

TAPLIN DAVID F
Form 4
March 08, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TAPLIN DAVID F

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
300

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)

03/06/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Dir. and Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) | Price | | |
| | | | | Code | V | Amount | |
| Class A Common Stock | 03/06/2012 | | S | D | 200 | \$ 99.03 | 32,918 I Trust (MET) ⁽¹⁾ |
| Class A Common Stock | 03/06/2012 | | S | D | 100 | \$ 99.02 | 32,818 I Trust (MET) ⁽¹⁾ |
| Class A Common Stock | 03/06/2012 | | S | D | 100 | \$ 99.01 | 32,718 I Trust (MET) ⁽¹⁾ |
| Class A Common Stock | 03/06/2012 | | S | D | 38 | \$ 98.91 | 32,680 I Trust |

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| | | | | | | | | | |
|----------------------|------------|--|---|-----|---|-----------|--------|---|------------------------|
| Common Stock | | | | | | | | | (MET) <u>(1)</u> |
| Class A Common Stock | 03/06/2012 | | S | 62 | D | \$ 98.9 | 32,618 | I | Trust (MET) <u>(1)</u> |
| Class A Common Stock | 03/06/2012 | | S | 200 | D | \$ 99.11 | 32,418 | I | Trust (MET) <u>(1)</u> |
| Class A Common Stock | 03/06/2012 | | S | 82 | D | \$ 98.92 | 32,336 | I | Trust (MET) <u>(1)</u> |
| Class A Common Stock | 03/06/2012 | | S | 100 | D | \$ 98.78 | 32,236 | I | Trust (MET) <u>(1)</u> |
| Class A Common Stock | 03/06/2012 | | S | 29 | D | \$ 98.74 | 32,207 | I | Trust (MET) <u>(1)</u> |
| Class A Common Stock | 03/06/2012 | | S | 100 | D | \$ 98.725 | 32,107 | I | Trust (MET) <u>(1)</u> |
| Class A Common Stock | 03/06/2012 | | S | 82 | D | \$ 98.93 | 32,025 | I | Trust (MET) <u>(1)</u> |
| Class A Common Stock | 03/06/2012 | | S | 25 | D | \$ 98.99 | 32,000 | I | Trust (MET) <u>(1)</u> |
| Class A Common Stock | | | | | | | 14,224 | D | |
| Class A Common Stock | | | | | | | 100 | I | Trust2 <u>(2)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--|------------------------------------|--------------------------------------|--|--------------------------------|-------------------------|--|---|--|
|--|------------------------------------|--------------------------------------|--|--------------------------------|-------------------------|--|---|--|

| Derivative Security | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|----------------------|---|------|---|-----|-----|------------------|-----------------|----------------------|----------------------------|
| Class B Common Stock | \$ 0 ⁽³⁾ | | | | | <u>(3)</u> | <u>(3)</u> | Class A Common Stock | 15,883 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|----------------------------|
| | Director | 10% Owner | Officer | Other |
| TAPLIN DAVID F NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124 | X | | | Dir. and Member of a Group |

Signatures

/s/Suzanne S. Taylor,
attorney-in-fact

03/08/2012

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person serves as co-trustee with his step-sister, of a revocable trust held for the benefit of Reporting Person's step-mother. Reporting Person disclaims beneficial ownership of all such shares
- (2) Shares held in a trust. Ngaio T. Lowry Trust U/A Dtd 08/26/1998 FBO David F. Taplin.
- (3) N/A

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

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