FIRST SOLAR, INC.

Form 3 July 11, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Bradley Alexander R.

**WEST WASHINGTON** STREET, SUITE 600

(Last)

(First)

C/O FIRST SOLAR, INC., 350

(Street)

(Middle)

Statement

(Month/Day/Year)

07/01/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

FIRST SOLAR, INC. [FSLR]

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below)

Chief Financial Officer

(Check all applicable)

6. Individual or Joint/Group

5. If Amendment, Date Original

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

TEMPE. AZÂ 85281-1244

(City) (State)

(Instr. 4)

1. Title of Security

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Form:

Direct (D) or Indirect

(I) (Instr. 5) Ownership

(Instr. 5)

Common Stock

0

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

2. Date Exercisable and

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative

(Instr. 4)

**Expiration Date** (Month/Day/Year)

> (Instr. 4) Derivative

### Edgar Filing: FIRST SOLAR, INC. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	2,904	\$ (2)	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	1,462	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(4)	(4)	Common Stock	2,433	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(5)	(5)	Common Stock	3,853	\$ <u>(6)</u>	D	Â
Restricted Stock Units	(7)	(7)	Common Stock	3,889	\$ <u>(6)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Other		
Bradley Alexander R.						
C/O FIRST SOLAR, INC.	Â	Â	Chief Financial Officer	Â		
350 WEST WASHINGTON STREET, SUITE 600 TEMPE, AZ 85281-1244						

## **Signatures**

/s/ Peter C. Bartolino, Attorney-in-fact 07/11/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The restricted stock units were granted on March 13, 2013. These units are scheduled to vest at a rate of 25% per year, commencing on the first anniversary of the grant date.
- Each restricted stock unit represents the right to receive, upon vesting, one share of the Issuer's common stock in accordance with the Issuer's 2010 Omnibus Incentive Compensation Plan.
- The restricted stock units were granted on March 5, 2014. These units are scheduled to vest at a rate of 25% per year, commencing on the first anniversary of the grant date.
- The restricted stock units were granted on March 5, 2015. These units are scheduled to vest at a rate of 25% per year, commencing on the first anniversary of the grant date.
- The restricted stock units were granted on August 5, 2015 as a performance recognition grant. These units are scheduled to vest at a rate of 25% per year, commencing on the first anniversary of the grant date.
- Each restricted stock unit represents the right to receive, upon vesting, one share of the Issuer's common stock in accordance with the Issuer's 2015 Omnibus Incentive Compensation Plan.
- The restricted stock units were granted on March 8, 2016. These units are scheduled to vest at a rate of 25% per year, commencing on the first anniversary of the grant date.

Reporting Owners 2

### Edgar Filing: FIRST SOLAR, INC. - Form 3

### Â

#### **Remarks:**

Exhibit List: Exhibit 24, Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.