

Miller Richard Thomas  
Form 3  
July 02, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|                                           |         |                                      |                                                                                                                                                                                                                         |                                                      |
|-------------------------------------------|---------|--------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol                                                                                                                                                                             |                                                      |
| Â Miller Richard Thomas                   |         | (Month/Day/Year)                     | SNAP-ON Inc [SNA]                                                                                                                                                                                                       |                                                      |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer                                                                                                                                                                        | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| 2801 80TH STREET                          |         |                                      |                                                                                                                                                                                                                         |                                                      |
| (Street)                                  |         |                                      | (Check all applicable)                                                                                                                                                                                                  |                                                      |
|                                           |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below) (specify below)<br>VP, Gen Counsel & Secretary |                                                      |
| KENOSHA, WI 53143                         |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person           |                                                      |
| (City)                                    | (State) | (Zip)                                |                                                                                                                                                                                                                         |                                                      |

### Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|----------------------------------------------------------|-------------------------------------------------------------------|----------------------------------------------------------|
| Common Stock                       | 1,580.6027                                               | D                                                                 | Â                                                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|-----------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------|
|                                               | Date Exercisable    Expiration Date                         | Title    Amount or Number of                                                   |                                                        |                                                                        |                                                          |

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|                             |                       |               |              | Shares  |               | (I)<br>(Instr. 5) |   |
|-----------------------------|-----------------------|---------------|--------------|---------|---------------|-------------------|---|
| Stock Option (Right to Buy) | Â <u>(1)</u>          | 02/13/2023    | Common Stock | 3,000   | \$ 79.04      | D                 | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u>          | 02/13/2024    | Common Stock | 3,500   | \$ 109.43     | D                 | Â |
| Stock Option (Right to Buy) | Â <u>(1)</u>          | 02/12/2025    | Common Stock | 4,000   | \$ 144.69     | D                 | Â |
| Stock Option (Right to Buy) | 02/11/2017 <u>(2)</u> | 02/11/2026    | Common Stock | 4,500   | \$ 138.03     | D                 | Â |
| Stock Option (Right to Buy) | 02/09/2018 <u>(2)</u> | 02/09/2027    | Common Stock | 4,750   | \$ 168.7      | D                 | Â |
| Stock Option (Right to Buy) | 02/15/2019 <u>(2)</u> | 02/15/2028    | Common Stock | 3,728   | \$ 161.18     | D                 | Â |
| Restricted Stock Units      | Â <u>(3)</u>          | Â <u>(3)</u>  | Common Stock | 395     | \$ <u>(4)</u> | D                 | Â |
| Restricted Stock Units      | Â <u>(5)</u>          | Â <u>(5)</u>  | Common Stock | 126     | \$ <u>(4)</u> | D                 | Â |
| Restricted Stock Units      | Â <u>(6)</u>          | Â <u>(6)</u>  | Common Stock | 433     | \$ <u>(4)</u> | D                 | Â |
| Performance Units           | Â <u>(7)</u>          | Â <u>(7)</u>  | Common Stock | 340     | \$ <u>(4)</u> | D                 | Â |
| Performance Units           | Â <u>(8)</u>          | Â <u>(8)</u>  | Common Stock | 334     | \$ <u>(4)</u> | D                 | Â |
| Performance Units           | Â <u>(9)</u>          | Â <u>(9)</u>  | Common Stock | 433     | \$ <u>(4)</u> | D                 | Â |
| Deferred Stock Units        | Â <u>(10)</u>         | Â <u>(10)</u> | Common Stock | 19.2016 | \$ <u>(4)</u> | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                               |       |
|----------------------------------------------------------------|---------------|-----------|-------------------------------|-------|
|                                                                | Director      | 10% Owner | Officer                       | Other |
| Miller Richard Thomas<br>2801 80TH STREET<br>KENOSHA, WI 53143 | Â             | Â         | Â VP, Gen Counsel & Secretary | Â     |

## Signatures

/s/ Ryan S. Lovitz under Power of Attorney for Richard Thomas  
Miller

07/02/2018

    Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option fully vested.

(2) Original option grant vests in three annual installments beginning on the date listed in the "Date Exercisable" column.

(3) The restricted stock units were earned based on Company performance during fiscal 2016. Assuming continued employment through the end of fiscal 2018, the units will then vest in one installment and the shares will be issued shortly thereafter.

(4) 1 for 1.

(5) The restricted stock units were earned based on Company performance during fiscal 2017. Assuming continued employment through the end of fiscal 2019, the units will then vest in one installment and the shares will be issued shortly thereafter.

(6) The restricted stock units may be earned based on the achievement of certain Company goals during fiscal 2018. Assuming continued employment through the end of fiscal 2020, any units earned will then vest in one installment and the shares will be issued shortly thereafter. The target number of units that may be earned is reported above; the maximum number is 200% of the number reported, subject to plan limits.

(7) If the Company achieves certain goals over the 2016-2018 period, the performance units will vest and stock will be awarded. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported, subject to plan limits.

(8) If the Company achieves certain goals over the 2017-2019 period, the performance units will vest and stock will be awarded. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported, subject to plan limits.

(9) If the Company achieves certain goals over the 2018-2020 period, the performance units will vest and stock will be awarded. The target number of units that may be earned is reported above; the maximum amount is 200% of the number reported, subject to plan limits.

(10) Payment will be made in accordance with the reporting person's deferral election, death, disability or termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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