#### **MVB FINANCIAL CORP**

Form 4 March 23, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* DEAN HARRY E III

2. Issuer Name and Ticker or Trading Symbol

MVB FINANCIAL CORP [MVBF]

5. Relationship of Reporting Person(s) to

Issuer

2400 CRANBERRY SQ.

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

03/21/2017

\_X\_\_ Director 10% Owner Officer (give title \_ Other (specify

6. Individual or Joint/Group Filing(Check

(Check all applicable)

below)

(Street)

(First)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MORGANTOWN, WV 26508

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/15/2017		J <u>(1)</u> V	344	A	\$ 13.53	186,647	I	Gift Trust - Spouse Is Trustee (2)
Common Stock							16,500	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: MVB FINANCIAL CORP - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Common Stock Options	\$ 12.85	03/21/2017		A	2,000	03/21/2018(3)	03/21/2027	Common Stock	2,000
Common Stock Options	\$ 12.5					02/03/2017(4)	02/03/2026	Common Stock	10,000
Common Stock Options	\$ 13.5					03/02/2016(5)	03/02/2025	Common Stock	2,000
Common Stock Options	\$ 16					02/21/2015(6)	02/21/2024	Common Stock	3,000
Common Stock Options	\$ 12					01/01/2014(7)	01/01/2023	Common Stock	10,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>Fg</b>	Director	10% Owner	Officer	Other			
DEAN HARRY E III 2400 CRANBERRY SQ. MORGANTOWN, WV 26508	X						
Signatures							
/s/ Lisa Wanstreet	02/2	2/2017					

03/23/2017

\*\*Signature of Reporting Person Date

McCormick

Reporting Owners 2

#### Edgar Filing: MVB FINANCIAL CORP - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired through the Dividend Reinvestment Plan
- (2) Harry Edward Dean III Gift Trust Sharri Lynn Dean, Trustee
- (3) Stock Options granted 1/5 of the shares become exercisable 03/21/18, 03/21/19, 03/21/20, 03/21/21 and 03/21/22, respectively
- (4) Stock Options granted 1/5 of the shares become exercisable 02/03/17, 02/03/18, 02/03/19, 02/03/20 and 02/03/21, respectively.
- (5) Stock Options granted 1/5 of the shares become exercisable 03/02/16, 03/02/17, 03/02/18, 03/02/19 and 03/02/20, respectively
- (6) Stock Options granted 1/5 of the shares become exercisable 02/21/15, 02/21/16, 02/21/17, 02/21/18 and 02/21/19, respectively
- (7) Stock Options granted 1/5 of the shares become exercisable 01/01/14, 01/01/15, 01/01/16, 01/01/17 and 01/01/18, respectively

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.