

Trust dated 1/1/1977, PNC Bank & Alfred M. Rankin, Jr. as Co-Trustees & Clara L.T. Rankin, fbo Clara L.T. Rankin
Form 4

October 19, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Trust dated 1/1/1977, PNC Bank & Alfred M. Rankin, Jr. as Co-Trustees & Clara L.T. Rankin, fbo Clara L.T. Rankin

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

3. Date of Earliest Transaction (Month/Day/Year)
10/17/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
___ Officer (give title below) Other (specify below)
member of a group

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Class A Common Stock | 10/17/2018 | | P | 119 ⁽¹⁾ A | \$ 63.2017 | 3,882 | D |
| Class A Common Stock | 10/17/2018 | | P | 383 ⁽¹⁾ A | \$ 63.07 ⁽²⁾ | 102,112 | I ⁽³⁾ Proportionate Interest in Shares held by Rankin Associates V |
| Class A Common Stock | 10/17/2018 | | P | 29 ⁽¹⁾ A | \$ 64.92 | 102,141 | I ⁽³⁾ Proportionate Interest in Shares held |

| | | | | | | | | |
|----------------------------|------------|---|--------------------|---|-----------------------------------|---------|------------------|--|
| Class A Common Stock | 10/17/2018 | P | 37 ⁽¹⁾ | A | \$ <u>63.07</u> ₍₂₎ | 6,359 | I ⁽⁴⁾ | by Rankin Associates V Proportionate Interest in Shares held by Rankin Associates VI |
| Class A Common Stock | 10/17/2018 | P | 3 ⁽¹⁾ | A | \$ 64.92 | 6,362 | I ⁽⁴⁾ | Proportionate Interest in Shares held by Rankin Associates VI |
| Class A Common Stock | 10/18/2018 | P | 117 ⁽¹⁾ | A | \$ 61.6882 | 3,999 | D | |
| Class A Common Stock | 10/18/2018 | P | 407 ⁽¹⁾ | A | \$ <u>61.69</u> ₍₅₎ | 102,548 | I ⁽³⁾ | Proportionate Interest in Shares held by Rankin Associates V |
| Class A Common Stock | 10/18/2018 | P | 40 ⁽¹⁾ | A | \$ <u>61.69</u> ₍₅₎ | 6,402 | I ⁽⁴⁾ | Proportionate Interest in Shares held by Rankin Associates VI |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|---|--|---|---|---|---|--|---|---|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of |

Shares

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------------------|
| | Director | 10% Owner | Officer | Other |
| Trust dated 1/1/1977, PNC Bank & Alfred M. Rankin, Jr. as Co-Trustees & Clara L.T. Rankin, fbo Clara L.T. Rankin 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124 | | | | member of a group |

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

10/19/2018

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-17 -Weighted Average- Share Price represents average price between \$62.63 and \$63.50.
- (3) Representing proportionate interest in shares held by RA V
- (4) Representing proportionate interest in shares held by RA VI
- (5) 2018-Oct-18 -Weighted Average- Share Price represents average price between \$61.23 and \$62.03.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.