Edgar Filing: HAVERTY FURNITURE COMPANIES INC - Form 4

HAVERTY FURNITURE COMPANIES INC

Form 4 June 08, 2015

Stock

Class A

Common

June 08, 201	.5									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB APPROVAL		
							N OMB Number:	3235-0	287	
Check th if no long	ger							Expires:	January	31, 2005
subject to Section 1 Form 4 c	Section 16. Form 4 or						Estimate burden h response	Estimated average burden hours per response		
Form 5 obligatio may con <i>See</i> Instr 1(b).	Section 17(a) of		ility Holo	ding Con	npany A	Act o				
(Print or Type l	Responses)									
1. Name and A	Symbol					5. Relationship of Reporting Person(s) to Issuer				
			HAVERTY FURNITURE COMPANIES INC [HVT]				(Check all applicable)			
(Last)	(Last) (First) (Middle) 3. Date of (Month/D						X DirectorX 10% OwnerX Officer (give title Other (specify below)			
780 JOHNS 800	SON FERRY RD., SU	TE 06/05/20)15					nior Vice Presi	dent	
	(Street)		. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
ΔΤΙ ΔΝΤΔ	, GA 30342-	Filed(Mon	th/Day/Year	·)			Applicable Line) _X_ Form filed b Form filed by	y One Reporting y More than One		
							Person			
(City)	(State) (Zip)	Table	e I - Non-D	Derivative	Securition	es Ac	equired, Disposed	of, or Benefic	ially Owned	
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution Date, if			4. Securi onAcquired Disposed (Instr. 3,	(A) or of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	Indirect Beneficial Ownership
C			Code V	Amount		rice	(Instr. 3 and 4)			
Common Stock	06/05/2015		S	2,818	D \$	21	769	D		
Class A Common Stock							85,947	D		
Class A Common							534,823 (1)	I	By H5, LI	P

By Pine Hill

Associates,

534,823 (1)

I

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Stock			LLC
Class A Common Stock	11,228	I	Co-ttee Of Tr Fbo Daughter
Class A Common Stock	5,796	I	Co-ttee Of Tr Fbo Son
Common Stock	1,000	I	Co-ttee Of Tr Fbo Daughter
Common Stock	1,000	I	Co-ttee Of Tr Fbo Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> D Se (I

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
PRSUs 2014	<u>(2)</u>					(2)	(2)	Common Stock	1,718
RSUs 2012	<u>(3)</u>					(3)	(3)	Common Stock	1,250
RSUs 2013	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	2,250
RSUs 2014	<u>(5)</u>					<u>(5)</u>	<u>(5)</u>	Common Stock	1,815
RSUs 2015	<u>(6)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock	3,021

Stock

Appreciation \$ 18.14

Rights

(7) 01/24/2020

Common Stock

8,000

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

HAVERTY RAWSON JR
780 JOHNSON FERRY RD.
SUITE 800
ATLANTA, GA 30342
Relationships

X X X Senior Vice President

Signatures

Jenny H. Parker, Attorney-in-Fact

06/08/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are held by H5, LP, a limited partnership ("H5") and are also reported herein by Pine Hill Associates, LLC, a limited

 (1) liability company ("PH"), the partnership's general partner. Mr. Haverty is the sole manager of PH. Mr. Haverty disclaimes beneficial ownership of shares held by H5 or PH except to the extent of his pecuniary interest therein.
- Performance Restricted Stock Units ("PRSUs") award granted 1/17/2014 under the 2004 Long-Term Incentive Plan. Each Performance unit represents a contingent right to receive one share of the company's common stock based on the EBITDA for the year ended December 31, 2014. This amount represents the number of performance units earned for fiscal 2014, which were certified by the Executive Compensation and Employee Benefits Committee on 1/23/2015. The performance units vest on February 28, 2017.
- (3) Restricted Stock Units ("RSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2013. Each RSU is equivalent to one share of common stock upon vesting.
- (4) Restricted Stock Units ("RSUs") award granted 1/24/2013 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2014. Each RSU is equivalent to one share of common stock upon vesting.
- (5) Restricted Stock Units ("RSUs") award granted 1/17/2014 under the 2004 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2015. Each RSU is equivalent to one share of common stock upon vesting.
- (6) Restricted Stock Units ("RSUs") award granted 1/23/2015 under the 2014 Long-Term Incentive Plan. RSUs vest ratably over 4 years, beginning 5/8/2016. Each RSU is equivalent to one share of common stock upon vesting.
- (7) Stock-Settled Appreciation rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2014, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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