Leon Guerrero Lourdes Aflague Form 4

January 22, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and A Leon Guerre	_	Symbol	2. Issuer Name and Ticker or Trading Symbol BankGuam Holding Co [BKGM]				5. Relationship of Reporting Person(s) to Issuer					
			e i				(Check all applicable)					
(Last)	(First)	(Middle)	3. Date of	Earliest T	ransaction							
			(Month/D	ay/Year)			_	10%				
111 CHALAN SANTO PAPA			01/19/20	01/19/2018				ve titleOth	er (specify			
						below	1	below) t & Chair of the	Board			
		4. If Amer	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check						
		Filed(Month/Day/Year)				Applicable Line)						
							_X_ Form filed by One Reporting Person					
HAGATNA	, GU 96932					F Perso	•	More than One Re	eporting			
(City)	(State)	(Zip)	Table	e I - Non-l	Derivative Securities A	Acquired	, Disposed o	of, or Beneficia	lly Owne			
1.Title of	2. Transactio	n Date 2A. De	eemed	3.	4. Securities	5. Am	nount of	6. Ownership	7. Natur			
Security	(Month/Day/	Year) Execut	tion Date, if	Transact	tionAcquired (A) or	Secur	ities	Form: Direct	Indirect			
(T . 0)				G 1	D: 1 C(D)	D (	c 11	(D)	D ("			

(City)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	ecurities Form: Direct deneficially (D) or Dwned Indirect (I) collowing (Instr. 4) deported dransaction(s)		
Common Stock	01/19/2018		P	85	A	\$ 9	78,483	D (1)	
Common Stock	01/19/2018		P	5	A	\$ 11	78,488	D (1)	
Common Stock							2,400	I	By Trust
Common Stock							800	I	By Trust
Common Stock							1,100	I	Trustee

Common Stock 500 I Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title N	Number		

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Leon Guerrero Lourdes Aflague 111 CHALAN SANTO PAPA HAGATNA, GU 96932

X

President & Chair of the Board

Relationships

### **Signatures**

/s/ Tina Renee A Sablan, Attorney-in-Fact for Lourdes A Leon Guerrero

01/22/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 83,288 shares are subject to a certain Voting Trust Agreement dated November 29, 2013 of which Ms. Lourdes Leon Guerrero is the Trustee
- (2) The securities are held in a trust for the benefit of Joaquin Philip Leon Guerrero Cook of which Lourdes Leon Guerrero is a trustee.
- (3) The securities are held in a trust for the benefit of Mariana Leon Guerrero Cook of which Lourdes Leon Guerrero is a trustee. Ms. Leon Guerrero disclaims beneficial ownership of the reported securities except to the extent of her pecuniary interest therein, and this report

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shall not be deemed an admission that she is a beneficial owner of such securities for the purpose of Section 16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.