SYNNEX CORP Form 4 July 06, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * **POLK DENNIS**

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

3235-0287

January 31,

2005

0.5

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Number:

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response...

Estimated average

burden hours per

SYNNEX CORP [SNX]

(Middle)

3. Date of Earliest Transaction

X Director 10% Owner

44201 NOBEL DRIVE

(Month/Day/Year) 07/03/2017

X_ Officer (give title _ Other (specify below) below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check

(Check all applicable)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

FREMONT, CA 94538

| (City) | (State) | (Zip) Tab | le I - Non- | Derivativ | e Secu | rities Acqui | red, Disposed of, | or Beneficial | y Owned |
|--------------------------------------|---|---|--|-----------|-------------------------------|--------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | | esed of 4 and (A) or | ` ' | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 07/03/2017 | | M | 500 | A | \$ 26.98 | 33,968 | D | |
| Common Stock | 07/03/2017 | | S <u>(1)</u> | 71 | D | \$ 120.6 | 33,897 | D | |
| Common Stock | 07/03/2017 | | S <u>(1)</u> | 71 | D | \$ 120.55 | 33,826 | D | |
| Common Stock | 07/03/2017 | | S <u>(1)</u> | 71 | D | \$ 120.46 | 33,755 | D | |
| Common Stock | 07/03/2017 | | S <u>(1)</u> | 71 | D | \$ 120.35 | 33,684 | D | |

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| Common Stock | 07/03/2017 | S <u>(1)</u> | 73 | D | \$ 120.34 33,611 | D |
|-----------------|------------|--------------|-----|---|----------------------|---|
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 120.3 33,540 | D |
| Common Stock | 07/03/2017 | S <u>(1)</u> | 71 | D | \$ 120.26 33,469 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 120.25 33,398 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 120.22 33,327 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 120.18 33,256 | D |
| Common Stock | 07/03/2017 | S(1) | 123 | D | \$ 120.11 33,133 | D |
| Common Stock | 07/03/2017 | S(1) | 20 | D | \$ 120.1 33,113 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 120.08 33,042 | D |
| Common Stock | 07/03/2017 | S(1) | 143 | D | \$ 120.06 32,899 | D |
| Common Stock | 07/03/2017 | S(1) | 143 | D | \$ 120.05 32,756 | D |
| Common Stock | 07/03/2017 | S(1) | 131 | D | \$ 120.04 32,625 | D |
| Common Stock | 07/03/2017 | S <u>(1)</u> | 71 | D | \$ 120.02 32,554 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 119.97 32,483 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 119.94 32,412 | D |
| Common Stock | 07/03/2017 | S(1) | 19 | D | \$ 119.91 32,393 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 119.9 32,322 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 119.895 32,251 | D |
| Common Stock | 07/03/2017 | S <u>(1)</u> | 71 | D | \$ 119.89 32,180 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 119.88 32,109 | D |
| | 07/03/2017 | S(1) | 71 | D | \$ 119.87 32,038 | D |

| Common Stock | | | | | | |
|-----------------|------------|--------------|-----|---|------------------|---|
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 119.86 31,967 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 119.84 31,896 | D |
| Common Stock | 07/03/2017 | S(1) | 71 | D | \$ 119.76 31,825 | D |
| Common Stock | 07/03/2017 | S <u>(1)</u> | 143 | D | \$ 119.75 31,682 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number owf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer Expiration D (Month/Day) | ate | 7. Title and A Underlying S (Instr. 3 and | Securities | 8. Pri Deriv Secur (Instr |
|---|---|---|---|---------------------------------------|--|---|--------------------|---|--|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (Right to Buy) | \$ 26.98 | 07/03/2017 | | M | 500 | (2) | 10/05/2021 | Common Stock | 500 | \$ |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|-------------------------|-------|--|--|--|
| r | Director | 10% Owner | Officer | Other | | | |
| POLK DENNIS | | | | | | | |
| 44201 NOBEL DRIVE | X | | Chief Operating Officer | | | | |
| FREMONT CA 94538 | | | | | | | |

Reporting Owners 3 Edgar Filing: SYNNEX CORP - Form 4

Signatures

/s/ Simon Y. Leung, Attorney-in-Fact

07/06/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 27, 2016.
- (2) This stock option is immediately exercisable as to 1139 shares and is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4