

UMB FINANCIAL CORP  
Form 4  
November 25, 2013

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KING DAVID D**

2. Issuer Name and Ticker or Trading Symbol  
**UMB FINANCIAL CORP [UMBF]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**1010 GRAND BLVD.**  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**11/22/2013**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP of Subsidiary

**KANSAS CITY, MO 64106**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	11/22/2013		M	6,127 A \$ 37.73	13,355.525 (1)	D	
Common Stock	11/22/2013		S	2,600 D \$ 63.854	10,755.525	D	
Common Stock	11/22/2013		S	400 D \$ 63.85	10,355.525	D	
Common Stock	11/22/2013		S	100 D \$ 63.86	10,255.525	D	
Common Stock	11/22/2013		S	1,100 D \$ 63.87	9,155.525	D	

Edgar Filing: UMB FINANCIAL CORP - Form 4

Common Stock	11/22/2013	S	39	D	\$ 63.72	9,116.525	D	
Common Stock	11/22/2013	S	219	D	\$ 63.73	8,897.525	D	
Common Stock	11/22/2013	S	116	D	\$ 63.74	8,781.525	D	
Common Stock	11/22/2013	S	400	D	\$ 63.75	8,381.525	D	
Common Stock	11/22/2013	S	161	D	\$ 63.77	8,220.525	D	
Common Stock	11/22/2013	S	340	D	\$ 63.78	7,880.525	D	
Common Stock	11/22/2013	S	126	D	\$ 63.79	7,754.525	D	
Common Stock	11/22/2013	S	26	D	\$ 63.83	7,728.525	D	
Common Stock	11/22/2013	S	500	D	\$ 63.84	7,228.525	D	
Common Stock						805.2596	I	By Esop

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
Stock Option (Right to	\$ 37.73	11/22/2013		M	6,127	01/01/2011 <sup>(2)</sup> 01/01/2018	Common Stock

Buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KING DAVID D 1010 GRAND BLVD. KANSAS CITY, MO 64106			EVP of Subsidiary	

## Signatures

John C Pauls, Attorney in Fact	11/25/2013
-----------------------------------	------------

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired from dividend reinvestment
- (2) Options will vest 50% on 1/1/2011; 75% on 1/1/2012; and 100% on 1/1/2013

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.