

DIME COMMUNITY BANCSHARES INC

Form 4

December 01, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PUCELLA MICHAEL2. Issuer Name and Ticker or Trading
Symbol
DIME COMMUNITY
BANCSHARES INC [DCOM]5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

209 HAVEMEYER STREET

(Street)

BROOKLYN, NY 11211

3. Date of Earliest Transaction
(Month/Day/Year)
11/29/20164. If Amendment, Date Original
Filed(Month/Day/Year)☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
EXECUTIVE VICE PRESIDENT6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/29/2016	11/29/2016	X	6,615 A	\$ 16.73 25,744	D	
Common Stock	11/29/2016	11/29/2016	S	6,615 D	\$ 18.816 19,129	D	
Common Stock	11/29/2016	11/29/2016	X	7,481 A	\$ 12.75 26,610	D	
Common Stock	11/29/2016	11/29/2016	S	7,481 D	\$ 18.816 19,129	D	
Common Stock	11/29/2016	11/29/2016	X	6,068 A	\$ 15.46 25,197	D	

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Common Stock	11/29/2016	11/29/2016	S	6,068	D	\$ 18.816	19,129	D	
Common Stock							0	I	401(k) Plan
Common Stock							48,900	I	Bmp
Common Stock							54,184	I	Esop
Common Stock							2,025	I	Other
Common Stock							9,114	I	Restricted Stock Awards

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 16.73	11/29/2016	11/29/2016	X		6,615		05/01/2012 ⁽¹⁾	07/31/2018	Common Stock	6,615
Stock Options (Right to Buy)	\$ 12.75	11/29/2016	11/29/2016	X		7,481		05/01/2011	04/30/2020	Common Stock	7,481
Stock Options (Right to Buy)	\$ 15.46	11/29/2016	11/29/2016	X		6,068		05/01/2015 ⁽²⁾	04/29/2021	Common Stock	6,068

Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PUCELLA MICHAEL 209 HAVEMEYER STREET BROOKLYN, NY 11211			EXECUTIVE VICE PRESIDENT	

Signatures

/s/ MICHAEL PUCELLA	12/01/2016
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**Signature of Reporting Person	Date
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Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award vests in equal annual installments (adjusted for rounding) on May 1, 2009, 2010, 2011 and 2012.
- (2) Award vests in equal annual installments on May 1, 2012, 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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