#### Edgar Filing: BankGuam Holding Co - Form 4

BankGuam Hol	lding Co										
Form 4 April 20, 2017											
FORM /				SECURITIES AND EXCHANGE COMMISSIO						PPROVAL	
Check this b	DOX	Washington, D.C. 20549								3235-0287	
if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instructi 1(b).	<b>STATEME</b> Filed pursu e. Section 17(a)	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							Expires: January 31 2005 Estimated average burden hours per response 0.5		
(Print or Type Res	ponses)										
			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mid		BankGuam Holding Co [BKGM] 3. Date of Earliest Transaction (Cf						eck all applicable)		
				/Day/Year)				X Director 10% Owner X Officer (give title Other (specify below) below) President & Chair of the Board			
				nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
HAGATNA, C	GU 96932							Form filed by M Person	More than One Re	eporting	
(City)	(State) (Z	ip) ,	Table	I - Non-D	erivative	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
Security ( (Instr. 3)	2. Transaction Date Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Y		3. Transactio Code (Instr. 8) Code V	Disposed	d (A) of d of (D 4 and (A) or	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/20/2017			Р	50	А	\$ 10	78,398	D <u>(1)</u>		
Common Stock								2,400	I	By Trust	
Common Stock								800	I	By Trust $(3)$	
Common Stock								1,100	I	Trustee	
Common Stock								500	I	Trustee	

Preferred
Shares
Series A

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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04/21/2017

Date

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Leon Guerrero Lourdes Aflague 111 CHALAN SANTO PAPA HAGATNA, GU 96932	Х		President & Chair of the Board					
Signatures								

/s/ Tina Renee A Sablan, Attorney-in-Fact for Lourdes A Leon Guerrero

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 83,198 shares are subject to a certain Voting Trust Agreement dated November 29, 2013 of which Ms. Lourdes Leon Guerrero is the Trustee
- (2) The securities are held in a trust for the benefit of Joaquin Philip Leon Guerrero Cook of which Lourdes Leon Guerrero is a trustee.

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The securities are held in a trust for the benefit of Mariana Leon Guerrero Cook of which Lourdes Leon Guerrero is a trustee. Ms. Leon

(3) Guerrero disclaims beneficial ownership of the reported securities except to the extent of her pecuniary interest therein, and this report shall not be deemed an admission that she is a beneficial owner of such securities for the purpose of Section 16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.