Leon Guerrero Lourdes Aflague Form 4

October 31, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

response...

January 31,

0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Leon Guerrero Lourdes Aflague			2. Issuer Name and Ticker or Trading Symbol BankGuam Holding Co [BKGM]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
111 CHALAN SANTO PAPA		APA	(Month/Day/Year) 10/31/2018	XDirector10% OwnerOfficer (give title below) Other (specify below)			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
HAGATNA, GU 96932			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State) (Z	Zip) Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Stock	10/31/2018		P	226	A	\$ 10	78,883	D (1)	
Common Stock							2,400	I	By Trust
Common Stock							800	I	By Trust
Common Stock							1,100	I	Trustee
Common Stock							500	I	Trustee
							250	D	

Preferred Shares Series A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title an	nd 8. Pri	ce of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration D	ate	Amount o	of Deriv	ative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlyin	ig Secur	ity	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr.	. 5)	Bene
	Derivative				Securities			(Instr. 3 an	nd 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								Am	nount		
								or	iouni		
						Date	Expiration		mber		
						Exercisable	Date	of			
				Code V	(A) (D)				ares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
rioporting o where realized	Director	10% Owner	Officer	Other			
Leon Guerrero Lourdes Aflague 111 CHALAN SANTO PAPA HAGATNA, GU 96932	X						

# **Signatures**

/s/ Tina Renee A Sablan, Attorney-in-Fact for Lourdes A Leon Guerrero

10/31/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 83,683 shares are subject to a certain Voting Trust Agreement dated November 29, 2013 of which Ms. Lourdes Leon Guerrero is the Trustee
- (2) The securities are held in a trust for the benefit of Joaquin Philip Leon Guerrero Cook of which Lourdes Leon Guerrero is a trustee.

Reporting Owners 2

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The securities are held in a trust for the benefit of Mariana Leon Guerrero Cook of which Lourdes Leon Guerrero is a trustee. Ms. Leon Guerrero disclaims beneficial ownership of the reported securities except to the extent of her pecuniary interest therein, and this report shall not be deemed an admission that she is a beneficial owner of such securities for the purpose of Section 16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.