Edgar Filing: YANG GEOFFREY Y - Form 4

Form 4 October 02, 2018 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Scient 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations anay continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section and Address of Reporting Person ito) YANG GEOFFREY Y Symbol FRANKLIN RESOURCES IN Check all applicable FRANKLIN RESOURCES IN Check all applicable COME Applicable COME Applicable COME or provide the section COME APPROVAL OMB Section 16. Section 16. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section and Address of Reporting Person ito) Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 (Last) (Last) (First) (Middle) COMENDAPY(Car) CO	YANG GEO	OFFREY Y									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Mombine: 2325-0287 Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 17(a) of the Public Utility Holding Company Act of 1935, obligations may continue. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expression Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, obligations may continue. Filed pursuant to Section 16(a) of the Public Utility Holding Company Act of 1940 Secientification for the Investment Company Act of 1940 (b). (h). With Investment Company Act of 1940 Secientification for the Investment Company Act of 1940 Secientification for the Investment Company Act of 1940 (h). (h). (h). Bernovice (Check and applicable) Check and applicable) (h). (h). Bernovice (Check and applicable) Check and applicable) (Last) (First) (Month/Day/Year) Seclentification for (goe offic below) Officer (goe offic below) (Check RANKLIN PARKWAY (State) (Zip) Table 1- Non-Derivative Securities Courced Dybood of or Beneficial Owned (Month/Day/Year) 6. Individual of Joint/Group Filing(Check Applicable Line) 	Form 4										
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.					Code V	Amount		(instr. \mathfrak{S} and $\mathfrak{4}$)			
	Reminder: Re	port on a separate lin	e for each cl	ass of sec	urities benet	ficially ow	ned directly of	or indirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable Expiration Date	Title 4
Deferred Director's Fees (FRI)	<u>(1)</u>	10/01/2018		A	778.9439	04/20/2035 <u>(2)</u> 04/20/2035 <u>(2)</u>	Common Stock, par value \$.10

Reporting Owners

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
YANG GEOFFREY Y C/O FRANKLIN RESOURCES, INC. ONE FRANKLIN PARKWAY SAN MATEO, CA 94403-1906		X					
Signatures							
/s/ Geoffrey Y. Yang	10/02/2018						
<u>**</u> Signature of	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not applicable.

Reporting Person

(2) Exercisable and expiration dates assume the director's separation from service from Franklin Resources, Inc. and its subsidiaries occurs in the February following the director's 75th birthday. See footnote below.

Represents a hypothetical investment account calculation of deferred Franklin Resources Inc.'s director's fees, under the 2006 Director Deferred Compensation Plan, based upon the performance of Franklin Resources Inc.'s stock (including reinvested dividends) payable in

(3) one payment following the director's separation from service from Franklin Resources, Inc. and its subsidiaries. Reporting Person may transfer the hypothetical investment account amount into an alternative investment account(s) not based on the performance of Franklin Resources, Inc. stock effective as of the first day of any calendar quarter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.