

Niederauer Duncan L  
 Form 4  
 February 12, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Niederauer Duncan L

2. Issuer Name and Ticker or Trading Symbol  
 NYSE Euronext [NYX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
 02/08/2013

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O NYSE EURONEXT, 11 WALL STREET

Chief Executive Officer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

NEW YORK, NY 10005

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock, par value \$0.01 per share	02/08/2013		C <sup>(1)</sup>		23,450	A	\$ 0	302,059	D
Common Stock, par value \$0.01 per share	02/10/2013		C <sup>(1)</sup>		29,951	A	\$ 0	323,361	D
Common Stock, par value \$0.01 per share	02/10/2013		C <sup>(1)</sup>		63,425	A	\$ 0	386,786	D

Edgar Filing: Niederauer Duncan L - Form 4

Common Stock, par value \$0.01 per share	02/11/2013	<u>C</u> <sup>(1)</sup>	31,675	A	\$ 0	354,583	D
Common Stock, par value \$0.01 per share	02/08/2013	F	8,649	D	\$ <u>36.14</u> <sup>(2)</sup>	293,410	D
Common Stock, par value \$0.01 per share	02/10/2013	F	47,543	D	\$ <u>36.24</u> <sup>(2)</sup>	339,243	D
Common Stock, par value \$0.01 per share	02/11/2013	F	16,335	D	\$ <u>36.24</u> <sup>(2)</sup>	322,908	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Restricted Stock Units	<u>(3)</u>	02/08/2013		C	23,450	<u>(3)</u> <u>(3)</u>	Common Stock, par value \$0.01 per share	23,450
Restricted Stock Units	<u>(4)</u>	02/10/2013		C	29,951	<u>(4)</u> <u>(4)</u>	Common Stock, par value \$0.01 per share	29,951
	<u>(5)</u>	02/10/2013		C	63,425	<u>(5)</u> <u>(5)</u>		63,425

