Edgar Filing: BREEN JOHN G - Form 4

| BREEN JO Form 4 March 21, 2 | | | | | | | | | |
|---|---|--|--|--|--|---|--|--|---|
| FORM | ЛЛ | | | | | | | | PPROVAL |
| | UNITED | STATES | | RITIES A | | | COMMISSIO | N OMB Number: | 3235-0287 |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | urs per | | |
| (Print or Type | Responses) | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> BREEN JOHN G | | | 2. Issuer Name and Ticker or Trading Symbol STANLEY BLACK & DECKER, INC. [SWK] | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) (First) (Middle) 1000 STANLEY DRIVE | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/19/2013 | | | X_ Director 10% Owner Officer (give titleOther (specify below)Other (specify | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| NEW BRI | TAIN, CT 06053 | | | | | | Form filed by Person | More than One R | eporting |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Securities A | cquired, Disposed | of, or Beneficia | lly Owned |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution any (Month/Da | Date, if | 3. Transactio Code (Instr. 8) Code V | 4. Securiti nAcquired Disposed (Instr. 3, 4 Amount | (A) or of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Reminder: Re | eport on a separate line | e for each cla | ass of sec | urities bene | ficially own | ned directly of | or indirectly. | | |
| | , | | | | Perso inform requir | ns who res nation cont ed to respo | spond to the colle ained in this form ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | |
|-------------|-------------|---------------------|--------------------|-------------|--------------|-------------------------|------------------------|--|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | orDerivative | Expiration Date | Underlying Securities | |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | |

number.

8 E S

Edgar Filing: BREEN JOHN G - Form 4

| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8 |) (| Acquired (A Disposed of (Instr. 3, 4, 5) | f (D) | | | | | (. |
|--------------------|------------------------------------|------------|------------------|-----------|--------|---|-------|---------------------|--------------------|-----------------|----------------------------------|----|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Deferred Shares | <u>(1)</u> | 03/19/2013 | | А | | 167.809 (2) | | (3) | (4) | Common Stock | 167.809 | : |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|------------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| BREEN JOHN G 1000 STANLEY DRIVE NEW BRITAIN, CT 06053 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Bruce H. Beatt, Attorney-in-Fact | | 03/21/2013 | | | | | | |
| **Signature of Reporting Person | | Date | | | | | | |
| Evenlay attack of Da | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Fair market value on date established in Plan with respect to payment of fee or dividend
- (2) Book Entry of shares credited to Director's account upon the deferral of dividend payment pursuant to the Deferred Compensation Plan for Non-Employee Directors maintained by Stanley Black & Decker, Inc.
- (3) Currently 100% vested
- (4) The reporting director will receive common stock of the corporation on the first business day of the calendar year immediately following the year in which he or she ceases to be a director

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.