### Edgar Filing: ECKSTEIN BERNSTEIN H CAROL - Form 4

#### ECKSTEIN BERNSTEIN H CAROL

Form 4

December 10, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and A ECKSTEIN CAROL	2. Issuer Name and Ticker or Trading Symbol CABOT MICROELECTRONICS CORP [CCMP]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)					
(Last) (First) (Middle) 3. Date of (Month/E) C/O CABOT 12/06/2 MICROELECTRONICS CORPORATION, 870 COMMONS DRIVE				<del>-</del>				Director 10% Owner Sofficer (give title Other (specify below)			
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivativo	e Secu	rities Acq	uired, Disposed of	, or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4)		
Common Stock	12/06/2018			Code V $F_{\underline{(1)}}$	Amount 255	(D) D	Price \$ 101.73	(Instr. 3 and 4) 47,472.324	D		
Common Stock	12/06/2018			F <u>(1)</u>	986	D	\$ 101.73	46,486.324	D		
Common	12/06/2018			A	2,172	Α	\$	48 658 324	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

A

(2)

12/06/2018

Stock

48,658.324

101.73

D

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 101.73	12/06/2018	A	8,044	12/06/2019(3)	12/06/2028	Common Stock	8,044

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner

ECKSTEIN BERNSTEIN H CAROL C/O CABOT MICROELECTRONICS CORPORATION 870 COMMONS DRIVE AURORA, IL 60504

VP, Sec & General Counsel

Other

Officer

## **Signatures**

/s/ H. Carol Bernstein 12/10/2018

\*\*Signature of Date
Reporting Person

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Withheld to cover for tax purposes as per terms of Omnibus Incentive Plan.
- (2) 2018 (FY19) Restricted Stock Unit Award Vesting Schedule: 25% 12/06/2019, 25% 12/06/2020, 25% 12/06/2021, 25% 12/06/2022.

(3)

Reporting Owners 2

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 $2018 \ (FY19) \ Non-Qualified \ Stock \ Option \ Grant \ Vesting \ Schedule: 25\% \ 12/06/2019, 25\% \ 12/06/2020, 25\% \ 12/06/2021, 25\% \ 12/05/2022.$ 

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.