | • | f Reporting Person(s) to | | | | |
| SCHULTE DANIEL C Syn | | | | Symbol | | | | Issuer | | | | |
| | | | | WADDELL & REED FINANCIAL | | | | (Check all applicable) | | | | |
| | | | INC [W | /DR] | | | | | | , | | |
| (Last) | (First) | (Middle) | | f Earliest Tr | ansaction | | | Director X Officer (give | | Owner er (specify | | |
| | | | | Month/Day/Year) | | | | below) below) | | | | |
| 0300 LAMA | AKAVENUE | | 04/02/2 | 009 | | | | SVP & | General Couns | el | | |
| | | | 4. If Ame | 4. If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| | | | Filed(Mor | ed(Month/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| OVERI AN | D PARK, KS | 66202 | | | | | | Form filed by M | | | | |
| UVERLAN | DTARK, KS | 00202 | | | | | | Person | | | | |
| (City) | (State) | (Zip) | Tabl | le I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of | 2. Transaction I | | | 3. | 4. Securi | | - | 5. Amount of | 6. Ownership | | | |
| Security (Instr. 3) | (Month/Day/Ye | | on Date, if | Transactio Code | | | | Securities Beneficially | Form: Direct (D) or | Indirect Beneficial | | |
| (1130.5) | | any (Month/ | Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8) | | | | 5) | Owned | Indirect (I) | | | |
| | | | | | | | | Following | (Instr. 4) | (Instr. 4) | | |
| | | | | | | (A) | | Reported Transaction(s) | | | | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Class A | | | | | | | \$ | | _ | | | |
| Common | 04/02/2009 | | | F | 5,765 | D | 19.12 | 128,349 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title c Derivativ Security (Instr. 3) | ve Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. iorNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | ; | Date | Amou Unde Secur | le and unt of rlying rities (1, 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---------------------------------------------------|------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|-----------------------|------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
| | | | | Code N | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Addr | ess | Relationships | | | | | | | |
|---------------------------------------------------------------|------------|---------------|-----------------------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| SCHULTE DANIEL C 6300 LAMAR AVENUE OVERLAND PARK, KS 66 | 202 | | SVP & General Counsel | | | | | | |
| Signatures | | | | | | | | | |
| Daniel C. Schulte | 04/06/2009 | | | | | | | | |

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.