Edgar Filing: Leon Guerrero William David - Form 4

Leon Guerrero William David Form 4 September 19, 2018

September 19	9, 2018											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION										OMB APPROVAL		
	UNITEDS	TATES SI		TIES Af ington, 1			NGE	COMMISSION	NOMB Number:	3235-(
Check thi if no long subject to Section 10 Form 4 or Form 5	CHANGES IN BENEFICIAL OW SECURITIES						Expires: Estimated burden ho response.	average urs per	y 31, 2005 0.5			
obligation may conti <i>See</i> Instru 1(b).	nue. Section 17(a		olic Util	lity Hold	ing Com	pany	Act	ge Act of 1934, of 1935 or Sectio 40	on			
(Print or Type R	esponses)											
Leon Guerrero William David Symbol			mbol	r Name and Ticker or Trading uam Holding Co [BKGM]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (M		3. Date of Earliest Transaction				1	(Check all applicable)				
				Day/Year)				X Director 10% Owner X Officer (give title Other (specify below) below) EVP & COO				
HAGATNA	(Street)			dment, Dat n/Day/Year)	e Original					Person		
(City)		Zip)						Person				
		-					ties Ac	quired, Disposed of		-		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		1	
~				Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock	09/19/2018			Р	164	А	\$ 10	74,057	D <u>(1)</u>			
Common Stock								2,520	I (<u>2)</u>	Shares a registere under th spouse's name Zi T Leon Guerrero	ed ie ita	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Teporang o mer ranto / rrantoss	Director	10% Owner	Officer	Other			
Leon Guerrero William David 111 CHALAN SANTO PAPA HAGATNA, GU 96910	Х		EVP & COO				

Signatures

/s/ Lorraine P Guzman, attorney-in-fact for William D Leon Guerrero <u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 74,057 shares are subject to a certain Voting Trust Agreement dated November 29, 2013 of which Ms. Lourdes Leon Guerrero is the Trustee
- (2) 2,520 shares are subject to a certain Voting Trust Agreement dated November 29 2013 of which Ms. Lourdes Leon Guerrero is the Trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.