Edgar Filing: O'HAVER CORT L - Form 4

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Form 4	UKIL										
March 13, 20)19										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMMISSION		OMB APPROVAL	
		hington,					OMB Number:	3235-0287			
Check thi if no long subject to Section 1 Form 4 of Form 5 obligation	Section 1	SECUR 6(a) of the	ITIES e Securit	ies E	xchang	NERSHIP OF	Expires: Estimated a burden hou response	•			
may cont See Instru 1(b).	inue. Section 1			vestment	•	· ·		1935 or Section 0	1		
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> O'HAVER CORT L			2. Issuer Name and Ticker or Trading Symbol UMPQUA HOLDINGS CORP [UMPQ]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) ONE SW COLUMBIA STREET, SUITE 1200			3. Date of Earliest Transaction (Month/Day/Year) 03/11/2019					_X_ Director 10% Owner _X_ Officer (give title Other (specify below) President & CEO			
(Street) 4. If Amendme Filed(Month/Da PORTLAND, OR 97258					-	1		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
(City)	(State)	(Zip)				~		Person			
		-					-	uired, Disposed of		•	
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		n Date, if	(A)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	03/11/2019			А	994 <u>(1)</u>	А	\$0	406,236	D		
Common Stock	03/12/2019			F	7,265 (2)	D	\$ 17.72	398,971	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting of their funite (reactions	Director	10% Owner	Officer	Other		
O'HAVER CORT L ONE SW COLUMBIA STREET, SUITE 1200 PORTLAND, OR 97258	Х		President & CEO			
Signatures						
/s/ Andrew H. Ognall, Attorney-in-Fact for Cort O'Haver	L.	03/1	3/2019			

<u>**</u>Signature of Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Additional shares issued under the PSA granted 2/1/16 due to issuer's performance exceeding target.
- (2) Payment of tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date