#### **ENERGY EAST CORP** Form 4

April 04, 2005

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(City)

Common

Stock

(Print or Type Responses)

| 1. Name and Address of Reporting Person |
|---|
| ALLECCIO DODEDT M                       |

(First)

(Street)

(State)

04/01/2005

2. Issuer Name and Ticker or Trading Symbol

### **ENERGY EAST CORP [EAS]**

(Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 04/01/2005

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

Director

(Check all applicable)

THE BERKSHIRE GAS COMPANY, 115 CHESHIRE **ROAD** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

X\_ Officer (give title Other (specify below) below)

Chairman & CEO-Subsidiary

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

#### PITTSFIELD, MA 01201

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

Applicable Line)

6. Ownership Form: Direct (D) or Indirect (I)

D

7. Nature of Indirect Beneficial Ownership (Instr. 4)

10% Owner

(Instr. 4) Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price 11,423.5586 A 7.540 A (1) 26.12

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ENERGY EAST CORP - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisab<br>Expiration Date<br>(Month/Day/Year |                    |                 | 7. Title and Amount Underlying Securitic (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|---|---|--------------------|-----------------|---|--|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date Exercisable  | Expiration<br>Date | Title           | Amou<br>or<br>Numb<br>of Sha                              |  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) /<br>SAR (2) | \$ 21.25  |                                      |   |  |   | 12/05/2002(3)   | 12/05/2012         | Common<br>Stock | 5,00  |  |
| Employee<br>Stock<br>Option<br>(right to<br>buy) /<br>SAR (2) | \$ 26.22  | 04/01/2005                           |   | A                                      | 11,962  | 04/01/2005(4)   | 04/01/2015         | Common<br>Stock | 11,9  |  |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

ALLESSIO ROBERT M THE BERKSHIRE GAS COMPANY 115 CHESHIRE ROAD PITTSFIELD, MA 01201

Chairman & CEO-Subsidiary

### **Signatures**

Robert M.

Allessio 04/04/2005

\*\*Signature of Person Date

Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes equity securities acquired with reinvested dividends which are exempt from reporting under Rule 16a-11.
  - The Stock Appreciation Right (SAR) was issued in tandem with an Employee Stock Option (right to buy). The exercise of a SAR will result in the corresponding cancellation of the Employee Stock Option (right to buy) to the extent of the number of shares of the
- (2) Company's Common Stock as to which SARs are exercised. The exercise of the Employee Stock Option (right to buy) will result in the corresponding cancellation of a SAR to the extent of the number of shares of the Company's Common Stock as to which the Employee Stock Option (right to buy) is exercised.

Reporting Owners 2

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- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on December 5, 2002; (b) in aggregate as to no more than 66 2/3% on January 1, 2004; and (c) on January 1, 2005 as to 100% of all options which have not been previously exercised.
- The option is exercisable in three installments regarding the original number of options granted as follows: (a) in aggregate as to no more than 33 1/3% on April 1, 2005; (b) in aggregate as to no more than 66 2/3% on January 1, 2006; and (c) on January 1, 2007 as to 100% of all options which have not been previously exercised.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.