

ILLUMINA INC  
Form 4  
March 09, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FLATLEY JAY T

(Last) (First) (Middle)  
5200 ILLUMINA WAY  
(Street)

SAN DIEGO, CA 92122

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ILLUMINA INC [ILMN]

3. Date of Earliest Transaction (Month/Day/Year)  
03/08/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chief Executive Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |  |
| Common Stock                    | 03/08/2016                           |  | M                              |   | 10,000 <sup>(1)</sup>   | A  | \$ 36.3 500,915 D  |
| Common Stock                    | 03/08/2016                           |  | S                              |   | 5,570 <sup>(1)</sup>  | D  | \$ 154.053 495,345 D   |
| Common Stock                    | 03/08/2016                           |  | S                              |   | 3,301 <sup>(1)</sup>  | D  | \$ 154.706 492,044 D   |
| Common Stock                    | 03/08/2016                           |  | S                              |   | 900 <sup>(1)</sup>  | D  | \$ 155.763 491,144 D   |

|                 |            |  |   |                    |   |                             |         |   |                 |
|-----------------|------------|--|---|--------------------|---|-----------------------------|---------|---|-----------------|
| Common<br>Stock | 03/08/2016 |  | S | 229 <sup>(1)</sup> | D | \$<br>156.847<br><u>(5)</u> | 490,915 | D |                 |
| Common<br>Stock |            |  |   |                    |   |                             | 6,000   | I | By<br>Daughters |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of S |     |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-----------------------|-----|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                 |     |
| Non-qualified Stock Option (Right to Buy)  | \$ 36.3  | 03/08/2016                           |  | M                              | 10,000 <sup>(1)</sup>   | 02/28/2010   | 01/28/2020  | Common Stock          | 10, |

## Reporting Owners

| Reporting Owner Name / Address                            | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| FLATLEY JAY T<br>5200 ILLUMINA WAY<br>SAN DIEGO, CA 92122 |               |           | Chief Executive Officer |       |

## Signatures

By: Scott M. Davies for Jay T. Flatley  
Date: 03/09/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale was made pursuant to a 10b5-1 plan.

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- (2) Weighted average sale price representing 5,570 shares sold ranging from \$153.39 to \$154.37 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 3,301 shares sold ranging from \$154.39 to \$155.33 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 900 shares sold ranging from \$155.44 to \$156.36 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (5) Weighted average sale price representing 229 shares sold ranging from \$156.81 to \$156.93 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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