

Edgar Filing: NOMURA HOLDINGS INC - Form 13F-HR

NOMURA HOLDINGS INC
Form 13F-HR
August 04, 2011

THIS FILING LISTS SECURITIES HOLDINGS REPORTED ON THE FORM 13F FILED ON
6/15/2011 PURSUANT TO A REQUEST FOR CONFIDENTIAL TREATMENT AND
FOR WHICH CONFIDENTIAL TREATMENT EXPIRED ON .

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F
Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 6/30/2011
Check here if Amendment ; Amendment Number: _____

This Amendment (Check only one.): is a restatement.
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Nomura Holdings Inc.

Address: 1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8011, Japan

Form 13F File Number: 001-15270

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this submission.

Persons Signing this Report on Behalf of Reporting Manager:

Name: Brendan G. Daly
Title: Head of Compliance and Surveillance
Phone: 212-667-1246

Signature, Place, and Date of Signing:

| | | |
|---------------------|---------------|----------|
| /s/ Brendan G. Daly | New York, NY | 8/4/2011 |
| ----- | ----- | ----- |
| [Signature] | [City, State] | [Date] |

Report Type (Check only one):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)
- 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

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List of Other Managers Reporting for this Manager:

| 13F File Number | Name |
|-----------------|--|
| 28-04983 | Nomura Securities International, Inc. |
| 28-04985 | Nomura International plc |
| 28-04979 | Nomura Securities Company, Ltd |
| 028-13982 | Nomura Corporate Research & Asset Management Inc. |
| 014-88809 | Nomura Funds Research and Technologies America, Inc. |

Form 13F SUMMARY PAGE

Report Summary:

| | |
|---|----------------|
| Number of Other Included Managers: | 5 |
| Form 13F Information Table Entry Total: | 1,322 |
| Form 13F Information Table Value Total: | \$ 3,783,475 |
| | ----- |
| | (In Thousands) |

List of Other Included Managers:

Provide a numbered list of the name(s) and 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

| No. | Form 13F File Number | Name |
|-----|----------------------|---|
| 1 | 28-04983 | Nomura Securities International, Inc. |
| 2 | 28-04985 | Nomura International plc |
| 3 | 28-04979 | Nomura Securities Company, Ltd |
| 4 | 028-13982 | Nomura Corporate Research & Asset Management Inc. |
| 5 | 014-88809 | Nomura Funds Research and Technologies America, Inc |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 |
|----------------|----------------|----------|--------------------|--------------------|----------------------|-------------------------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION MANAGER |
| ----- | ----- | ----- | ----- | ----- | ----- | ----- |

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| | | | | | | | |
|----------------------|-----|-----------|--------|-----------|----|---------|---|
| 3M CO COM USD0.01 | CMN | 88579Y101 | 1,687 | 17,790 | SH | SOLE | 2 |
| 99 CENTS ONLY STORES | CMN | 65440K106 | 210 | 10,400 | SH | SOLE | 2 |
| ABBOTT LABORATORIES | CMN | 002824100 | 27 | 520 | SH | DEFINED | 1 |
| ABBOTT LABORATORIES | CMN | 002824100 | 946 | 17,979 | SH | DEFINED | 2 |
| ABBOTT LABORATORIES | CMN | 002824100 | 503 | 9,549 | SH | DEFINED | 2 |
| ABERCROMBIE & FITCH | CMN | 002896207 | 372 | 5,552 | SH | DEFINED | 1 |
| ABERCROMBIE & FITCH | CMN | 002896207 | 5,437 | 81,245 | SH | DEFINED | 2 |
| ABINGTON BANCORP INC | CMN | 00350L109 | 2,700 | 258,650 | SH | SOLE | 1 |
| ACCENTURE PLC CLS'A' | CMN | G1151C101 | 346 | 5,724 | SH | SOLE | 2 |
| ACCURIDE CORP | CMN | 00439T206 | 12,776 | 1,011,545 | SH | SOLE | 4 |
| ACTIVISION BLIZZARD | CMN | 00507V109 | 191 | 16,307 | SH | SOLE | 2 |
| ACUITY BRANDS INC CO | CMN | 00508Y102 | 2,342 | 41,986 | SH | DEFINED | 1 |
| ACUITY BRANDS INC CO | CMN | 00508Y102 | 8 | 140 | SH | DEFINED | 2 |
| ACXIOM CORP COM USD0 | CMN | 005125109 | 361 | 27,550 | SH | SOLE | 2 |
| ADECOAGRO SA COM NPV | CMN | L00849106 | 115 | 10,300 | SH | SOLE | 2 |
| ADOBE SYSTEMS INC CO | CMN | 00724F101 | 1,557 | 49,512 | SH | SOLE | 2 |
| ADVANCED MICRO DEVIC | CMN | 007903107 | 339 | 48,476 | SH | DEFINED | 1 |
| ADVANCED MICRO DEVIC | CMN | 007903107 | 1,919 | 274,809 | SH | DEFINED | 2 |
| AEGEAN MARINE PETROL | CMN | Y0017S102 | 277 | 39,047 | SH | SOLE | 2 |
| AES CORP/THE COM USD | CMN | 00130H105 | 323 | 25,379 | SH | SOLE | 2 |
| AETNA INC COM USD0.0 | CMN | 00817Y108 | 10 | 220 | SH | DEFINED | 1 |
| AETNA INC COM USD0.0 | CMN | 00817Y108 | 542 | 12,298 | SH | DEFINED | 2 |
| AFLAC INC COM USD0.1 | CMN | 001055102 | 341 | 7,303 | SH | DEFINED | 2 |
| AFLAC Incorporated | CMN | 1055102 | 300 | 6,427 | SH | SOLE | 3 |
| AGILENT TECHNOLOGIES | CMN | 00846U101 | 9 | 180 | SH | DEFINED | 1 |
| AGILENT TECHNOLOGIES | CMN | 00846U101 | 244 | 4,770 | SH | DEFINED | 2 |
| AGNICO-EAGLE MINES L | CMN | 008474108 | 574 | 9,090 | SH | SOLE | 2 |
| AK STEEL HOLDING COR | CMN | 001547108 | 436 | 27,644 | SH | DEFINED | 1 |
| AK STEEL HOLDING COR | CMN | 001547108 | 970 | 61,496 | SH | DEFINED | 2 |
| AKAMAI TECHNOLOGIES | CMN | 00971T101 | 888 | 28,224 | SH | SOLE | 2 |
| ALCATEL-LUCENT EUR2 | CMN | 013904305 | 229 | 39,800 | SH | SOLE | 2 |
| ALCOA INC COM USD1 | CMN | 013817101 | 3 | 164 | SH | DEFINED | 2 |
| ALCOA INC COM USD1 | CMN | 013817101 | 5,478 | 345,441 | SH | DEFINED | 2 |
| ALERE INC COM STK US | CMN | 01449J105 | 168 | 4,600 | SH | DEFINED | 1 |
| ALERE INC COM STK US | CMN | 01449J105 | 59 | 1,614 | SH | DEFINED | 2 |
| ALEXION PHARMACEUTIC | CMN | 015351109 | 848 | 18,034 | SH | SOLE | 2 |
| ALIGN TECHNOLOGY INC | CMN | 016255101 | 12 | 536 | SH | DEFINED | 1 |
| ALIGN TECHNOLOGY INC | CMN | 016255101 | 1,202 | 52,737 | SH | DEFINED | 2 |
| ALLEGHENY TECHNOLOGI | CMN | 01741R102 | 1,261 | 19,871 | SH | SOLE | 2 |
| ALLIANT TECHSYSTEMS | CMN | 018804104 | 485 | 6,800 | SH | DEFINED | 1 |
| ALLIANT TECHSYSTEMS | CMN | 018804104 | 155 | 2,174 | SH | DEFINED | 2 |
| ALLIED IRISH BANKS P | CMN | 019228808 | 1,434 | 674,930 | SH | SOLE | 2 |
| ALLOS THERAPEUTICS I | CMN | 019777101 | 27 | 12,500 | SH | SOLE | 2 |
| ALPHA NAT RES LLC CO | CMN | 02076X102 | 1,261 | 27,762 | SH | SOLE | 2 |
| ALTERA CORP COM USD0 | CMN | 021441100 | 3,761 | 81,156 | SH | SOLE | 2 |
| ALTRA HOLDINGS INC C | CMN | 02208R106 | 228 | 9,506 | SH | SOLE | 2 |
| ALTRIA GROUP INC COM | CMN | 02209S103 | 24 | 900 | SH | DEFINED | 1 |
| ALTRIA GROUP INC COM | CMN | 02209S103 | 339 | 12,835 | SH | DEFINED | 2 |
| ALUMINA LTD NPV | CMN | 022205108 | 593 | 64,400 | SH | SOLE | 2 |
| AMAZON.COM INC COM U | CMN | 023135106 | 1,111 | 5,431 | SH | DEFINED | 2 |
| Amazon.com, Inc. | CMN | 23135106 | 100 | 489 | SH | SOLE | 3 |
| AMERICA MOVIL SAB DE | CMN | 02364W105 | 1,174 | 21,800 | SH | SOLE | 2 |
| AMERICAN CAPITAL LTD | CMN | 02503Y103 | 1,575 | 158,468 | SH | SOLE | 2 |
| AMERICAN EAGLE OUTFI | CMN | 02553E106 | 18 | 1,400 | SH | DEFINED | 1 |
| AMERICAN EAGLE OUTFI | CMN | 02553E106 | 145 | 11,410 | SH | DEFINED | 2 |
| AMERICAN ELECTRIC PO | CMN | 025537101 | 835 | 22,169 | SH | SOLE | 2 |
| AMERICAN EXPRESS CO | CMN | 025816109 | 2,009 | 38,850 | SH | DEFINED | 1 |
| AMERICAN EXPRESS CO | CMN | 025816109 | 2,004 | 38,762 | SH | DEFINED | 2 |
| AMERICAN INTERNATION | CMN | 026874784 | 5,419 | 184,879 | SH | DEFINED | 1 |
| AMERICAN INTERNATION | CMN | 026874784 | 386 | 13,159 | SH | DEFINED | 2 |

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|------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| AMERICAN INTERNATIONAL | CMN | 026874784 | 3,628 | 123,757 | SH | DEFINED | 2 |
| AMERICAN ORIENTAL BI | CMN | 028731107 | 17 | 14,900 | SH | SOLE | 2 |
| AMERICAN SUPERCONDUCT | CMN | 030111108 | 93 | 10,269 | SH | SOLE | 2 |
| AMERICAN WATER WORKS | CMN | 030420103 | 203 | 6,904 | SH | SOLE | 2 |
| AMERIGROUP CORP COM | CMN | 03073T102 | 2,322 | 32,956 | SH | DEFINED | 1 |
| AMERIGROUP CORP COM | CMN | 03073T102 | 42 | 597 | SH | DEFINED | 2 |
| AMERIPRISE FINANCIAL | CMN | 03076C106 | 289 | 5,009 | SH | SOLE | 2 |
| AMERISTAR CASINOS IN | CMN | 03070Q101 | 236 | 9,957 | SH | SOLE | 2 |
| AMETEK INC COM USD0. | CMN | 031100100 | 307 | 6,827 | SH | SOLE | 2 |
| AMGEN INC COM USD0.0 | CMN | 031162100 | 1,434 | 24,572 | SH | DEFINED | 2 |
| AMGEN INC COM USD0.0 | CMN | 031162100 | 3,047 | 52,222 | SH | DEFINED | 2 |
| AMKOR TECHNOLOGY INC | CMN | 031652100 | 213 | 34,527 | SH | SOLE | 2 |
| AMR CORP COM USD1 | CMN | 001765106 | 234 | 43,300 | SH | DEFINED | 1 |
| AMR CORP COM USD1 | CMN | 001765106 | 312 | 57,733 | SH | DEFINED | 2 |
| AMYLIN PHARMACEUTICA | CMN | 032346108 | 1,670 | 125,000 | SH | DEFINED | 1 |
| AMYLIN PHARMACEUTICA | CMN | 032346108 | 6 | 420 | SH | DEFINED | 2 |
| ANADARKO PETROLEUM C | CMN | 032511107 | 17 | 226 | SH | DEFINED | 1 |
| ANADARKO PETROLEUM C | CMN | 032511107 | 1,597 | 20,803 | SH | DEFINED | 2 |
| ANALOG DEVICES INC C | CMN | 032654105 | 387 | 9,875 | SH | SOLE | 2 |
| ANN INC COM USD0.006 | CMN | 035623107 | 2,794 | 107,076 | SH | DEFINED | 1 |
| ANN INC COM USD0.006 | CMN | 035623107 | 632 | 24,215 | SH | DEFINED | 2 |
| ANNALY CAPITAL MANAG | CMN | 035710409 | 677 | 37,531 | SH | SOLE | 2 |
| ANSYS INC COM USD0.0 | CMN | 03662Q105 | 1,148 | 21,000 | SH | SOLE | 2 |
| AON CORP COM USD1 | CMN | 037389103 | 257 | 5,009 | SH | SOLE | 2 |
| AOXING PHARMACEUTICA | CMN | 03740A106 | 163 | 118,462 | SH | SOLE | 1 |
| APACHE CORP USD0.625 | CMN | 037411105 | 27 | 220 | SH | DEFINED | 1 |
| APACHE CORP USD0.625 | CMN | 037411105 | 945 | 7,662 | SH | DEFINED | 2 |
| APARTMENT INV& MANAG | CMN | 03748R101 | 5 | 200 | SH | DEFINED | 1 |
| APARTMENT INV& MANAG | CMN | 03748R101 | 341 | 13,365 | SH | DEFINED | 2 |
| APOLLO (EDUCATION) G | CMN | 037604105 | 414 | 9,482 | SH | SOLE | 2 |
| APOLLO INVESTMENT CO | CMN | 03761U106 | 763 | 74,823 | SH | SOLE | 2 |
| Apple | CMN | 37833100 | 1,315 | 3,918 | SH | SOLE | 3 |
| APPLE INC COM NPV | CMN | 037833100 | 303 | 902 | SH | DEFINED | 2 |
| APPLE INC COM NPV | CMN | 037833100 | 49,507 | 147,485 | SH | DEFINED | 2 |
| APPLIED MATERIALS IN | CMN | 038222105 | 1,010 | 77,573 | SH | DEFINED | 2 |
| APPLIED MATERIALS IN | CMN | 038222105 | 125 | 9,598 | SH | DEFINED | 2 |
| AQUA AMERICA INC COM | CMN | 03836W103 | 97 | 4,400 | SH | DEFINED | 1 |
| AQUA AMERICA INC COM | CMN | 03836W103 | 113 | 5,155 | SH | DEFINED | 2 |
| ARADIGM CORP COM STK | CMN | 038505301 | 83 | 443,480 | SH | SOLE | 2 |
| ARCELORMITTAL NY REG | CMN | 03938L104 | 794 | 22,835 | SH | SOLE | 2 |
| ARCH CAPITAL GROUP L | CMN | G0450A105 | 495 | 15,506 | SH | SOLE | 2 |
| ARCH COAL INC COM US | CMN | 039380100 | 34 | 1,260 | SH | DEFINED | 1 |
| ARCH COAL INC COM US | CMN | 039380100 | 1,432 | 53,728 | SH | DEFINED | 2 |
| ARCHER-DANIELS-MIDLA | CMN | 039483102 | 677 | 22,462 | SH | DEFINED | 1 |
| ARCHER-DANIELS-MIDLA | CMN | 039483102 | 793 | 26,288 | SH | DEFINED | 2 |
| ARES CAPITAL CORP CO | CMN | 04010L103 | 810 | 50,398 | SH | SOLE | 2 |
| ARM HOLDINGS PLC ORD | CMN | 042068106 | 610 | 21,435 | SH | SOLE | 2 |
| ARMSTRONG WORLD | CMN | 04247X102 | 233 | 5,114 | SH | SOLE | 4 |
| ARTHROCARE CORP COM | CMN | 043136100 | 371 | 11,076 | SH | SOLE | 2 |
| ASSOCIATED BANC-CORP | CMN | 045487105 | 353 | 25,377 | SH | SOLE | 2 |

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|----------------------|-----|-----------|-------|---------|----|---------|---|
| ASTEC INDUSTRIES INC | CMN | 046224101 | 202 | 5,455 | SH | SOLE | 2 |
| ASTRAZENECA PLC ORD | CMN | 046353108 | 3,810 | 76,110 | SH | SOLE | 2 |
| AT&T INC COM USD1 | CMN | 00206R102 | 353 | 11,250 | SH | DEFINED | 1 |
| AT&T INC COM USD1 | CMN | 00206R102 | 977 | 31,109 | SH | DEFINED | 2 |
| ATMEL CORP COM USD0. | CMN | 049513104 | 669 | 47,569 | SH | SOLE | 2 |
| ATP OIL & GAS CORP C | CMN | 00208J108 | 513 | 33,502 | SH | SOLE | 2 |
| AU OPTRONICS CORP TW | CMN | 002255107 | 860 | 125,090 | SH | SOLE | 2 |
| AUTODESK INC COM USD | CMN | 052769106 | 2,094 | 54,269 | SH | SOLE | 2 |
| AUTOZONE INC COM USD | CMN | 053332102 | 254 | 862 | SH | DEFINED | 1 |
| AUTOZONE INC COM USD | CMN | 053332102 | 258 | 874 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | COLUMN 7 |
|----------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| AVAGO TECHNOLOGIES L | CMN | Y0486S104 | 259 | 6,824 | SH | SOLE | 2 |
| AVIS BUDGET GROUP IN | CMN | 053774105 | 1,807 | 105,700 | SH | SOLE | 2 |
| BAIDU INC UNLISTED N | CMN | 056752108 | 648 | 4,623 | SH | SOLE | 2 |
| BAKER HUGHES INC COM | CMN | 057224107 | 1,200 | 16,540 | SH | SOLE | 2 |
| BANCO BRADESCO SA PR | CMN | 059460303 | 382 | 18,635 | SH | DEFINED | 2 |
| BANCO BRADESCO SA PR | CMN | 059460303 | 282 | 13,776 | SH | DEFINED | 2 |
| BANCO MACRO SA CLASS | CMN | 05961W105 | 329 | 8,730 | SH | SOLE | 2 |
| BANCO SANTANDER CHIL | CMN | 05965X109 | 19,494 | 207,800 | SH | SOLE | 2 |
| Bank of America | CMN | 60505104 | 50,432 | 4,601,460 | SH | SOLE | 3 |
| BANK OF AMERICA CORP | CMN | 060505104 | 32,182 | 2,938,159 | SH | DEFINED | 1 |
| BANK OF AMERICA CORP | CMN | 060505104 | 41,236 | 3,764,790 | SH | DEFINED | 2 |
| BANK OF AMERICA CORP | CMN | 060505104 | 16,469 | 1,503,557 | SH | DEFINED | 2 |
| BANK OF IRELAND /THE | CMN | 46267Q103 | 503 | 466,280 | SH | SOLE | 2 |
| BANK OF NEW YORK MEL | CMN | 064058100 | 496 | 19,367 | SH | SOLE | 2 |
| BARCLAYS PLC ORD GBP | CMN | 06738E204 | 555 | 33,784 | SH | SOLE | 2 |
| BARNES & NOBLE INC C | CMN | 067774109 | 253 | 15,237 | SH | SOLE | 2 |
| BARRICK GOLD CORP CO | CMN | 067901108 | 1,165 | 25,720 | SH | DEFINED | 2 |
| BARRICK GOLD CORP CO | CMN | 067901108 | 7,395 | 163,264 | SH | DEFINED | 2 |
| BAXTER INTERNATIONAL | CMN | 071813109 | 337 | 5,640 | SH | SOLE | 2 |
| BAYTEX ENERGY CORP T | CMN | 07317Q105 | 214 | 3,937 | SH | SOLE | 2 |
| BB&T CORP COM USD5 | CMN | 054937107 | 274 | 10,190 | SH | SOLE | 2 |
| BEAZER HOMES USA INC | CMN | 07556Q105 | 143 | 42,150 | SH | SOLE | 2 |
| BERKSHIRE HATHAWAY I | CMN | 084670702 | 4,088 | 52,821 | SH | SOLE | 2 |
| BEST BUY CO INC COM | CMN | 086516101 | 216 | 6,888 | SH | DEFINED | 1 |
| BEST BUY CO INC COM | CMN | 086516101 | 1,089 | 34,669 | SH | DEFINED | 2 |
| BGC PARTNERS INC COM | CMN | 05541T101 | 4 | 500 | SH | DEFINED | 1 |
| BGC PARTNERS INC COM | CMN | 05541T101 | 172 | 22,221 | SH | DEFINED | 2 |
| BHP BILLITON LTD NPV | CMN | 088606108 | 1,632 | 17,250 | SH | SOLE | 2 |
| BHP BILLITON PLC USD | CMN | 05545E209 | 21,120 | 269,262 | SH | SOLE | 2 |
| BIG LOTS INC COM USD | CMN | 089302103 | 27 | 800 | SH | DEFINED | 1 |
| BIG LOTS INC COM USD | CMN | 089302103 | 177 | 5,346 | SH | DEFINED | 2 |
| BIOGEN IDEC INC COM | CMN | 09062X103 | 511 | 4,779 | SH | SOLE | 2 |
| BIOMARIN PHARMACEUTI | CMN | 09061G101 | 489 | 17,976 | SH | SOLE | 2 |
| BIOMED REALTY TRUST | CMN | 09063H107 | 4,926 | 256,100 | SH | DEFINED | 1 |
| BIOMED REALTY TRUST | CMN | 09063H107 | 23 | 1,211 | SH | DEFINED | 2 |
| BIO-REFERENCE LABS I | CMN | 09057G602 | 450 | 21,527 | SH | SOLE | 2 |
| BJ'S WHOLESALE CLUB | CMN | 05548J106 | 10,572 | 210,000 | SH | SOLE | 2 |
| BLACKBOARD INC COM U | CMN | 091935502 | 868 | 20,000 | SH | SOLE | 2 |

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|-----------------------|-----|-----------|--------|---------|----|---------|---|
| BLACKROCK INC/NEW YO | CMN | 09247X101 | 352 | 1,835 | SH | DEFINED | 1 |
| BLACKROCK INC/NEW YO | CMN | 09247X101 | 129 | 675 | SH | DEFINED | 2 |
| BLACKROCK KELSO CAPI | CMN | 092533108 | 389 | 43,329 | SH | SOLE | 2 |
| BLUE NILE INC COM US | CMN | 09578R103 | 576 | 13,103 | SH | SOLE | 2 |
| BMC SOFTWARE INC COM | CMN | 055921100 | 4,086 | 74,695 | SH | DEFINED | 1 |
| BMC SOFTWARE INC COM | CMN | 055921100 | 2,486 | 45,447 | SH | DEFINED | 2 |
| BOEING CO COM USD5 | CMN | 097023105 | 2,768 | 37,443 | SH | SOLE | 2 |
| BOK FINANCIAL CORP C | CMN | 05561Q201 | 329 | 6,013 | SH | SOLE | 2 |
| BOSTON PROPERTIES IN | CMN | 101121101 | 204 | 1,921 | SH | SOLE | 2 |
| BOSTON SCIENTIFIC CO | CMN | 101137107 | 34 | 4,960 | SH | DEFINED | 1 |
| BOSTON SCIENTIFIC CO | CMN | 101137107 | 227 | 32,886 | SH | DEFINED | 2 |
| BOYD GAMING CORP COM | CMN | 103304101 | 469 | 53,850 | SH | SOLE | 2 |
| BP PLC ORD USD0.25 | CMN | 055622104 | 14,237 | 321,390 | SH | SOLE | 2 |
| BRIDGEPOINT EDUCATIO | CMN | 10807M105 | 585 | 23,413 | SH | SOLE | 2 |
| BROADCOM CORP CL A C | CMN | 111320107 | 1,561 | 46,407 | SH | SOLE | 2 |
| BROCADE COMMUNICATIO | CMN | 111621306 | 1,291 | 200,000 | SH | DEFINED | 1 |
| BROCADE COMMUNICATIO | CMN | 111621306 | 15 | 2,400 | SH | DEFINED | 2 |
| BROWN-FORMAN CORP 'B | CMN | 115637209 | 284 | 3,800 | SH | DEFINED | 1 |
| BROWN-FORMAN CORP 'B | CMN | 115637209 | 42 | 568 | SH | DEFINED | 2 |
| BUCYRUS INTERNATIONAL | CMN | 118759109 | 10,000 | 109,100 | SH | DEFINED | 1 |
| BUCYRUS INTERNATIONAL | CMN | 118759109 | 12,865 | 140,362 | SH | DEFINED | 2 |
| CABLEVISION SYS CP N | CMN | 12686C109 | 352 | 9,730 | SH | DEFINED | 1 |

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| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|-----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| CABLEVISION SYS CP N | CMN | 12686C109 | 124 | 3,421 | SH | DEFINED | 2 |
| CABOT MICROELECTRONI | CMN | 12709P103 | 299 | 6,436 | SH | SOLE | 2 |
| CABOT OIL & GAS CO C | CMN | 127097103 | 11 | 170 | SH | DEFINED | 1 |
| CABOT OIL & GAS CO C | CMN | 127097103 | 232 | 3,505 | SH | DEFINED | 2 |
| CADENCE DESIGN SYSTE | CMN | 127387108 | 135 | 12,817 | SH | SOLE | 2 |
| CALIFORNIA PIZZA KIT | CMN | 13054D109 | 1,003 | 54,300 | SH | SOLE | 1 |
| CALLAWAY GOLF CO COM | CMN | 131193104 | 240 | 38,650 | SH | SOLE | 2 |
| CAMECO CORP COM NPV | CMN | 13321L108 | 7,211 | 273,741 | SH | SOLE | 2 |
| CAMERON INTERNATIONAL | CMN | 13342B105 | 13 | 250 | SH | DEFINED | 1 |
| CAMERON INTERNATIONAL | CMN | 13342B105 | 586 | 11,651 | SH | DEFINED | 2 |
| CANADIAN NATIONAL RA | CMN | 136375102 | 255 | 3,197 | SH | SOLE | 2 |
| CANADIAN NATURAL RES | CMN | 136385101 | 175 | 4,190 | SH | DEFINED | 2 |
| CANADIAN NATURAL RES | CMN | 136385101 | 1,096 | 26,186 | SH | DEFINED | 2 |
| CAPELLA EDUCATION CO | CMN | 139594105 | 338 | 8,070 | SH | SOLE | 2 |
| CAPITAL ONE FINANCI | CMN | 14040H105 | 357 | 6,909 | SH | DEFINED | 1 |
| CAPITAL ONE FINANCI | CMN | 14040H105 | 684 | 13,241 | SH | DEFINED | 2 |
| CAPITALSOURCE INC CO | CMN | 14055X102 | 81 | 12,541 | SH | SOLE | 2 |
| CARBO CERAMICS INC C | CMN | 140781105 | 742 | 4,552 | SH | SOLE | 2 |
| CAREER EDUCATION COR | CMN | 141665109 | 186 | 8,800 | SH | DEFINED | 1 |
| CAREER EDUCATION COR | CMN | 141665109 | 21 | 1,000 | SH | DEFINED | 2 |
| CARNIVAL CORP COM US | CMN | 143658300 | 528 | 14,046 | SH | SOLE | 2 |
| CASEY'S GENERAL STOR | CMN | 147528103 | 307 | 6,984 | SH | SOLE | 2 |
| Caterpillar | CMN | 149123101 | 2,000 | 18,786 | SH | SOLE | 3 |
| CATERPILLAR INC COM | CMN | 149123101 | 53 | 502 | SH | DEFINED | 2 |
| CATERPILLAR INC COM | CMN | 149123101 | 10,345 | 97,180 | SH | DEFINED | 2 |
| CBOE HOLDINGS INC CO | CMN | 12503M108 | 533 | 21,654 | SH | SOLE | 2 |

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|----------------------|-----|-----------|--------|-----------|----|---------|---|
| CBS CORP CLASS'B' CO | CMN | 124857202 | 4,222 | 148,211 | SH | DEFINED | 1 |
| CBS CORP CLASS'B' CO | CMN | 124857202 | 793 | 27,846 | SH | DEFINED | 2 |
| CELESTICA INC SUB OR | CMN | 15101Q108 | 140 | 15,970 | SH | SOLE | 2 |
| CELGENE CORP COM USD | CMN | 151020104 | 11 | 180 | SH | DEFINED | 1 |
| CELGENE CORP COM USD | CMN | 151020104 | 632 | 10,471 | SH | DEFINED | 2 |
| CEMEX SAB DE CV CPO | CMN | 151290889 | 56,351 | 6,557,183 | SH | DEFINED | 2 |
| CEMEX SAB DE CV CPO | CMN | 151290889 | 180 | 20,961 | SH | DEFINED | 2 |
| CENTER FINL CORP COM | CMN | 15146E102 | 1,392 | 219,500 | SH | SOLE | 1 |
| CENTERPOINT ENERGY I | CMN | 15189T107 | 227 | 11,742 | SH | SOLE | 2 |
| CENTRAIS ELETRICAS B | CMN | 15234Q207 | 799 | 59,200 | SH | SOLE | 2 |
| CENTRAL EUROPEAN DIS | CMN | 153435102 | 560 | 50,000 | SH | DEFINED | 1 |
| CENTRAL EUROPEAN DIS | CMN | 153435102 | 27 | 2,423 | SH | DEFINED | 2 |
| CENTRAL EUROPEAN MED | CMN | G20045202 | 213 | 10,777 | SH | SOLE | 2 |
| CENTRAL FUND CDA CL | CMN | 153501101 | 269 | 13,201 | SH | SOLE | 2 |
| CENTURYLINK INC COM | CMN | 156700106 | 353 | 8,737 | SH | DEFINED | 1 |
| CENTURYLINK INC COM | CMN | 156700106 | 809 | 20,000 | SH | DEFINED | 2 |
| CENTURYLINK INC COM | CMN | 156700106 | 154 | 3,818 | SH | DEFINED | 2 |
| CEPHALON INC COM USD | CMN | 156708109 | 11,857 | 148,392 | SH | DEFINED | 2 |
| CEPHALON INC COM USD | CMN | 156708109 | 23,626 | 295,676 | SH | DEFINED | 2 |
| CERADYNE INC COM USD | CMN | 156710105 | 439 | 11,271 | SH | SOLE | 2 |
| CERNER CORP COM USD0 | CMN | 156782104 | 240 | 3,926 | SH | SOLE | 2 |
| CEVA INC COM USD0.00 | CMN | 157210105 | 360 | 11,815 | SH | SOLE | 2 |
| CF INDUSTRIES HOLDIN | CMN | 125269100 | 28 | 200 | SH | DEFINED | 1 |
| CF INDUSTRIES HOLDIN | CMN | 125269100 | 1,663 | 11,738 | SH | DEFINED | 2 |
| CH ROBINSON WORLDWID | CMN | 12541W209 | 2,591 | 32,859 | SH | SOLE | 2 |
| CHARLES RIVER LABORA | CMN | 159864107 | 3,734 | 91,848 | SH | SOLE | 2 |
| CHARMING SHOPPES INC | CMN | 161133103 | 207 | 49,699 | SH | SOLE | 2 |
| CHARTER COMMUNICATIO | CMN | 16117M305 | 3,672 | 67,680 | SH | SOLE | 4 |
| CHEESECAKE FACTORY/T | CMN | 163072101 | 693 | 22,088 | SH | SOLE | 2 |
| CHEMTURA CORP | CMN | 163893209 | 1,067 | 58,647 | SH | SOLE | 4 |
| CHENIERE ENERGY INC | CMN | 16411R208 | 211 | 23,093 | SH | SOLE | 2 |
| CHESAPEAKE ENERGY CO | CMN | 165167107 | 10 | 340 | SH | DEFINED | 1 |
| CHESAPEAKE ENERGY CO | CMN | 165167107 | 1,237 | 41,657 | SH | DEFINED | 2 |
| CHEVRON CORP COM USD | CMN | 166764100 | 13,336 | 129,672 | SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| CHINA BAK BATTERY IN | CMN | 16936Y100 | 15 | 15,000 | SH | SOLE | 2 |
| CHINA DIGITAL TV HOL | CMN | 16938G107 | 82 | 16,040 | SH | SOLE | 2 |
| CHINA LIFE INSURANCE | CMN | 16939P106 | 819 | 15,795 | SH | SOLE | 2 |
| CHINA MOBILE LTD SPO | CMN | 16941M109 | 351 | 7,506 | SH | SOLE | 2 |
| CHINA PETROLEUM & CH | CMN | 16941R108 | 411,867 | 4,060,300 | SH | SOLE | 2 |
| CHINA SECURITY & SUR | CMN | 16942J105 | 1,079 | 203,691 | SH | SOLE | 2 |
| CHIPOTLE MEXICAN GRI | CMN | 169656105 | 530 | 1,721 | SH | SOLE | 2 |
| CHIQUITA BRANDS INTE | CMN | 170032809 | 898 | 69,000 | SH | DEFINED | 1 |
| CHIQUITA BRANDS INTE | CMN | 170032809 | 21 | 1,582 | SH | DEFINED | 2 |
| CIA CERVECERIAS UNID | CMN | 204429104 | 390 | 6,630 | SH | SOLE | 2 |
| CIA SIDERURGICA NACI | CMN | 20440W105 | 2,697 | 216,549 | SH | DEFINED | 2 |
| CIA SIDERURGICA NACI | CMN | 20440W105 | 324 | 26,047 | SH | DEFINED | 2 |
| CIBER INC COM USD0.0 | CMN | 17163B102 | 55 | 10,000 | SH | SOLE | 2 |
| CIENA CORP COM STK U | CMN | 171779309 | 2,146 | 116,800 | SH | DEFINED | 1 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| CIENA CORP COM STK U | CMN | 171779309 | 66 | 3,600 | SH | DEFINED | 2 |
| CIGNA CORP USD0.25 | CMN | 125509109 | 8 | 160 | SH | DEFINED | 1 |
| CIGNA CORP USD0.25 | CMN | 125509109 | 566 | 11,007 | SH | DEFINED | 2 |
| CINCINNATI FINANCIAL | CMN | 172062101 | 330 | 11,313 | SH | SOLE | 2 |
| CISCO SYSTEMS INC CO | CMN | 17275R102 | 15,087 | 966,537 | SH | DEFINED | 2 |
| CISCO SYSTEMS INC CO | CMN | 17275R102 | 11,353 | 727,290 | SH | DEFINED | 2 |
| CIT GROUP INC | CMN | 125581801 | 289 | 6,524 | SH | SOLE | 4 |
| CIT GROUP INC/NEW CO | CMN | 125581801 | 210 | 4,752 | SH | SOLE | 2 |
| CITIGROUP INC USD0.0 | CMN | 172967424 | 35,020 | 841,010 | SH | DEFINED | 2 |
| Citigroup Inc. | CMN | 172967101 | 2,030 | 48,751 | SH | SOLE | 3 |
| CITRIX SYSTEMS INC C | CMN | 177376100 | 1,665 | 20,808 | SH | SOLE | 2 |
| CLAYTON WILLIAMS ENE | CMN | 969490101 | 330 | 5,489 | SH | SOLE | 2 |
| CLEARWIRE CORP COM S | CMN | 18538Q105 | 18 | 4,700 | SH | DEFINED | 1 |
| CLEARWIRE CORP COM S | CMN | 18538Q105 | 39 | 10,351 | SH | DEFINED | 2 |
| CLIFFS NATURAL RESOU | CMN | 18683K101 | 361 | 3,905 | SH | DEFINED | 2 |
| CLIFFS NATURAL RESOU | CMN | 18683K101 | 849 | 9,184 | SH | DEFINED | 2 |
| CNINSURE INC-ADR ADS | CMN | 18976M103 | 2,983 | 202,478 | SH | SOLE | 2 |
| CNOOC LTD HKD0.02 | CMN | 126132109 | 8,022 | 34,000 | SH | SOLE | 2 |
| COACH INC COM USD0.0 | CMN | 189754104 | 396 | 6,196 | SH | DEFINED | 1 |
| COACH INC COM USD0.0 | CMN | 189754104 | 639 | 9,999 | SH | DEFINED | 2 |
| COCA-COLA CO/THE COM | CMN | 191216100 | 3,163 | 47,007 | SH | SOLE | 2 |
| COCA-COLA FEMSA SAB | CMN | 191241108 | 390 | 4,190 | SH | SOLE | 2 |
| COEUR D'ALENE MINES | CMN | 192108504 | 606 | 24,978 | SH | SOLE | 2 |
| COGNIZANT TECH SOLUT | CMN | 192446102 | 1,692 | 23,067 | SH | SOLE | 2 |
| COINSTAR INC COM USD | CMN | 19259P300 | 3,925 | 71,955 | SH | DEFINED | 1 |
| COINSTAR INC COM USD | CMN | 19259P300 | 550 | 10,084 | SH | DEFINED | 2 |
| COLGATE-PALMOLIVE CO | CMN | 194162103 | 231 | 2,647 | SH | SOLE | 2 |
| COLUMBIA BANKING SYS | CMN | 197236102 | 328 | 19,054 | SH | SOLE | 2 |
| COMCAST CORP COM CLS | CMN | 20030N101 | 848 | 33,453 | SH | DEFINED | 1 |
| COMCAST CORP COM CLS | CMN | 20030N101 | 237 | 9,365 | SH | DEFINED | 2 |
| COMERICA INC COM USD | CMN | 200340107 | 396 | 11,449 | SH | SOLE | 2 |
| COMMUNITY HEALTH SYS | CMN | 203668108 | 501 | 19,500 | SH | SOLE | 2 |
| COMPLETE PRODUCTION | CMN | 20453E109 | 385 | 11,526 | SH | SOLE | 2 |
| ConocoPhillips | CMN | 20825C104 | 4,800 | 63,838 | SH | SOLE | 3 |
| CONOCOPHILLIPS COM U | CMN | 20825C104 | 14 | 190 | SH | DEFINED | 1 |
| CONOCOPHILLIPS COM U | CMN | 20825C104 | 4,616 | 61,394 | SH | DEFINED | 2 |
| CONSOL ENERGY INC CO | CMN | 20854P109 | 11 | 230 | SH | DEFINED | 1 |
| CONSOL ENERGY INC CO | CMN | 20854P109 | 623 | 12,847 | SH | DEFINED | 2 |
| CONSOLIDATED EDISON | CMN | 209115104 | 362 | 6,797 | SH | SOLE | 2 |
| CONSUMER STAPLES SPD | CMN | 81369Y308 | 374 | 11,989 | SH | SOLE | 2 |
| CONVERGYS CORP COM N | CMN | 212485106 | 872 | 63,902 | SH | DEFINED | 1 |
| CONVERGYS CORP COM N | CMN | 212485106 | 151 | 11,076 | SH | DEFINED | 2 |
| COORS (ADOLPH)CO COM | CMN | 60871R209 | 188 | 4,200 | SH | DEFINED | 1 |
| COORS (ADOLPH)CO COM | CMN | 60871R209 | 64 | 1,434 | SH | DEFINED | 2 |
| CORCEPT THERAPEUTICS | CMN | 218352102 | 797 | 200,000 | SH | SOLE | 1 |
| CORE MARK HOLDING CO | CMN | 218681104 | 70 | 1,966 | SH | SOLE | 4 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| CORN PRODUCTS INTERN | CMN | 219023108 | 17 | 300 | SH | DEFINED | 1 |
| CORN PRODUCTS INTERN | CMN | 219023108 | 403 | 7,296 | SH | DEFINED | 2 |

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| | | | | | | | |
|-----------------------|-----|-----------|--------|-----------|----|---------|---|
| CORNING INC COM USD0 | CMN | 219350105 | 2,040 | 112,343 | SH | SOLE | 2 |
| COSTAMARE INC COM NP | CMN | Y1771G102 | 207 | 12,196 | SH | SOLE | 2 |
| COSTCO WHOLESALE COR | CMN | 22160K105 | 1,023 | 12,591 | SH | SOLE | 2 |
| COVANTA HOLDING CORP | CMN | 22282E102 | 148 | 9,000 | SH | DEFINED | 1 |
| COVANTA HOLDING CORP | CMN | 22282E102 | 30 | 1,800 | SH | DEFINED | 2 |
| COVIDIEN PLC COM STK | CMN | G2554F113 | 334 | 6,266 | SH | SOLE | 2 |
| COWEN GROUP INC COM | CMN | 223622101 | 2,219 | 589,226 | SH | SOLE | 1 |
| CREE INC COM USD0.00 | CMN | 225447101 | 1,414 | 42,099 | SH | SOLE | 2 |
| CROSSTEX ENERGY INC | CMN | 22765Y104 | 27,384 | 2,300,000 | SH | SOLE | 2 |
| CSX CORP COM USD1 | CMN | 126408103 | 8 | 300 | SH | DEFINED | 1 |
| CSX CORP COM USD1 | CMN | 126408103 | 390 | 14,871 | SH | DEFINED | 2 |
| CTRIIP.COM INTL LTD A | CMN | 22943F100 | 1,153 | 26,761 | SH | SOLE | 2 |
| CUBIST PHARMACEUTICA | CMN | 229678107 | 1,559 | 43,337 | SH | SOLE | 2 |
| CUMMINS INC COM USD2 | CMN | 231021106 | 1,377 | 13,304 | SH | SOLE | 2 |
| CVR ENERGY INC COM S | CMN | 12662P108 | 436 | 17,695 | SH | SOLE | 2 |
| CYPRESS SEMICONDUCTO | CMN | 232806109 | 21 | 1,000 | SH | DEFINED | 1 |
| CYPRESS SEMICONDUCTO | CMN | 232806109 | 5,082 | 240,376 | SH | DEFINED | 2 |
| CYPRESS SHARPRIDGE I | CMN | 23281A307 | 231 | 18,000 | SH | SOLE | 2 |
| DANAHER CORP COM USD | CMN | 235851102 | 408 | 7,695 | SH | DEFINED | 1 |
| DANAHER CORP COM USD | CMN | 235851102 | 601 | 11,344 | SH | DEFINED | 2 |
| DARDEN RESTAURANTS I | CMN | 237194105 | 410 | 8,247 | SH | SOLE | 2 |
| DECKERS OUTDOOR CORP | CMN | 243537107 | 1,251 | 14,188 | SH | SOLE | 2 |
| DEERE & CO COM USD1 | CMN | 244199105 | 25 | 300 | SH | DEFINED | 1 |
| DEERE & CO COM USD1 | CMN | 244199105 | 116 | 1,402 | SH | DEFINED | 2 |
| DEERE & CO COM USD1 | CMN | 244199105 | 2,402 | 29,126 | SH | DEFINED | 2 |
| DELL INC COM USD0.01 | CMN | 24702R101 | 3,772 | 226,265 | SH | SOLE | 2 |
| DELTA AIR LINES INC | CMN | 247361702 | 1,473 | 160,573 | SH | SOLE | 2 |
| DENBURY RES INC DE C | CMN | 247916208 | 61 | 3,040 | SH | DEFINED | 1 |
| DENBURY RES INC DE C | CMN | 247916208 | 177 | 8,872 | SH | DEFINED | 2 |
| DENDREON CORP COM US | CMN | 24823Q107 | 415 | 10,512 | SH | SOLE | 2 |
| DENTSPY INTL INC COM | CMN | 249030107 | 810 | 21,266 | SH | SOLE | 2 |
| DEUTSCHE BANK AG NPV | CMN | D18190898 | 1,110 | 18,741 | SH | SOLE | 2 |
| DEVON ENERGY CORP CO | CMN | 25179M103 | 21 | 270 | SH | DEFINED | 1 |
| DEVON ENERGY CORP CO | CMN | 25179M103 | 1,126 | 14,292 | SH | DEFINED | 2 |
| DEX ONE CORP | CMN | 25212W100 | 773 | 305,589 | SH | SOLE | 4 |
| DIAMOND OFFSHORE DRI | CMN | 25271C102 | 12 | 170 | SH | DEFINED | 1 |
| DIAMOND OFFSHORE DRI | CMN | 25271C102 | 610 | 8,667 | SH | DEFINED | 2 |
| DIAMONDS TST SERIES | CMN | 78467X109 | 5,696 | 46,000 | SH | DEFINED | 1 |
| DIAMONDS TST SERIES | CMN | 78467X109 | 831 | 6,710 | SH | DEFINED | 2 |
| DIANA SHIPPING INC C | CMN | Y2066G104 | 460 | 41,973 | SH | SOLE | 2 |
| DIGITAL REALTY TRUST | CMN | 253868103 | 12 | 200 | SH | DEFINED | 1 |
| DIGITAL REALTY TRUST | CMN | 253868103 | 271 | 4,394 | SH | DEFINED | 2 |
| DISCOVER FINANCIAL S | CMN | 254709108 | 389 | 14,559 | SH | DEFINED | 1 |
| DISCOVER FINANCIAL S | CMN | 254709108 | 661 | 24,725 | SH | DEFINED | 2 |
| DISCOVERY COMMUNICAT | CMN | 25470F104 | 1,249 | 30,496 | SH | SOLE | 2 |
| DISH NETWORK CORP CL | CMN | 25470M109 | 482 | 15,699 | SH | SOLE | 2 |
| DOLBY LABORATORIES I | CMN | 25659T107 | 202 | 4,768 | SH | SOLE | 2 |
| DOLLAR FINANCIAL COR | CMN | 256664103 | 417 | 19,269 | SH | SOLE | 2 |
| DOLLAR GENERAL CORP | CMN | 256677105 | 31 | 900 | SH | DEFINED | 1 |
| DOLLAR GENERAL CORP | CMN | 256677105 | 243 | 7,177 | SH | DEFINED | 2 |
| DOLLAR THRIFTY AUTOM | CMN | 256743105 | 6,204 | 84,135 | SH | DEFINED | 1 |
| DOLLAR THRIFTY AUTOM | CMN | 256743105 | 97 | 1,316 | SH | DEFINED | 2 |
| DOLLAR TREE INC COM | CMN | 256746108 | 256 | 3,847 | SH | DEFINED | 2 |
| Dollar Tree, Inc. | CMN | 256746108 | 230 | 3,452 | SH | SOLE | 3 |
| DOMINION RESOURCES I | CMN | 25746U109 | 5 | 100 | SH | DEFINED | 1 |
| DOMINION RESOURCES I | CMN | 25746U109 | 207 | 4,285 | SH | DEFINED | 2 |
| DOMTAR CORP USD0.01 | CMN | 257559203 | 57 | 600 | SH | DEFINED | 1 |
| DOMTAR CORP USD0.01 | CMN | 257559203 | 258 | 2,723 | SH | DEFINED | 2 |

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FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| DONNELLEY (RR) & SON | CMN | 257867101 | 304 | 15,500 | SH | DEFINED | 1 |
| DONNELLEY (RR) & SON | CMN | 257867101 | 2,305 | 117,528 | SH | DEFINED | 2 |
| DOVER CORP COM USD1 | CMN | 260003108 | 368 | 5,430 | SH | DEFINED | 1 |
| DOVER CORP COM USD1 | CMN | 260003108 | 182 | 2,684 | SH | DEFINED | 2 |
| DOW CHEMICAL CO/THE | CMN | 260543103 | 2,110 | 58,612 | SH | DEFINED | 1 |
| DOW CHEMICAL CO/THE | CMN | 260543103 | 599 | 16,633 | SH | DEFINED | 2 |
| DPL INC COM USD0.01 | CMN | 233293109 | 12,721 | 421,823 | SH | SOLE | 2 |
| DRYSHIPS INC COM USD | CMN | Y2109Q101 | 116 | 27,630 | SH | SOLE | 2 |
| DTS INC/DE COM USD0. | CMN | 23335C101 | 575 | 14,186 | SH | SOLE | 2 |
| DU PONT EI DE NEMOUR | CMN | 263534109 | 819 | 15,154 | SH | SOLE | 2 |
| DUN & BRADSTREET COR | CMN | 26483E100 | 312 | 4,133 | SH | SOLE | 2 |
| DUNCAN ENERGY PART C | CMN | 265026104 | 1,069 | 24,700 | SH | SOLE | 1 |
| E*TRADE FINANCIAL CO | CMN | 269246401 | 353 | 25,600 | SH | DEFINED | 1 |
| E*TRADE FINANCIAL CO | CMN | 269246401 | 1,428 | 103,507 | SH | DEFINED | 2 |
| EAGLE BULK SHIPPING | CMN | Y2187A101 | 168 | 67,520 | SH | SOLE | 2 |
| EASTMAN CHEMICAL CO | CMN | 277432100 | 20 | 200 | SH | DEFINED | 1 |
| EASTMAN CHEMICAL CO | CMN | 277432100 | 343 | 3,363 | SH | DEFINED | 2 |
| EASTMAN KODAK CO COM | CMN | 277461109 | 2,412 | 674,042 | SH | SOLE | 2 |
| EATON CORP COM USD0. | CMN | 278058102 | 760 | 14,773 | SH | SOLE | 2 |
| EBAY INC COM USD0.00 | CMN | 278642103 | 1,691 | 52,400 | SH | DEFINED | 1 |
| EBAY INC COM USD0.00 | CMN | 278642103 | 1,152 | 35,695 | SH | DEFINED | 2 |
| EDUCATION MANAGEMENT | CMN | 28140M103 | 2 | 100 | SH | DEFINED | 1 |
| EDUCATION MANAGEMENT | CMN | 28140M103 | 307 | 12,814 | SH | DEFINED | 2 |
| EDWARDS LIFESCIENCES | CMN | 28176E108 | 248 | 2,842 | SH | SOLE | 2 |
| ELDORADO GOLD CORP C | CMN | 284902103 | 4,729 | 320,950 | SH | SOLE | 2 |
| ELECTRONIC ARTS INC | CMN | 285512109 | 1,658 | 70,268 | SH | SOLE | 2 |
| ELECTRONIC SENSOR TE | CMN | 285835104 | 121 | 7,750,000 | SH | SOLE | 1 |
| ELI LILLY & CO COM N | CMN | 532457108 | 39 | 1,030 | SH | DEFINED | 1 |
| ELI LILLY & CO COM N | CMN | 532457108 | 253 | 6,729 | SH | DEFINED | 2 |
| EMC CORP/MASSACHUSET | CMN | 268648102 | 24,421 | 886,517 | SH | DEFINED | 1 |
| EMC CORP/MASSACHUSET | CMN | 268648102 | 310 | 11,245 | SH | DEFINED | 2 |
| EMERSON ELECTRIC CO | CMN | 291011104 | 493 | 8,756 | SH | SOLE | 2 |
| ENER1 INC COM USD0.0 | CMN | 29267A203 | 23 | 21,429 | SH | SOLE | 2 |
| ENERGY CONVERSION DE | CMN | 292659109 | 58 | 49,190 | SH | SOLE | 2 |
| ENI SPA EUR1 | CMN | 26874R108 | 352 | 7,396 | SH | SOLE | 2 |
| ENSCO PLC COM USD0.1 | CMN | 29358Q109 | 476 | 8,936 | SH | SOLE | 2 |
| ENTEGRIS INC COM USD | CMN | 29362U104 | 279 | 27,559 | SH | SOLE | 2 |
| ENTERGY CORP COM USD | CMN | 29364G103 | 433 | 6,346 | SH | SOLE | 2 |
| ENTROPIC COMMUNICATI | CMN | 29384R105 | 381 | 42,802 | SH | DEFINED | 1 |
| ENTROPIC COMMUNICATI | CMN | 29384R105 | 31 | 3,487 | SH | DEFINED | 2 |
| ENVIRONMENTAL SOLUTI | CMN | 29408K102 | 1 | 15,000 | SH | SOLE | 2 |
| ENZON PHARMACEUTICAL | CMN | 293904108 | 345 | 34,381 | SH | SOLE | 2 |
| EOG RESOURCES INC CO | CMN | 26875P101 | 19 | 180 | SH | DEFINED | 1 |
| EOG RESOURCES INC CO | CMN | 26875P101 | 250 | 2,387 | SH | DEFINED | 2 |
| EQUINIX INC COM NPV | CMN | 29444U502 | 15,533 | 153,772 | SH | DEFINED | 2 |
| EQUINIX INC COM NPV | CMN | 29444U502 | 42 | 420 | SH | DEFINED | 2 |
| EQUITY RESIDENTIAL S | CMN | 29476L107 | 374 | 6,232 | SH | SOLE | 2 |
| ESTEE LAUDER COS INC | CMN | 518439104 | 429 | 4,080 | SH | SOLE | 2 |
| ESTERLINE TECHNOLOGI | CMN | 297425100 | 5,130 | 67,140 | SH | SOLE | 1 |
| EXCEL MARITIME CARRI | CMN | V3267N107 | 41 | 13,194 | SH | SOLE | 2 |
| EXCO RESOURCES INC C | CMN | 269279402 | 8,192 | 463,962 | SH | SOLE | 2 |
| EXELON CORP COM NPV | CMN | 30161N101 | 1,606 | 37,489 | SH | SOLE | 2 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| EXPEDITORS INTL WASH | CMN | 302130109 | 1,947 | 38,036 | SH | SOLE | 2 |
| EXPRESS SCRIPTS INC | CMN | 302182100 | 11 | 200 | SH | DEFINED | 1 |
| EXPRESS SCRIPTS INC | CMN | 302182100 | 7,137 | 132,201 | SH | DEFINED | 2 |
| EXXON MOBIL CORP COM | CMN | 30231G102 | 12,958 | 159,233 | SH | DEFINED | 1 |
| EXXON MOBIL CORP COM | CMN | 30231G102 | 5,909 | 72,620 | SH | DEFINED | 2 |
| EXXON MOBIL CORP COM | CMN | 30231G102 | 12,362 | 151,911 | SH | DEFINED | 2 |
| F5 NETWORKS INC COM | CMN | 315616102 | 2,066 | 18,736 | SH | SOLE | 2 |
| FAIRPOINT COMMUNICAT | CMN | 305560302 | 298 | 32,356 | SH | SOLE | 4 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| FEDERAL MOGUL CORP | CMN | 313549404 | 1,406 | 61,602 | SH | SOLE | 4 |
| FEDEX CORP COM USD0. | CMN | 31428X106 | 367 | 3,873 | SH | SOLE | 2 |
| FEI CO COM NPV | CMN | 30241L109 | 567 | 14,854 | SH | SOLE | 2 |
| FERRO CORP COM USD1 | CMN | 315405100 | 573 | 42,611 | SH | SOLE | 2 |
| FIFTH THIRD BANCORP | CMN | 316773100 | 1,388 | 108,839 | SH | SOLE | 2 |
| FIN SELECT SECTOR SP | CMN | 81369Y605 | 17,015 | 1,108,904 | SH | DEFINED | 1 |
| FIN SELECT SECTOR SP | CMN | 81369Y605 | 246 | 16,047 | SH | DEFINED | 2 |
| FINANCIAL BEAR 3X SH | CMN | 25459W144 | 383 | 8,556 | SH | SOLE | 2 |
| FINANCIAL BULL 3X SH | CMN | 25459W516 | 326 | 12,697 | SH | SOLE | 2 |
| FINISH LINE CLASS'A' | CMN | 317923100 | 1,746 | 81,567 | SH | SOLE | 2 |
| FIRST MIDWEST BANCOR | CMN | 320867104 | 131 | 10,677 | SH | SOLE | 2 |
| FIRST SOLAR INC COM | CMN | 336433107 | 1,282 | 9,689 | SH | DEFINED | 2 |
| First Solar, Inc. | CMN | 336433107 | 5,100 | 38,557 | SH | SOLE | 3 |
| FIRSTENERGY CORP COM | CMN | 337932107 | 31 | 700 | SH | DEFINED | 1 |
| FIRSTENERGY CORP COM | CMN | 337932107 | 214 | 4,854 | SH | DEFINED | 2 |
| FISERV INC COM USD0. | CMN | 337738108 | 1,854 | 29,602 | SH | SOLE | 2 |
| FLEXTRONICS INTERNAT | CMN | Y2573F102 | 620 | 96,523 | SH | SOLE | 2 |
| FLIR SYSTEMS INC COM | CMN | 302445101 | 2,393 | 71,015 | SH | SOLE | 2 |
| FLOWERVE CORP COM U | CMN | 34354P105 | 286 | 2,600 | SH | DEFINED | 1 |
| FLOWERVE CORP COM U | CMN | 34354P105 | 120 | 1,092 | SH | DEFINED | 2 |
| FLUOR CORP COM USD0. | CMN | 343412102 | 1,574 | 24,341 | SH | SOLE | 2 |
| FMC CORP COM STK USD | CMN | 302491303 | 43 | 500 | SH | DEFINED | 1 |
| FMC CORP COM STK USD | CMN | 302491303 | 248 | 2,882 | SH | DEFINED | 2 |
| FMC TECHNOLOGIES INC | CMN | 30249U101 | 13 | 280 | SH | DEFINED | 1 |
| FMC TECHNOLOGIES INC | CMN | 30249U101 | 543 | 12,117 | SH | DEFINED | 2 |
| FOCUS MEDIA HOLDING | CMN | 34415V109 | 9,300 | 299,106 | SH | DEFINED | 2 |
| FOCUS MEDIA HOLDING | CMN | 34415V109 | 43 | 1,377 | SH | DEFINED | 2 |
| FORD MOTOR CO COM ST | CMN | 345370134 | 292 | 55,809 | SH | DEFINED | 1 |
| FORD MOTOR CO COM ST | CMN | 345370860 | 3,097 | 224,500 | SH | DEFINED | 1 |
| FORD MOTOR CO COM ST | CMN | 345370134 | 6,258 | 1,195,652 | SH | DEFINED | 2 |
| FORD MOTOR CO COM ST | CMN | 345370860 | 4,533 | 328,536 | SH | DEFINED | 2 |
| Ford Motor Company | CMN | 345370860 | 19,400 | 1,406,817 | SH | SOLE | 3 |
| FOREST CITY ENTS CLA | CMN | 345550107 | 4 | 200 | SH | DEFINED | 1 |
| FOREST CITY ENTS CLA | CMN | 345550107 | 2,102 | 112,584 | SH | DEFINED | 2 |
| FORTRESS INVESTM | CMN | 34958B106 | 344,780 | 60,487,666 | SH | SOLE | 1 |
| FORWARD AIR CORPORAT | CMN | 349853101 | 492 | 14,559 | SH | SOLE | 2 |
| FOSSIL INC COM USD0. | CMN | 349882100 | 513 | 4,356 | SH | SOLE | 2 |
| FOSTER WHEELER AG CH | CMN | H27178104 | 1,420 | 46,754 | SH | SOLE | 2 |
| FPIC INSURANCE GP US | CMN | 302563101 | 1,020 | 24,471 | SH | SOLE | 1 |
| FRANKLIN RESOURCES I | CMN | 354613101 | 364 | 2,771 | SH | DEFINED | 1 |

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|-----------------------|-----|-----------|--------|---------|----|---------|---|
| FRANKLIN RESOURCES I | CMN | 354613101 | 209 | 1,595 | SH | DEFINED | 2 |
| FREEPOR-T-MCMORAN COP | CMN | 35671D857 | 7,101 | 134,226 | SH | DEFINED | 1 |
| FREEPOR-T-MCMORAN COP | CMN | 35671D857 | 2,163 | 40,878 | SH | DEFINED | 2 |
| FREEPOR-T-MCMORAN COP | CMN | 35671D857 | 2,791 | 52,756 | SH | DEFINED | 2 |
| FRONTIER COMMUNICATI | CMN | 35906A108 | 406 | 50,341 | SH | DEFINED | 1 |
| FRONTIER COMMUNICATI | CMN | 35906A108 | 2 | 226 | SH | DEFINED | 2 |
| FRONTIER COMMUNICATI | CMN | 35906A108 | 47 | 5,868 | SH | DEFINED | 2 |
| FRONTIER OIL CORP CO | CMN | 35914P105 | 28,160 | 871,483 | SH | DEFINED | 1 |
| FRONTIER OIL CORP CO | CMN | 35914P105 | 768 | 23,764 | SH | DEFINED | 2 |
| FUELCELL ENERGY INC | CMN | 35952H106 | 99 | 75,066 | SH | SOLE | 2 |
| GAFISA SA COM NPV | CMN | 362607301 | 249 | 26,300 | SH | SOLE | 2 |
| GAP INC/THE COM USD0 | CMN | 364760108 | 18 | 1,000 | SH | DEFINED | 1 |
| GAP INC/THE COM USD0 | CMN | 364760108 | 721 | 39,872 | SH | DEFINED | 2 |
| GARMIN LTD USD10 | CMN | H2906T109 | 1,356 | 41,065 | SH | SOLE | 2 |
| GAYLORD ENTERTAINMEN | CMN | 367905106 | 1,057 | 35,229 | SH | DEFINED | 1 |
| GAYLORD ENTERTAINMEN | CMN | 367905106 | 2,781 | 92,709 | SH | DEFINED | 2 |
| GAYLORD ENTERTAINMEN | CMN | 367905106 | 11 | 375 | SH | DEFINED | 2 |
| GENCO SHIPPING & TRA | CMN | Y2685T107 | 257 | 34,175 | SH | SOLE | 2 |
| GENERAL DYNAMICS COR | CMN | 369550108 | 661 | 8,866 | SH | SOLE | 2 |
| GENERAL ELECTRIC CO | CMN | 369604103 | 3,291 | 174,508 | SH | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| GENERAL ELECTRIC CO | CMN | 369604103 | 279 | 14,775 | SH | DEFINED | 2 |
| GENERAL ELECTRIC CO | CMN | 369604103 | 9,055 | 480,115 | SH | DEFINED | 2 |
| GENERAL MARITIME COR | CMN | Y2693R101 | 23 | 16,750 | SH | SOLE | 2 |
| GENERAL MARITIME COR | CMN | Y2693R101 | 169 | 125,000 | SH | SOLE | 4 |
| GENERAL MILLS INC CO | CMN | 370334104 | 1,378 | 37,032 | SH | DEFINED | 1 |
| GENERAL MILLS INC CO | CMN | 370334104 | 494 | 13,284 | SH | DEFINED | 2 |
| General Motors | CMN | 370334104 | 2,700 | 88,933 | SH | SOLE | 3 |
| GENERAL MOTORS CO 0. | CMN | 37045V100 | 26,655 | 877,989 | SH | DEFINED | 1 |
| GENERAL MOTORS CO 0. | CMN | 37045V100 | 1,114 | 36,709 | SH | DEFINED | 2 |
| GENERAL MOTORS CO 0. | CMN | 37045V100 | 1,388 | 45,725 | SH | DEFINED | 2 |
| GENERAL MTRS CO | CMN | 37045V100 | 174 | 5,722 | SH | SOLE | 4 |
| GENERAL MTRS CO | CMN | 37045V118 | 111 | 5,199 | SH | SOLE | 4 |
| GENERAL MTRS CO | CMN | 37045V126 | 83 | 5,199 | SH | SOLE | 4 |
| GENON ENERGY INC COM | CMN | 37244E107 | 3,668 | 950,291 | SH | DEFINED | 1 |
| GENON ENERGY INC COM | CMN | 37244E107 | 11 | 2,786 | SH | DEFINED | 2 |
| GEN-PROBE INC COM US | CMN | 36866T103 | 28 | 400 | SH | DEFINED | 1 |
| GEN-PROBE INC COM US | CMN | 36866T103 | 346 | 5,000 | SH | DEFINED | 2 |
| GERDAU SA PRF NPV | CMN | 373737105 | 6,230 | 592,429 | SH | DEFINED | 2 |
| GERDAU SA PRF NPV | CMN | 373737105 | 1,205 | 114,553 | SH | DEFINED | 2 |
| GERON CORP COM USD0. | CMN | 374163103 | 103 | 25,745 | SH | SOLE | 2 |
| G-III APPAREL GROUP | CMN | 36237H101 | 332 | 9,624 | SH | SOLE | 2 |
| GILEAD SCIENCES INC | CMN | 375558103 | 26,019 | 628,391 | SH | DEFINED | 2 |
| GILEAD SCIENCES INC | CMN | 375558103 | 882 | 21,295 | SH | DEFINED | 2 |
| GLACIER BANCORP INC | CMN | 37637Q105 | 325 | 24,093 | SH | SOLE | 2 |
| GLATFELTER COM USD0. | CMN | 377316104 | 615 | 40,000 | SH | SOLE | 1 |
| GLAXOSMITHKLINE PLC | CMN | 37733W105 | 2,861 | 66,686 | SH | SOLE | 2 |
| GLOBE SPECIALTY META | CMN | 37954N206 | 733 | 32,692 | SH | SOLE | 2 |
| GMX RESOURCES INC CO | CMN | 38011M108 | 58 | 13,123 | SH | SOLE | 2 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| GOL LINHAS AEREAS SP | CMN | 38045R107 | 504 | 41,422 | SH | SOLE | 2 |
| GOLDCORP INC COM NPV | CMN | 380956409 | 1,301 | 26,952 | SH | SOLE | 2 |
| GOODRICH CORP COM US | CMN | 382388106 | 316 | 3,311 | SH | SOLE | 2 |
| GOODYEAR TIRE & RUBB | CMN | 382550101 | 754 | 45,000 | SH | DEFINED | 1 |
| GOODYEAR TIRE & RUBB | CMN | 382550101 | 172 | 10,256 | SH | DEFINED | 2 |
| GOOGLE INC COM USD0. | CMN | 38259P508 | 8,407 | 16,603 | SH | DEFINED | 2 |
| GOOGLE INC COM USD0. | CMN | 38259P508 | 15,895 | 31,390 | SH | DEFINED | 2 |
| GPO FIN GALICIA 'B'A | CMN | 399909100 | 542 | 39,990 | SH | SOLE | 2 |
| GREENBRIER COS INC C | CMN | 393657101 | 679 | 34,346 | SH | DEFINED | 1 |
| GREENBRIER COS INC C | CMN | 393657101 | 27 | 1,388 | SH | DEFINED | 2 |
| GRIFOLS SA COM NPV | CMN | 398438309 | 227 | 30,170 | SH | SOLE | 1 |
| GUESS-INC COM STK US | CMN | 401617105 | 383 | 9,103 | SH | SOLE | 2 |
| HAIN CELESTIAL GROUP | CMN | 405217100 | 233 | 6,979 | SH | SOLE | 2 |
| HALLIBURTON CO COM U | CMN | 406216101 | 7 | 140 | SH | DEFINED | 1 |
| HALLIBURTON CO COM U | CMN | 406216101 | 4,816 | 94,428 | SH | DEFINED | 2 |
| HANSEN NATURAL CORP | CMN | 411310105 | 463 | 5,723 | SH | SOLE | 2 |
| HARLEY-DAVIDSON INC | CMN | 412822108 | 307 | 7,500 | SH | DEFINED | 1 |
| HARLEY-DAVIDSON INC | CMN | 412822108 | 477 | 11,653 | SH | DEFINED | 2 |
| HARMONY GOLD MINING | CMN | 413216300 | 141 | 10,645 | SH | SOLE | 2 |
| HARTFORD FINANCIAL S | CMN | 416515104 | 296 | 11,206 | SH | SOLE | 2 |
| HASBRO INC COM USD0. | CMN | 418056107 | 504 | 11,478 | SH | SOLE | 2 |
| HATTERAS FINANCIAL C | CMN | 41902R103 | 397 | 14,078 | SH | SOLE | 2 |
| HAWAIIAN HOLDINGS IN | CMN | 419879101 | 111 | 19,486 | SH | SOLE | 2 |
| HCA HOLDINGS INC COM | CMN | 40412C101 | 2,475 | 75,000 | SH | SOLE | 1 |
| HDFC Bank Limited (A | CMN | 40415F101 | 140 | 794 | SH | SOLE | 3 |
| HDFC BANK LTD INR10 | CMN | 40415F101 | 280 | 1,590 | SH | DEFINED | 2 |
| HEALTHCARE SERVICES | CMN | 421906108 | 240 | 14,773 | SH | SOLE | 2 |
| HEALTHSPRING INC USD | CMN | 42224N101 | 5,639 | 122,300 | SH | DEFINED | 1 |
| HEALTHSPRING INC USD | CMN | 42224N101 | 22 | 487 | SH | DEFINED | 2 |
| HECLA MINING CO COM | CMN | 422704106 | 26 | 3,390 | SH | DEFINED | 1 |
| HECLA MINING CO COM | CMN | 422704106 | 7,161 | 931,481 | SH | DEFINED | 2 |
| HEINZ (HJ) COM USD0. | CMN | 423074103 | 470 | 8,824 | SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| HERBALIFE LTD COM US | CMN | G4412G101 | 519 | 9,004 | SH | SOLE | 2 |
| HERCULES OFFSHORE IN | CMN | 427093109 | 661 | 119,825 | SH | SOLE | 2 |
| HESS CORP COM USD1 | CMN | 42809H107 | 19 | 260 | SH | DEFINED | 1 |
| HESS CORP COM USD1 | CMN | 42809H107 | 378 | 5,054 | SH | DEFINED | 2 |
| HESS CORP COM USD1 | CMN | 42809H107 | 1,329 | 17,775 | SH | DEFINED | 2 |
| HEWLETT-PACKARD CO C | CMN | 428236103 | 9,964 | 273,697 | SH | DEFINED | 2 |
| HEWLETT-PACKARD CO C | CMN | 428236103 | 4,624 | 127,024 | SH | DEFINED | 2 |
| HIBBETT SPORTS INC C | CMN | 428567101 | 1,262 | 30,999 | SH | SOLE | 2 |
| HITACHI LTD NPV | CMN | 433578507 | 18,302 | 307,270 | SH | SOLE | 2 |
| HOLLY CORP COM USD0. | CMN | 435758305 | 526 | 7,575 | SH | SOLE | 2 |
| HOLOGIC INC COM USD0 | CMN | 436440101 | 3,708 | 183,822 | SH | DEFINED | 1 |
| HOLOGIC INC COM USD0 | CMN | 436440101 | 760 | 37,691 | SH | DEFINED | 2 |
| HOME DEPOT INC COM U | CMN | 437076102 | 1,110 | 30,641 | SH | SOLE | 2 |
| HOME PROPERTIES INC | CMN | 437306103 | 5,130 | 84,277 | SH | DEFINED | 1 |
| HOME PROPERTIES INC | CMN | 437306103 | 52 | 850 | SH | DEFINED | 2 |
| HONEYWELL INTERNATIO | CMN | 438516106 | 4,643 | 77,904 | SH | SOLE | 2 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| HOST HOTELS & RESORT | CMN | 44107P104 | 216 | 12,713 | SH | SOLE | 2 |
| HOT TOPIC INC COM NP | CMN | 441339108 | 96 | 12,911 | SH | SOLE | 2 |
| HSBC HOLDINGS PLC OR | CMN | 404280406 | 485 | 9,765 | SH | SOLE | 2 |
| HSN INC NON-VTG USD0 | CMN | 404303109 | 1,009 | 30,653 | SH | SOLE | 2 |
| HUBBELL INC CLASS 'B | CMN | 443510201 | 299 | 4,600 | SH | DEFINED | 1 |
| HUBBELL INC CLASS 'B | CMN | 443510201 | 76 | 1,164 | SH | DEFINED | 2 |
| HUGHES ELECTRONICS C | CMN | 25490A101 | 22,296 | 438,785 | SH | DEFINED | 1 |
| HUGHES ELECTRONICS C | CMN | 25490A101 | 6,071 | 119,476 | SH | DEFINED | 2 |
| HUGHES ELECTRONICS C | CMN | 25490A101 | 171 | 3,366 | SH | DEFINED | 2 |
| HUMAN GENOME SCIENCE | CMN | 444903108 | 934 | 38,059 | SH | SOLE | 2 |
| HUMANA INC COM USD0. | CMN | 444859102 | 323 | 4,010 | SH | SOLE | 2 |
| HUNTINGTON BANCSHARE | CMN | 446150104 | 359 | 54,688 | SH | DEFINED | 1 |
| HUNTINGTON BANCSHARE | CMN | 446150104 | 690 | 105,184 | SH | DEFINED | 2 |
| HUNTSMAN CORP COM US | CMN | 447011107 | 325 | 17,225 | SH | SOLE | 2 |
| HYPERCOM CORP COM US | CMN | 44913M105 | 1,348 | 137,181 | SH | DEFINED | 1 |
| HYPERCOM CORP COM US | CMN | 44913M105 | 204 | 20,800 | SH | DEFINED | 2 |
| IAC/INTERACTIVECORP | CMN | 44919P508 | 442 | 11,579 | SH | SOLE | 2 |
| Ibero-America Fund I | CMN | 45082X103 | 4,300 | 565,789 | SH | SOLE | 3 |
| ICICI BANK LTD INR10 | CMN | 45104G104 | 141 | 2,866 | SH | DEFINED | 2 |
| ICICI BANK LTD INR10 | CMN | 45104G104 | 1,946 | 39,484 | SH | DEFINED | 2 |
| IGATE CAPITAL CORP C | CMN | 45169U105 | 429 | 26,309 | SH | SOLE | 2 |
| ILLINOIS TOOL WORKS | CMN | 452308109 | 96 | 1,700 | SH | DEFINED | 1 |
| ILLINOIS TOOL WORKS | CMN | 452308109 | 600 | 10,621 | SH | DEFINED | 2 |
| ILLUMINA INC COM USD | CMN | 452327109 | 1,601 | 21,299 | SH | SOLE | 2 |
| IMAX CORP COM NPV | CMN | 45245E109 | 366 | 11,291 | SH | SOLE | 2 |
| INCYTE CORP COM USD0 | CMN | 45337C102 | 3,633 | 191,825 | SH | DEFINED | 1 |
| INCYTE CORP COM USD0 | CMN | 45337C102 | 4 | 200 | SH | DEFINED | 2 |
| INDEXIQ ETF TR IQ | CMN | 45409B651 | 1,392 | 67,610 | SH | SOLE | 1 |
| INFORMATION SYSTEMS | CMN | 45676R108 | 3 | 30,000 | SH | SOLE | 2 |
| INFOSYS LTD INR5 | CMN | 456788108 | 6,661 | 102,109 | SH | SOLE | 2 |
| INGERSOLL-RAND PLC C | CMN | G47791101 | 665 | 14,649 | SH | SOLE | 2 |
| INNOPHOS HOLDINGS IN | CMN | 45774N108 | 405 | 8,302 | SH | SOLE | 4 |
| INNOSPEC INC COM USD | CMN | 45768S105 | 371 | 11,032 | SH | SOLE | 2 |
| INTEGRA LIFESCI HL C | CMN | 457985208 | 1,441 | 30,135 | SH | DEFINED | 1 |
| INTEGRA LIFESCI HL C | CMN | 457985208 | 205 | 4,283 | SH | DEFINED | 2 |
| INTEGRATED DEVICE TE | CMN | 458118106 | 558 | 70,965 | SH | SOLE | 2 |
| INTEL CORP COM USD0. | CMN | 458140100 | 10,552 | 476,256 | SH | SOLE | 2 |
| INTERACTIVE BROKER C | CMN | 45841N107 | 2 | 100 | SH | DEFINED | 1 |
| INTERACTIVE BROKER C | CMN | 45841N107 | 705 | 45,012 | SH | DEFINED | 2 |
| INTERCONTINENTALEXCH | CMN | 45865V100 | 212 | 1,701 | SH | SOLE | 2 |
| INTERNATIONAL BUSINE | CMN | 459200101 | 3,963 | 23,100 | SH | SOLE | 2 |
| INTERNATIONAL GAME T | CMN | 459902102 | 5,847 | 332,616 | SH | DEFINED | 1 |
| INTERNATIONAL GAME T | CMN | 459902102 | 10,086 | 573,793 | SH | DEFINED | 2 |
| INTERNATIONAL GAME T | CMN | 459902102 | 108 | 6,147 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | COLUMN 7 |
|----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| INTEROIL CORP COM NP | CMN | 460951106 | 330 | 5,640 | SH | SOLE | 2 |
| INTERPUBLIC GROUP OF | CMN | 460690100 | 141 | 11,319 | SH | SOLE | 2 |
| INTRALINKS HOLDINGS | CMN | 46118H104 | 2,489 | 144,000 | SH | SOLE | 1 |
| INTREPID POTASH INC | CMN | 46121Y102 | 582 | 17,908 | SH | DEFINED | 1 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| INTREPID POTASH INC | CMN | 46121Y102 | 44 | 1,357 | SH | DEFINED | 2 |
| INTUIT INC COM USD0. | CMN | 461202103 | 3,789 | 73,059 | SH | SOLE | 2 |
| IPATH INVERSE S&P 50 | CMN | 06740L592 | 717 | 20,000 | SH | SOLE | 2 |
| IPATH S&P 500 VIX SH | CMN | 06740C261 | 2,378 | 112,477 | SH | SOLE | 2 |
| ISHARES FTSE/CHINA 2 | CMN | 464287184 | 94 | 2,200 | SH | DEFINED | 1 |
| ISHARES FTSE/CHINA 2 | CMN | 464287184 | 8,104 | 188,677 | SH | DEFINED | 2 |
| ISHARES GSCI COMMODI | CMN | 46428R107 | 201 | 5,900 | SH | SOLE | 1 |
| ISHARES INC - MSCI T | CMN | 464286731 | 175 | 11,500 | SH | SOLE | 2 |
| ISHARES INC MSCI JAP | CMN | 464286848 | 197 | 18,917 | SH | SOLE | 2 |
| ISHARES MSCI BRAZIL | CMN | 464286400 | 505 | 6,882 | SH | DEFINED | 2 |
| ISHARES MSCI BRAZIL | CMN | 464286400 | 1,886 | 25,709 | SH | DEFINED | 2 |
| ISHARES SILVER TST I | CMN | 46428Q109 | 424 | 12,532 | SH | SOLE | 1 |
| ISHARES TRUST DOW JO | CMN | 464287739 | 13,428 | 222,700 | SH | DEFINED | 1 |
| ISHARES TRUST DOW JO | CMN | 464287739 | 1,078 | 17,883 | SH | DEFINED | 2 |
| ISHARES TRUST GOLDMA | CMN | 464287242 | 1,291 | 11,723 | SH | SOLE | 2 |
| ISHARES TRUST MSCI E | CMN | 464287234 | 2,380 | 50,000 | SH | DEFINED | 1 |
| ISHARES TRUST MSCI E | CMN | 464287234 | 180 | 3,778 | SH | DEFINED | 2 |
| ISHARES TRUST MSCI E | CMN | 464287234 | 44,725 | 939,714 | SH | DEFINED | 2 |
| ISHARES TRUST RUSSEL | CMN | 464287499 | 656 | 6,000 | SH | SOLE | 1 |
| ISHARES TRUST S&P SM | CMN | 464287887 | 241 | 3,000 | SH | SOLE | 1 |
| ITRON INC COM NPV | CMN | 465741106 | 1,445 | 30,000 | SH | SOLE | 2 |
| ITT EDUCATIONAL SERV | CMN | 45068B109 | 258 | 3,300 | SH | DEFINED | 1 |
| ITT EDUCATIONAL SERV | CMN | 45068B109 | 134 | 1,716 | SH | DEFINED | 2 |
| IVANHOE MINES LTD/CA | CMN | 46579N103 | 4,086 | 161,527 | SH | DEFINED | 2 |
| IVANHOE MINES LTD/CA | CMN | 46579N103 | 1,879 | 74,283 | SH | DEFINED | 2 |
| IXIA COM NPV | CMN | 45071R109 | 512 | 40,016 | SH | SOLE | 2 |
| J2 GLOBAL COMMUNICAT | CMN | 46626E205 | 334 | 11,830 | SH | SOLE | 2 |
| JAGUAR MINING INC CO | CMN | 47009M103 | 110 | 23,085 | SH | SOLE | 2 |
| JC PENNEY CO INC COM | CMN | 708160106 | 48 | 1,400 | SH | DEFINED | 1 |
| JC PENNEY CO INC COM | CMN | 708160106 | 288 | 8,340 | SH | DEFINED | 2 |
| JDA SOFTWARE INC COM | CMN | 46612K108 | 242 | 7,842 | SH | SOLE | 2 |
| JOHNSON & JOHNSON CO | CMN | 478160104 | 3,170 | 47,664 | SH | SOLE | 2 |
| JOHNSON CONTROLS INC | CMN | 478366107 | 276 | 6,624 | SH | SOLE | 2 |
| JOY GLOBAL INC COM U | CMN | 481165108 | 10 | 100 | SH | DEFINED | 1 |
| JOY GLOBAL INC COM U | CMN | 481165108 | 3,032 | 31,836 | SH | DEFINED | 2 |
| JP MORGAN CHASE BANK | CMN | 46625H100 | 375 | 9,155 | SH | DEFINED | 1 |
| JPMORGAN CHASE & CO | CMN | 46625H100 | 1,118 | 27,306 | SH | DEFINED | 2 |
| JPMORGAN CHASE & CO | CMN | 46625H100 | 12,533 | 306,161 | SH | DEFINED | 2 |
| JPMorgan Chase & Co | CMN | 46625H100 | 272 | 6,644 | SH | SOLE | 3 |
| JUNIPER NETWORKS INC | CMN | 48203R104 | 456 | 14,461 | SH | SOLE | 2 |
| KAISER ALUMINUM CORP | CMN | 483007704 | 4,585 | 83,944 | SH | SOLE | 2 |
| KANSAS CITY SOUTHERN | CMN | 485170302 | 225 | 3,800 | SH | SOLE | 2 |
| KB HOME COM STK USD1 | CMN | 48666K109 | 202 | 20,697 | SH | SOLE | 2 |
| KENDLE INTERNATIONAL | CMN | 48880L107 | 1,508 | 100,000 | SH | SOLE | 2 |
| KENEXA CORP COM USD0 | CMN | 488879107 | 235 | 9,803 | SH | SOLE | 2 |
| KEY ENERGY SERVICES | CMN | 492914106 | 279 | 15,500 | SH | SOLE | 2 |
| KILROY REALTY CORP C | CMN | 49427F108 | 4,959 | 125,600 | SH | DEFINED | 1 |
| KILROY REALTY CORP C | CMN | 49427F108 | 4 | 100 | SH | DEFINED | 2 |
| KIMBERLY-CLARK CORP | CMN | 494368103 | 359 | 5,386 | SH | DEFINED | 2 |
| KIMBERLY-CLARK CORP | CMN | 494368103 | 300 | 4,501 | SH | DEFINED | 2 |
| KINROSS GOLD CORP CO | CMN | 496902404 | 1,014 | 64,178 | SH | SOLE | 2 |
| KLA-TENCOR CORP COM | CMN | 482480100 | 668 | 16,511 | SH | SOLE | 2 |
| KNIGHT CAPITAL GROUP | CMN | 499005106 | 4,616 | 419,065 | SH | DEFINED | 2 |
| KNIGHT CAPITAL GROUP | CMN | 499005106 | 18 | 1,665 | SH | DEFINED | 2 |
| KODIAK OIL & GAS COR | CMN | 50015Q100 | 77 | 13,299 | SH | SOLE | 2 |
| KOHL'S CORP COM USD0 | CMN | 500255104 | 2,699 | 53,971 | SH | DEFINED | 1 |

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FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | COLUMN 7 |
|----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| KOHL'S CORP COM USD0 | CMN | 500255104 | 342 | 6,844 | SH | DEFINED | 2 |
| Korea Equity Fund In | CMN | 50063B104 | 400 | 27,663 | SH | SOLE | 3 |
| KRAFT FOODS INC COM | CMN | 50075N104 | 1,430 | 40,598 | SH | SOLE | 2 |
| KRATON PERFORMANCE P | CMN | 50077C106 | 275 | 7,020 | SH | SOLE | 2 |
| KULICKE & SOFFA INDU | CMN | 501242101 | 492 | 44,171 | SH | SOLE | 2 |
| L-1 IDENTITY SOLUTIO | CMN | 50212A106 | 5,034 | 428,400 | SH | DEFINED | 1 |
| L-1 IDENTITY SOLUTIO | CMN | 50212A106 | 9,636 | 820,097 | SH | DEFINED | 2 |
| LAM RESEARCH CORP CO | CMN | 512807108 | 713 | 16,100 | SH | DEFINED | 1 |
| LAM RESEARCH CORP CO | CMN | 512807108 | 2,314 | 52,252 | SH | DEFINED | 2 |
| LAMAR ADVERTISING CO | CMN | 512815101 | 172 | 6,300 | SH | DEFINED | 1 |
| LAMAR ADVERTISING CO | CMN | 512815101 | 139 | 5,085 | SH | DEFINED | 2 |
| LAS VEGAS SANDS CORP | CMN | 517834107 | 527 | 12,477 | SH | DEFINED | 2 |
| LAS VEGAS SANDS CORP | CMN | 517834107 | 6,438 | 152,540 | SH | DEFINED | 2 |
| LAWSON SOFTWARE INC | CMN | 52078P102 | 10,034 | 894,400 | SH | DEFINED | 1 |
| LAWSON SOFTWARE INC | CMN | 52078P102 | 15,111 | 1,346,963 | SH | DEFINED | 2 |
| LDK SOLAR CO LTD ADR | CMN | 50183L107 | 1,324 | 180,232 | SH | SOLE | 2 |
| LEAR CORP | CMN | 521865204 | 562 | 10,500 | SH | SOLE | 4 |
| LEAR CORP NON-VTG NP | CMN | 521865204 | 202 | 3,782 | SH | SOLE | 2 |
| LEGACY BANCORP INC/M | CMN | 52463G105 | 770 | 55,908 | SH | SOLE | 1 |
| LEGG MASON INC COM U | CMN | 524901105 | 246 | 7,515 | SH | SOLE | 2 |
| LEHMAN BROTHERS HOLD | CMN | 524908100 | 1 | 25,333 | SH | SOLE | 2 |
| LENDER PROCESSING SE | CMN | 52602E102 | 506 | 24,200 | SH | DEFINED | 1 |
| LENDER PROCESSING SE | CMN | 52602E102 | 25 | 1,216 | SH | DEFINED | 2 |
| LENNAR CORP COM CL'A | CMN | 526057104 | 437 | 24,044 | SH | SOLE | 2 |
| LIBERTY GLOBAL INC C | CMN | 530555309 | 10,899 | 255,223 | SH | DEFINED | 1 |
| LIBERTY GLOBAL INC C | CMN | 530555101 | 494 | 10,960 | SH | SOLE | 2 |
| LIBERTY GLOBAL INC C | CMN | 530555309 | 337 | 7,891 | SH | DEFINED | 2 |
| LIBERTY MEDIA-INTERA | CMN | 53071M104 | 21,803 | 1,300,431 | SH | DEFINED | 2 |
| LIBERTY MEDIA-INTERA | CMN | 53071M104 | 58 | 3,482 | SH | DEFINED | 2 |
| LIFE TECHNOLOGIES CO | CMN | 53217V109 | 2,452 | 47,097 | SH | SOLE | 2 |
| LIFEPOINT HOSPITALS | CMN | 53219L109 | 592 | 15,145 | SH | DEFINED | 1 |
| LIFEPOINT HOSPITALS | CMN | 53219L109 | 1,169 | 29,912 | SH | DEFINED | 2 |
| LINCARE HOLDINGS INC | CMN | 532791100 | 433 | 14,789 | SH | SOLE | 2 |
| LINCOLN ELECTRIC HOL | CMN | 533900106 | 1,064 | 29,695 | SH | SOLE | 2 |
| LINCOLN NATIONAL COR | CMN | 534187109 | 358 | 12,558 | SH | SOLE | 2 |
| LINDSAY CORP COM USD | CMN | 535555106 | 213 | 3,100 | SH | SOLE | 2 |
| LINEAR TECHNOLOGY CO | CMN | 535678106 | 1,465 | 44,374 | SH | SOLE | 2 |
| LIZ CLAIBORNE INC CO | CMN | 539320101 | 59 | 11,120 | SH | SOLE | 2 |
| LLOYDS BANKING GROUP | CMN | 539439109 | 1,140 | 364,760 | SH | SOLE | 2 |
| LOCKHEED MARTIN CORP | CMN | 539830109 | 408 | 5,041 | SH | SOLE | 2 |
| LOGITECH INTERNATION | CMN | H50430232 | 835 | 74,317 | SH | SOLE | 2 |
| LOOPNET INC COM STK | CMN | 543524300 | 9,665 | 526,000 | SH | DEFINED | 1 |
| LOOPNET INC COM STK | CMN | 543524300 | 31 | 1,700 | SH | DEFINED | 2 |
| LORILLARD INC USD0.0 | CMN | 544147101 | 391 | 3,590 | SH | SOLE | 2 |
| LOWE'S COS INC COM U | CMN | 548661107 | 284 | 12,203 | SH | SOLE | 2 |
| LPL INVESTMENT HOLDI | CMN | 50213H100 | 394 | 11,529 | SH | SOLE | 2 |
| LUBRIZOL CORP COM NP | CMN | 549271104 | 9,989 | 74,400 | SH | DEFINED | 1 |
| LUBRIZOL CORP COM NP | CMN | 549271104 | 18,174 | 135,359 | SH | DEFINED | 2 |
| LYONDELLBASELL INDUS | CMN | N53745100 | 3,956 | 102,714 | SH | DEFINED | 2 |
| LYONDELLBASELL INDUS | CMN | N53745100 | 77 | 2,000 | SH | DEFINED | 2 |
| LYONDELLBASELL INDUS | CMN | N53745100 | 5,779 | 150,036 | SH | SOLE | 4 |
| MAGELLAN HLTH SVCS C | CMN | 559079207 | 370 | 6,769 | SH | SOLE | 2 |
| MAGMA DESIGN AUTOMAT | CMN | 559181102 | 112 | 14,069 | SH | SOLE | 2 |
| MAGNACHIP SEMICONDC | CMN | 55933J203 | 56 | 4,862 | SH | SOLE | 4 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| MAHANAGAR TELEPHONE | CMN | 559778402 | 117 | 60,000 | SH | SOLE | 2 |
| MANHATTAN ASSOCIATES | CMN | 562750109 | 207 | 6,013 | SH | SOLE | 2 |
| MANNKIND CORP COM US | CMN | 56400P201 | 120 | 31,709 | SH | SOLE | 2 |
| MARATHON OIL CORP CO | CMN | 565849106 | 7 | 130 | SH | DEFINED | 1 |
| MARATHON OIL CORP CO | CMN | 565849106 | 1,462 | 27,745 | SH | DEFINED | 2 |
| MARKET VECTORS - GOL | CMN | 57060U100 | 12,883 | 235,979 | SH | DEFINED | 1 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|------------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| MARKET VECTORS - GOL | CMN | 57060U100 | 136 | 2,500 | SH | DEFINED | 2 |
| MARKET VECTORS ETF S | CMN | 57060U506 | 240 | 6,237 | SH | SOLE | 2 |
| MARKET VECTORS JUNIO | CMN | 57060U589 | 3,867 | 112,150 | SH | SOLE | 1 |
| MARRIOTT INTERNATIONAL | CMN | 571903202 | 8,871 | 250,000 | SH | DEFINED | 1 |
| MARRIOTT INTL INC/DE | CMN | 571903202 | 786 | 22,162 | SH | DEFINED | 2 |
| MARSH & MCLENNAN COS | CMN | 571748102 | 50 | 1,600 | SH | DEFINED | 1 |
| MARSH & MCLENNAN COS | CMN | 571748102 | 311 | 9,969 | SH | DEFINED | 2 |
| MARSHALL & ILSLEY CO | CMN | 571837103 | 25,329 | 3,178,500 | SH | DEFINED | 1 |
| MARSHALL & ILSLEY CO | CMN | 571837103 | 16,353 | 2,052,157 | SH | DEFINED | 2 |
| MARVELL TECHNOLOGY G | CMN | G5876H105 | 701 | 47,467 | SH | SOLE | 2 |
| MASTERCARD INC COM U | CMN | 57636Q104 | 332 | 1,103 | SH | DEFINED | 2 |
| MASTERCARD INC COM U | CMN | 57636Q104 | 733 | 2,434 | SH | DEFINED | 2 |
| MasterCard Incorpora | CMN | 57636Q104 | 50 | 166 | SH | SOLE | 3 |
| MATTEL INC COM USD1 | CMN | 577081102 | 250 | 9,100 | SH | DEFINED | 1 |
| MATTEL INC COM USD1 | CMN | 577081102 | 743 | 27,045 | SH | DEFINED | 2 |
| MBIA INC COM USD1 | CMN | 55262C100 | 2,374 | 273,237 | SH | DEFINED | 1 |
| MBIA INC COM USD1 | CMN | 55262C100 | 79 | 9,075 | SH | DEFINED | 2 |
| MCCLATCHY CO CLASS'A | CMN | 579489105 | 385 | 137,000 | SH | DEFINED | 1 |
| MCCLATCHY CO CLASS'A | CMN | 579489105 | 2 | 722 | SH | DEFINED | 2 |
| MCDERMOTT INTERNATIO | CMN | 580037109 | 861 | 43,479 | SH | SOLE | 2 |
| MCDONALD'S CORP COM | CMN | 580135101 | 4,816 | 57,117 | SH | SOLE | 2 |
| MCG CAPITAL CORP COM | CMN | 58047P107 | 91 | 14,953 | SH | SOLE | 2 |
| MCKESSON CORP COM US | CMN | 58155Q103 | 8 | 100 | SH | DEFINED | 1 |
| MCKESSON CORP COM US | CMN | 58155Q103 | 514 | 6,142 | SH | DEFINED | 2 |
| MCMORAN EXPLORATION | CMN | 582411104 | 177 | 9,600 | SH | DEFINED | 1 |
| MCMORAN EXPLORATION | CMN | 582411104 | 96 | 5,180 | SH | DEFINED | 2 |
| MEAD JOHNSON NUTRITI | CMN | 582839106 | 898 | 13,289 | SH | SOLE | 2 |
| MECHEL OAO | CMN | 583840103 | 9,681 | 405,217 | SH | SOLE | 2 |
| MEDASSETS INC COM ST | CMN | 584045108 | 165 | 12,357 | SH | SOLE | 2 |
| MEDCO HEALTH SOLUTIO | CMN | 58405U102 | 38 | 680 | SH | DEFINED | 1 |
| MEDCO HEALTH SOLUTIO | CMN | 58405U102 | 476 | 8,415 | SH | DEFINED | 2 |
| MEDIA GENERAL INC CL | CMN | 584404107 | 383 | 100,451 | SH | SOLE | 1 |
| MEDICINES CO/THE COM | CMN | 584688105 | 459 | 27,788 | SH | SOLE | 2 |
| MEDTRONIC INC COM US | CMN | 585055106 | 1,271 | 32,988 | SH | DEFINED | 2 |
| MEDTRONIC INC COM US | CMN | 585055106 | 178 | 4,615 | SH | DEFINED | 2 |
| MEMC ELECTRONIC MATE | CMN | 552715104 | 224 | 26,243 | SH | SOLE | 2 |
| MENTOR GRAPHICS CORP | CMN | 587200106 | 450 | 35,135 | SH | SOLE | 2 |
| MERCADOLIBRE INC COM | CMN | 58733R102 | 1,898 | 23,918 | SH | SOLE | 2 |
| MERCK & CO INC | CMN | 58933Y105 | 602 | 17,053 | SH | DEFINED | 1 |
| MERCK & CO INC COM U | CMN | 58933Y105 | 4,249 | 120,372 | SH | DEFINED | 2 |
| MERCK & CO INC COM U | CMN | 58933Y105 | 1,671 | 47,345 | SH | DEFINED | 2 |
| MERITOR INC COM USD1 | CMN | 59001K100 | 619 | 38,604 | SH | SOLE | 1 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| METLIFE INC COM USD0 | CMN | 59156R108 | 382 | 8,700 | SH | DEFINED | 1 |
| METLIFE INC COM USD0 | CMN | 59156R108 | 838 | 19,110 | SH | DEFINED | 2 |
| MGM RESORTS INTERNAT | CMN | 552953101 | 16 | 1,200 | SH | DEFINED | 1 |
| MGM RESORTS INTERNAT | CMN | 552953101 | 70 | 5,314 | SH | DEFINED | 2 |
| MGM RESORTS INTERNAT | CMN | 552953101 | 1,661 | 125,768 | SH | DEFINED | 2 |
| MICREL INC COM NPV | CMN | 594793101 | 532 | 50,255 | SH | SOLE | 2 |
| MICROCHIP TECHNOLOGY | CMN | 595017104 | 1,627 | 42,921 | SH | SOLE | 2 |
| MICRON TECHNOLOGY IN | CMN | 595112103 | 1 | 100 | SH | DEFINED | 1 |
| MICRON TECHNOLOGY IN | CMN | 595112103 | 1,539 | 205,583 | SH | DEFINED | 2 |
| MICROSEMI CORP COM U | CMN | 595137100 | 430 | 20,981 | SH | SOLE | 2 |
| MICROSOFT CORP COM U | CMN | 594918104 | 2,605 | 100,200 | SH | DEFINED | 1 |
| MICROSOFT CORP COM U | CMN | 594918104 | 1,258 | 48,366 | SH | DEFINED | 2 |
| MICROSOFT CORP COM U | CMN | 594918104 | 6,676 | 256,755 | SH | DEFINED | 2 |
| MID-AMERICA APARTMEN | CMN | 59522J103 | 5,066 | 75,087 | SH | SOLE | 1 |
| MILLER ENERGY RESOUR | CMN | 600527105 | 480 | 75,000 | SH | SOLE | 1 |
| MOBILE TELESYSTEMS O | CMN | 607409109 | 14,068 | 739,838 | SH | SOLE | 2 |
| MOLYCORP INC COM NPV | CMN | 608753109 | 1,446 | 23,679 | SH | DEFINED | 2 |
| Molycorp, Inc. | CMN | 608753109 | 1,700 | 27,841 | SH | SOLE | 3 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| MONSANTO CO COM STK | CMN | 61166W101 | 2,515 | 34,663 | SH | DEFINED | 2 |
| Monsanto Company | CMN | 61166W101 | 6,000 | 82,713 | SH | SOLE | 3 |
| MORGAN STANLEY COM S | CMN | 617446448 | 4,028 | 175,000 | SH | DEFINED | 1 |
| MORGAN STANLEY COM S | CMN | 617446448 | 488 | 21,216 | SH | DEFINED | 2 |
| MORGAN STANLEY COM S | CMN | 617446448 | 5,024 | 218,294 | SH | DEFINED | 2 |
| MOSAIC CO/THE COM ST | CMN | 61945C103 | 1 | 14 | SH | DEFINED | 2 |
| MOSAIC CO/THE COM ST | CMN | 61945C103 | 2,850 | 42,083 | SH | DEFINED | 2 |
| MOTOROLA MOBILITY HO | CMN | 620097105 | 269 | 12,210 | SH | SOLE | 2 |
| MOTOROLA SOLUTIONS I | CMN | 620076307 | 999 | 21,690 | SH | SOLE | 2 |
| MURPHY OIL CORP COM | CMN | 626717102 | 14 | 210 | SH | DEFINED | 1 |
| MURPHY OIL CORP COM | CMN | 626717102 | 379 | 5,766 | SH | DEFINED | 2 |
| MYRIAD GENETICS INC | CMN | 62855J104 | 2,125 | 93,592 | SH | SOLE | 2 |
| NABORS INDUSTRIES LT | CMN | G6359F103 | 787 | 31,945 | SH | DEFINED | 2 |
| NABORS INDUSTRIES LT | CMN | G6359F103 | 445 | 18,071 | SH | DEFINED | 2 |
| NALCO HLDG CO COM US | CMN | 62985Q101 | 5,118 | 184,000 | SH | DEFINED | 1 |
| NALCO HLDG CO COM US | CMN | 62985Q101 | 28 | 989 | SH | DEFINED | 2 |
| NASDAQ 100 INDEX TRA | CMN | 73935A104 | 4,221 | 74,000 | SH | SOLE | 2 |
| NASDAQ OMX GROUP INC | CMN | 631103108 | 988 | 39,070 | SH | SOLE | 2 |
| NATIONAL BANK OF GRE | CMN | 633643408 | 139 | 96,937 | SH | SOLE | 2 |
| NATIONAL OILWELL VAR | CMN | 637071101 | 22 | 280 | SH | DEFINED | 1 |
| NATIONAL OILWELL VAR | CMN | 637071101 | 1,319 | 16,867 | SH | DEFINED | 2 |
| NATIONAL SEMICONDUCT | CMN | 637640103 | 5,003 | 203,300 | SH | DEFINED | 1 |
| NATIONAL SEMICONDUCT | CMN | 637640103 | 22,034 | 895,346 | SH | DEFINED | 2 |
| NATIONWIDE HEALTH PR | CMN | 638620104 | 25,856 | 624,437 | SH | SOLE | 1 |
| NAVIOS MARITIME HOLD | CMN | Y62196103 | 81 | 15,665 | SH | SOLE | 2 |
| NAVISTAR INTERNATION | CMN | 63934E108 | 45 | 800 | SH | DEFINED | 1 |
| NAVISTAR INTERNATION | CMN | 63934E108 | 158 | 2,800 | SH | DEFINED | 2 |
| NEKTAR THERAPEUTICS | CMN | 640268108 | 236 | 32,492 | SH | SOLE | 2 |
| NETAPP INC COM USD0. | CMN | 64110D104 | 6,985 | 132,344 | SH | SOLE | 2 |
| NETEASE.COM ADR REP | CMN | 64110W102 | 372 | 8,244 | SH | SOLE | 2 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| NETGEAR INC COM USD0 | CMN | 64111Q104 | 205 | 4,682 | SH | SOLE | 2 |
| NETSCOUT SYSTEMS INC | CMN | 64115T104 | 524 | 25,068 | SH | SOLE | 2 |
| NEURALSTEM INC COM S | CMN | 64127R302 | 397 | 264,446 | SH | SOLE | 1 |
| NEW YORK COMMUNITY B | CMN | 649445103 | 252 | 16,800 | SH | DEFINED | 1 |
| NEW YORK COMMUNITY B | CMN | 649445103 | 74 | 4,910 | SH | DEFINED | 2 |
| NEWFIELD EXPLORATION | CMN | 651290108 | 12 | 170 | SH | DEFINED | 1 |
| NEWFIELD EXPLORATION | CMN | 651290108 | 246 | 3,613 | SH | DEFINED | 2 |
| NEWMONT MINING CORP | CMN | 651639106 | 6,932 | 128,439 | SH | DEFINED | 1 |
| NEWMONT MINING CORP | CMN | 651639106 | 4,559 | 84,482 | SH | DEFINED | 2 |
| NEWMONT MINING CORP | CMN | 651639106 | 14,542 | 269,453 | SH | DEFINED | 2 |
| NEWS CORP COM USD0.0 | CMN | 65248E104 | 3,350 | 189,221 | SH | DEFINED | 1 |
| NEWS CORP COM USD0.0 | CMN | 65248E203 | 202 | 11,200 | SH | DEFINED | 1 |
| NEWS CORP COM USD0.0 | CMN | 65248E104 | 204 | 11,545 | SH | DEFINED | 2 |
| NEWS CORP COM USD0.0 | CMN | 65248E203 | 47 | 2,590 | SH | DEFINED | 2 |
| NEXTERA ENERGY INC C | CMN | 65339F101 | 816 | 14,200 | SH | DEFINED | 1 |
| NEXTERA ENERGY INC C | CMN | 65339F101 | 179 | 3,115 | SH | DEFINED | 2 |
| NICOR INC COM USD2.5 | CMN | 654086107 | 13,279 | 242,600 | SH | DEFINED | 1 |
| NICOR INC COM USD2.5 | CMN | 654086107 | 138 | 2,529 | SH | DEFINED | 2 |
| NIKE INC CLASS'B'COM | CMN | 654106103 | 1,082 | 12,021 | SH | SOLE | 2 |
| NISOURCE INC COM NPV | CMN | 65473P105 | 232 | 11,433 | SH | SOLE | 2 |
| NOBLE CORP CHF3.8 | CMN | H5833N103 | 996 | 25,264 | SH | SOLE | 2 |
| NOBLE ENERGY INC COM | CMN | 655044105 | 15 | 170 | SH | DEFINED | 1 |
| NOBLE ENERGY INC COM | CMN | 655044105 | 602 | 6,717 | SH | DEFINED | 2 |
| NOKIA OYJ NPV | CMN | 654902204 | 2,589 | 403,195 | SH | SOLE | 2 |
| NORDSON CORP COM NPV | CMN | 655663102 | 637 | 11,610 | SH | SOLE | 2 |
| NORFOLK SOUTHERN COR | CMN | 655844108 | 914 | 12,195 | SH | SOLE | 2 |
| NORTHERN TRUST CORP | CMN | 665859104 | 569 | 12,373 | SH | SOLE | 2 |
| NORTHROP GRUMMAN COR | CMN | 666807102 | 571 | 8,233 | SH | SOLE | 2 |
| NOVELLUS SYSTEMS INC | CMN | 670008101 | 493 | 13,632 | SH | SOLE | 2 |
| NOVO NORDISK A/S DKK | CMN | 670100205 | 255 | 2,038 | SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| NPS PHARMACEUTICALS | CMN | 62936P103 | 175 | 18,551 | SH | SOLE | 2 |
| NRG ENERGY INC | CMN | 629377508 | 300 | 12,225 | SH | SOLE | 4 |
| NRG ENERGY INC COM U | CMN | 629377508 | 4,032 | 164,067 | SH | DEFINED | 1 |
| NRG ENERGY INC COM U | CMN | 629377508 | 25 | 1,037 | SH | DEFINED | 2 |
| NSTAR COM USD1 | CMN | 67019E107 | 9,874 | 214,733 | SH | DEFINED | 1 |
| NSTAR COM USD1 | CMN | 67019E107 | 10 | 215 | SH | DEFINED | 2 |
| NUANCE COMMUNICATION | CMN | 67020Y100 | 326 | 15,200 | SH | DEFINED | 1 |
| NUANCE COMMUNICATION | CMN | 67020Y100 | 367 | 17,078 | SH | DEFINED | 2 |
| NUCOR CORP COM STK U | CMN | 670346105 | 2,180 | 52,882 | SH | DEFINED | 2 |
| NUCOR CORP COM STK U | CMN | 670346105 | 560 | 13,595 | SH | DEFINED | 2 |
| NUTRI SYSTEM INC COM | CMN | 67069D108 | 419 | 29,820 | SH | SOLE | 2 |
| NUVASIVE INC COM USD | CMN | 670704105 | 2,904 | 88,334 | SH | DEFINED | 2 |
| NUVASIVE INC COM USD | CMN | 670704105 | 252 | 7,652 | SH | DEFINED | 2 |
| NVIDIA CORP COM USD0 | CMN | 67066G104 | 260 | 16,300 | SH | DEFINED | 1 |
| NVIDIA CORP COM USD0 | CMN | 67066G104 | 13,692 | 859,091 | SH | DEFINED | 2 |
| NYSE EURONEXT COM ST | CMN | 629491101 | 4,418 | 128,941 | SH | SOLE | 2 |
| OCCIDENTAL PETROLEUM | CMN | 674599105 | 4,792 | 46,055 | SH | SOLE | 2 |
| OCLARO INC USD0.01 | CMN | 67555N206 | 262 | 39,004 | SH | SOLE | 2 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| OFFICE DEPOT INC COM | CMN | 676220106 | 355 | 84,184 | SH | SOLE | 2 |
| OFFICEMAX INC COM US | CMN | 67622P101 | 122 | 15,607 | SH | SOLE | 2 |
| OIL SVC HOLDERS TR D | CMN | 678002106 | 1,092 | 7,184 | SH | SOLE | 1 |
| OMNICOM GROUP INC CO | CMN | 681919106 | 751 | 15,597 | SH | SOLE | 2 |
| ON SEMICONDUCTOR COR | CMN | 682189105 | 325 | 31,000 | SH | DEFINED | 1 |
| ON SEMICONDUCTOR COR | CMN | 682189105 | 966 | 92,261 | SH | DEFINED | 2 |
| ONEOK INC COM USD0.0 | CMN | 682680103 | 440 | 5,946 | SH | SOLE | 2 |
| ONYX PHARMACEUTICALS | CMN | 683399109 | 946 | 26,815 | SH | SOLE | 2 |
| OPTIMER PHARMACEUTIC | CMN | 68401H104 | 679 | 57,103 | SH | SOLE | 2 |
| OPTIONSPRESS HLDG C | CMN | 684010101 | 7,101 | 425,500 | SH | SOLE | 1 |
| ORACLE CORP COM USD0 | CMN | 68389X105 | 4,120 | 125,196 | SH | SOLE | 2 |
| O'REILLY AUTOMOTVE C | CMN | 67103H107 | 262 | 4,000 | SH | DEFINED | 1 |
| O'REILLY AUTOMOTVE C | CMN | 67103H107 | 357 | 5,446 | SH | DEFINED | 2 |
| ORIGIN AGRITECH LTD | CMN | G67828106 | 10 | 2,436 | SH | DEFINED | 1 |
| ORIGIN AGRITECH LTD | CMN | G67828106 | 59 | 14,742 | SH | DEFINED | 2 |
| PAMPA ENERGIA SA ARS | CMN | 697660207 | 422 | 27,500 | SH | SOLE | 2 |
| PAN AMERICAN SILVER | CMN | 697900108 | 1,089 | 35,246 | SH | SOLE | 2 |
| PARAGON SHIPPING INC | CMN | 69913R309 | 72 | 35,530 | SH | SOLE | 2 |
| PARAMETRIC TECHNOLOG | CMN | 699173209 | 406 | 17,721 | SH | SOLE | 2 |
| PAREXEL INTL CP COM | CMN | 699462107 | 290 | 12,287 | SH | SOLE | 2 |
| PARKER DRILLING CO C | CMN | 701081101 | 439 | 75,140 | SH | SOLE | 2 |
| PARKER HANNIFIN CORP | CMN | 701094104 | 90 | 1,000 | SH | DEFINED | 1 |
| PARKER HANNIFIN CORP | CMN | 701094104 | 483 | 5,377 | SH | DEFINED | 2 |
| PATRIOT COAL CORP CO | CMN | 70336T104 | 464 | 20,833 | SH | SOLE | 2 |
| PATTERSON-UTI ENERGY | CMN | 703481101 | 9 | 290 | SH | DEFINED | 1 |
| PATTERSON-UTI ENERGY | CMN | 703481101 | 2,294 | 72,567 | SH | DEFINED | 2 |
| PAYCHEX INC COM USD0 | CMN | 704326107 | 1,038 | 33,789 | SH | SOLE | 2 |
| PDL BIOPHARMA INC CO | CMN | 69329Y104 | 534 | 90,937 | SH | DEFINED | 1 |
| PDL BIOPHARMA INC CO | CMN | 69329Y104 | 458 | 78,000 | SH | DEFINED | 2 |
| PDL BIOPHARMA INC CO | CMN | 69329Y104 | 521 | 88,602 | SH | DEFINED | 2 |
| PEABODY ENERGY CORP | CMN | 704549104 | 3,501 | 59,426 | SH | DEFINED | 1 |
| PEABODY ENERGY CORP | CMN | 704549104 | 648 | 11,000 | SH | DEFINED | 2 |
| PEABODY ENERGY CORP | CMN | 704549104 | 1,060 | 17,995 | SH | DEFINED | 2 |
| PENNANTPARK INVT C C | CMN | 708062104 | 503 | 44,924 | SH | SOLE | 2 |
| PENNICHUCK CORP COM | CMN | 708254206 | 1,001 | 34,800 | SH | SOLE | 1 |
| PEPSICO INC CAP USD0 | CMN | 713448108 | 1,371 | 19,464 | SH | SOLE | 2 |
| PERFECT WORLD CO LTD | CMN | 71372U104 | 300 | 16,001 | SH | SOLE | 2 |
| PERRY ELLIS INTERNAT | CMN | 288853104 | 323 | 12,776 | SH | SOLE | 2 |
| PETMED EXPRESS INC C | CMN | 716382106 | 185 | 15,590 | SH | SOLE | 2 |
| PETROBRAS ARGENTINA | CMN | 71646J109 | 1,279 | 66,030 | SH | SOLE | 2 |
| PETROHAWK ENERGY COR | CMN | 716495106 | 11,448 | 464,018 | SH | DEFINED | 1 |
| PETROHAWK ENERGY COR | CMN | 716495106 | 252 | 10,197 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | COLUMN 7 |
|----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| PETROLEO BRASILEIRO | CMN | 71654V408 | 21,232 | 627,066 | SH | DEFINED | 2 |
| PETROLEO BRASILEIRO | CMN | 71654V408 | 8,596 | 253,876 | SH | DEFINED | 2 |
| PETSMART INC COM USD | CMN | 716768106 | 354 | 7,792 | SH | DEFINED | 1 |
| PETSMART INC COM USD | CMN | 716768106 | 226 | 4,982 | SH | DEFINED | 2 |
| PFIZER INC COM USD0. | CMN | 717081103 | 62 | 2,990 | SH | DEFINED | 1 |
| PFIZER INC COM USD0. | CMN | 717081103 | 2,476 | 120,218 | SH | DEFINED | 2 |

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|----------------------|-----|-----------|-------|---------|----|---------|---|
| Pfizer Inc. | CMN | 717081103 | 900 | 43,689 | SH | SOLE | 3 |
| PG&E CORP COM NPV | CMN | 69331C108 | 38 | 900 | SH | DEFINED | 1 |
| PG&E CORP COM NPV | CMN | 69331C108 | 179 | 4,264 | SH | DEFINED | 2 |
| PHARMACEUTICAL HOLDR | CMN | 71712A206 | 255 | 3,600 | SH | SOLE | 1 |
| PHARMACEUTICAL PRODU | CMN | 717124101 | 142 | 5,300 | SH | DEFINED | 1 |
| PHARMACEUTICAL PRODU | CMN | 717124101 | 881 | 32,834 | SH | DEFINED | 2 |
| PHH CORP COM NPV | CMN | 693320202 | 4,486 | 218,655 | SH | DEFINED | 2 |
| PHH CORP COM NPV | CMN | 693320202 | 13 | 637 | SH | DEFINED | 2 |
| PHILIP MORRIS INTERN | CMN | 718172109 | 27 | 400 | SH | DEFINED | 1 |
| PHILIP MORRIS INTERN | CMN | 718172109 | 674 | 10,100 | SH | DEFINED | 2 |
| PHOTRONICS INC COM U | CMN | 719405102 | 751 | 88,640 | SH | SOLE | 2 |
| PIEDMONT OFFICE REAL | CMN | 720190206 | 5,024 | 246,400 | SH | DEFINED | 1 |
| PIEDMONT OFFICE REAL | CMN | 720190206 | 5 | 254 | SH | DEFINED | 2 |
| PIONEER NATURAL RESO | CMN | 723787107 | 13 | 140 | SH | DEFINED | 1 |
| PIONEER NATURAL RESO | CMN | 723787107 | 336 | 3,747 | SH | DEFINED | 2 |
| PITNEY BOWES INC COM | CMN | 724479100 | 513 | 22,300 | SH | DEFINED | 1 |
| PITNEY BOWES INC COM | CMN | 724479100 | 127 | 5,537 | SH | DEFINED | 2 |
| PLAINS EXPLORATION & | CMN | 726505100 | 479 | 12,556 | SH | SOLE | 2 |
| PLUM CREEK TIMBER CO | CMN | 729251108 | 811 | 20,000 | SH | SOLE | 5 |
| PMC - SIERRA INC COM | CMN | 69344F106 | 258 | 34,177 | SH | SOLE | 2 |
| PNC FINANCIAL SERVIC | CMN | 693475105 | 367 | 6,151 | SH | DEFINED | 1 |
| PNC FINANCIAL SERVIC | CMN | 693475105 | 736 | 12,341 | SH | DEFINED | 2 |
| PNC FINANCIAL SERVIC | CMN | 693475105 | 710 | 11,916 | SH | DEFINED | 2 |
| PNM RESOURCES INC CO | CMN | 69349H107 | 181 | 10,809 | SH | SOLE | 2 |
| POLARIS INDUSTRIES I | CMN | 731068102 | 261 | 2,346 | SH | SOLE | 2 |
| POLYCOM INC COM USD0 | CMN | 73172K104 | 1,536 | 23,888 | SH | DEFINED | 1 |
| POLYCOM INC COM USD0 | CMN | 73172K104 | 37 | 581 | SH | DEFINED | 2 |
| POLYONE CORP COM USD | CMN | 73179P106 | 155 | 10,044 | SH | SOLE | 2 |
| POLYPORE INTERNATION | CMN | 73179V103 | 871 | 12,834 | SH | SOLE | 2 |
| POPULAR INC COM USD6 | CMN | 733174106 | 669 | 241,981 | SH | SOLE | 2 |
| POTASH CORP OF SASKA | CMN | 73755L107 | 101 | 1,777 | SH | DEFINED | 2 |
| POTASH CORP OF SASKA | CMN | 73755L107 | 5,371 | 94,260 | SH | DEFINED | 2 |
| POWER-ONE, INC COM U | CMN | 73930R102 | 83 | 10,248 | SH | SOLE | 2 |
| POWERSHARES DB COMMO | CMN | 73935S105 | 492 | 17,000 | SH | SOLE | 1 |
| POWERWAVE TECHNOLOGI | CMN | 739363109 | 272 | 92,124 | SH | SOLE | 2 |
| PPG INDUSTRIES INC C | CMN | 693506107 | 323 | 3,559 | SH | SOLE | 2 |
| PRAXAIR INC COM USD0 | CMN | 74005P104 | 325 | 3,002 | SH | SOLE | 2 |
| PRE PAID LEGAL SVS C | CMN | 740065107 | 1,741 | 26,192 | SH | SOLE | 1 |
| PRICE (T. ROWE) COM | CMN | 74144T108 | 612 | 10,138 | SH | SOLE | 2 |
| PRICELINE.COM INC CO | CMN | 741503403 | 3,374 | 6,590 | SH | DEFINED | 1 |
| PRICELINE.COM INC CO | CMN | 741503403 | 2,560 | 5,000 | SH | DEFINED | 2 |
| PRICELINE.COM INC CO | CMN | 741503403 | 7,921 | 15,473 | SH | DEFINED | 2 |
| PRIMEDIA INC COM STK | CMN | 74157K846 | 669 | 94,950 | SH | SOLE | 1 |
| PRIVATEBANCORP INC C | CMN | 742962103 | 512 | 37,085 | SH | SOLE | 2 |
| PROCTER & GAMBLE CO | CMN | 742718109 | 515 | 8,106 | SH | DEFINED | 2 |
| PROCTER & GAMBLE CO | CMN | 742718109 | 4,891 | 76,952 | SH | DEFINED | 2 |
| PROGRESS SOFTWARE CO | CMN | 743312100 | 384 | 15,910 | SH | SOLE | 2 |
| PROGRESSIVE CORP/THE | CMN | 743315103 | 354 | 16,573 | SH | SOLE | 2 |
| PROLOGIS INC COM USD | CMN | 74340W103 | 393 | 10,955 | SH | DEFINED | 2 |
| PROSHARES ULTRA S&P5 | CMN | 74347R107 | 449 | 8,497 | SH | SOLE | 2 |
| PROSHARES ULTRASHORT | CMN | 74347X401 | 756 | 50,000 | SH | SOLE | 2 |
| PROSHARES ULTRASHORT | CMN | 74347X237 | 347 | 6,886 | SH | SOLE | 2 |
| PROSPECT CAPITAL COR | CMN | 74348T102 | 736 | 72,832 | SH | SOLE | 2 |
| PRUDENTIAL FINANCIAL | CMN | 744320102 | 482 | 7,573 | SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

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| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| PUBLIC SERVICE ENTER | CMN | 744573106 | 20 | 600 | SH | DEFINED | 1 |
| PUBLIC SERVICE ENTER | CMN | 744573106 | 1,348 | 41,309 | SH | DEFINED | 2 |
| PULTE GROUP INC COM | CMN | 745867101 | 2,259 | 295,000 | SH | DEFINED | 1 |
| PULTE GROUP INC COM | CMN | 745867101 | 650 | 84,884 | SH | DEFINED | 2 |
| QUALCOMM INC COM USD | CMN | 747525103 | 1,911 | 33,648 | SH | SOLE | 2 |
| QUALITY DISTR INC FL | CMN | 74756M102 | 798 | 61,316 | SH | SOLE | 4 |
| RADIAN GROUP INC COM | CMN | 750236101 | 130 | 30,707 | SH | SOLE | 2 |
| RADIOSHACK CORP COM | CMN | 750438103 | 965 | 72,486 | SH | SOLE | 2 |
| RADWARE LTD COM ILS0 | CMN | M81873107 | 1,009 | 28,969 | SH | SOLE | 2 |
| RAIT INVESTMENT COM | CMN | 749227104 | 130 | 62,200 | SH | SOLE | 2 |
| RALCORP HOLDINGS INC | CMN | 751028101 | 6,493 | 75,000 | SH | DEFINED | 1 |
| RALCORP HOLDINGS INC | CMN | 751028101 | 330 | 3,814 | SH | DEFINED | 2 |
| RAYONIER INC | CMN | 754907103 | 899 | 13,750 | SH | SOLE | 5 |
| RAYTHEON CO COM USD0 | CMN | 755111507 | 536 | 10,763 | SH | SOLE | 2 |
| REGENERON PHARMACEUT | CMN | 75886F107 | 655 | 11,550 | SH | SOLE | 2 |
| REGIONS FINANCIAL CO | CMN | 7591EP100 | 345 | 55,556 | SH | DEFINED | 1 |
| REGIONS FINANCIAL CO | CMN | 7591EP100 | 164 | 26,384 | SH | DEFINED | 2 |
| REGIS CORP COM USD0. | CMN | 758932107 | 1,004 | 65,554 | SH | DEFINED | 1 |
| REGIS CORP COM USD0. | CMN | 758932107 | 17 | 1,119 | SH | DEFINED | 2 |
| RENESOLA LTD ORD SHS | CMN | 75971T103 | 841 | 161,054 | SH | DEFINED | 2 |
| RESEARCH IN MOTION L | CMN | 760975102 | 2,260 | 78,357 | SH | DEFINED | 2 |
| RESEARCH IN MOTION L | CMN | 760975102 | 3,850 | 133,461 | SH | DEFINED | 2 |
| RESMED INC COM USD0. | CMN | 761152107 | 396 | 12,793 | SH | SOLE | 2 |
| RETAIL HOLDERS TRU D | CMN | 76127U101 | 5 | 50 | SH | DEFINED | 1 |
| RETAIL HOLDERS TRU D | CMN | 76127U101 | 196 | 1,800 | SH | DEFINED | 2 |
| REX ENERGY CORP COM | CMN | 761565100 | 109 | 10,661 | SH | SOLE | 2 |
| RIGHTNOW TECHNOLOGIE | CMN | 76657R106 | 794 | 24,500 | SH | DEFINED | 1 |
| RIGHTNOW TECHNOLOGIE | CMN | 76657R106 | 239 | 7,369 | SH | DEFINED | 2 |
| RIO TINTO PLC ORD GB | CMN | 767204100 | 559 | 7,737 | SH | SOLE | 2 |
| RITE AID CORP COM US | CMN | 767754104 | 38 | 28,450 | SH | SOLE | 2 |
| ROCK-TENN CO | CMN | 772739207 | 165 | 2,486 | SH | SOLE | 4 |
| ROCK-TENN CO CLASS'A | CMN | 772739207 | 1,350 | 20,347 | SH | DEFINED | 1 |
| ROCK-TENN CO CLASS'A | CMN | 772739207 | 44 | 662 | SH | DEFINED | 2 |
| ROCKWOOD HLDGS INC C | CMN | 774415103 | 300 | 5,421 | SH | SOLE | 2 |
| ROPER INDUSTRIES INC | CMN | 776696106 | 228 | 2,732 | SH | SOLE | 2 |
| ROSS STORES INC COM | CMN | 778296103 | 358 | 4,473 | SH | DEFINED | 1 |
| ROSS STORES INC COM | CMN | 778296103 | 48 | 598 | SH | DEFINED | 2 |
| ROVI CORP COM USD0.0 | CMN | 779376102 | 946 | 16,500 | SH | DEFINED | 1 |
| ROVI CORP COM USD0.0 | CMN | 779376102 | 459 | 8,000 | SH | DEFINED | 2 |
| ROVI CORP COM USD0.0 | CMN | 779376102 | 9 | 161 | SH | DEFINED | 2 |
| ROWAN COS INC COM US | CMN | 779382100 | 9 | 220 | SH | DEFINED | 1 |
| ROWAN COS INC COM US | CMN | 779382100 | 675 | 17,381 | SH | DEFINED | 2 |
| ROYAL CARIBBEAN CRUI | CMN | V7780T103 | 615 | 16,351 | SH | SOLE | 2 |
| RUBICON TECHNOLOGY I | CMN | 78112T107 | 415 | 24,596 | SH | SOLE | 2 |
| RUE21 INC COM NPV | CMN | 781295100 | 363 | 11,179 | SH | DEFINED | 1 |
| RUE21 INC COM NPV | CMN | 781295100 | 148 | 4,552 | SH | DEFINED | 2 |
| RURAL/METRO CORP COM | CMN | 781748108 | 345 | 20,000 | SH | SOLE | 2 |
| RYDEX MSCI ALL COUNT | CMN | 78355W528 | 4,141 | 100,500 | SH | SOLE | 1 |
| RYDEX MSCI EAFE EQUA | CMN | 78355W544 | 2,676 | 63,100 | SH | SOLE | 1 |
| RYDEX MSCI EMERGING | CMN | 78355W536 | 2,604 | 63,650 | SH | SOLE | 1 |
| SAFE BULKERS INC COM | CMN | Y7388L103 | 92 | 12,032 | SH | SOLE | 2 |
| SAFeway INC COM USD0 | CMN | 786514208 | 257 | 11,000 | SH | DEFINED | 1 |
| SAFeway INC COM USD0 | CMN | 786514208 | 21 | 890 | SH | DEFINED | 2 |
| SAKS INC COM USD0.10 | CMN | 79377W108 | 695 | 62,208 | SH | DEFINED | 2 |
| SAKS INC COM USD0.10 | CMN | 79377W108 | 153 | 13,700 | SH | DEFINED | 2 |
| SALESFORCE.COM INC C | CMN | 79466L302 | 12,685 | 85,144 | SH | DEFINED | 2 |

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|----------------------|-----|-----------|-------|-----------|---------|---|
| SALESFORCE.COM INC C | CMN | 79466L302 | 86 | 575 SH | DEFINED | 2 |
| SALIX | CMN | 795435106 | 1,385 | 34,782 SH | SOLE | 1 |
| SALLY BEAUTY HOLDING | CMN | 79546E104 | 1,508 | 88,235 SH | DEFINED | 1 |
| SALLY BEAUTY HOLDING | CMN | 79546E104 | 9 | 524 SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | COLUMN 7 |
|----------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| SANDISK CORP COM USD | CMN | 80004C101 | 1,847 | 44,500 | SH | DEFINED | 1 |
| SANDISK CORP COM USD | CMN | 80004C101 | 10,142 | 244,392 | SH | DEFINED | 2 |
| SANDISK CORP COM USD | CMN | 80004C101 | 8,400 | 202,414 | SH | DEFINED | 2 |
| SANDRIDGE ENERGY INC | CMN | 80007P307 | 27 | 2,500 | SH | DEFINED | 1 |
| SANDRIDGE ENERGY INC | CMN | 80007P307 | 138 | 12,921 | SH | DEFINED | 2 |
| SANMINA-SCI CORP COM | CMN | 800907206 | 626 | 60,607 | SH | SOLE | 2 |
| SAPIENT CORP COM USD | CMN | 803062108 | 646 | 42,958 | SH | SOLE | 2 |
| SAVVIS INC COM STK U | CMN | 805423308 | 16,189 | 409,530 | SH | SOLE | 2 |
| SBA COMMUNICATIONS C | CMN | 78388J106 | 2,364 | 61,900 | SH | DEFINED | 1 |
| SBA COMMUNICATIONS C | CMN | 78388J106 | 337 | 8,820 | SH | DEFINED | 2 |
| SCHLUMBERGER LTD COM | CMN | 806857108 | 10 | 120 | SH | DEFINED | 1 |
| SCHLUMBERGER LTD COM | CMN | 806857108 | 181 | 2,092 | SH | DEFINED | 2 |
| SCHLUMBERGER LTD COM | CMN | 806857108 | 4,585 | 53,060 | SH | DEFINED | 2 |
| SCHNITZER STEEL 'A' | CMN | 806882106 | 539 | 9,351 | SH | SOLE | 2 |
| SCRIPPS NETWORKS INT | CMN | 811065101 | 229 | 4,685 | SH | SOLE | 2 |
| SEAGATE TECHNOLOGY C | CMN | G7945M107 | 819 | 50,666 | SH | SOLE | 2 |
| SECTOR SPDR TRUST AM | CMN | 81369Y803 | 260 | 10,100 | SH | SOLE | 1 |
| SEI INVESTMENTS CO C | CMN | 784117103 | 1,406 | 62,437 | SH | SOLE | 2 |
| SELECT COMFORT CORP | CMN | 81616X103 | 528 | 29,375 | SH | DEFINED | 1 |
| SELECT COMFORT CORP | CMN | 81616X103 | 15 | 847 | SH | DEFINED | 2 |
| SELECT SECTOR SPDR A | CMN | 81369Y886 | 35,822 | 1,069,818 | SH | DEFINED | 1 |
| SELECT SECTOR SPDR A | CMN | 81369Y886 | 296 | 8,843 | SH | DEFINED | 2 |
| SELECT SECTOR SPDR E | CMN | 81369Y506 | 294 | 3,900 | SH | DEFINED | 1 |
| SELECT SECTOR SPDR E | CMN | 81369Y506 | 188 | 2,500 | SH | DEFINED | 2 |
| SELECT SECTOR SPDR E | CMN | 81369Y506 | 19 | 255 | SH | DEFINED | 2 |
| SELECT SECTOR SPDR H | CMN | 81369Y209 | 306 | 8,607 | SH | SOLE | 2 |
| SEMILEDs CORP/TW COM | CMN | 816645105 | 252 | 39,100 | SH | SOLE | 2 |
| SEMTECH CORP COM STK | CMN | 816850101 | 251 | 9,194 | SH | SOLE | 2 |
| SEQUENOM INC COM STK | CMN | 817337405 | 229 | 30,377 | SH | SOLE | 2 |
| Shanda Games Limited | CMN | 81941U105 | 7,000 | 1,073,620 | SH | SOLE | 3 |
| SHANDA GAMES LTD 'A' | CMN | 81941U105 | 614 | 94,218 | SH | DEFINED | 2 |
| SHAW GROUP INC/THE C | CMN | 820280105 | 217 | 7,200 | SH | SOLE | 2 |
| SHERWIN-WILLIAMS CO/ | CMN | 824348106 | 256 | 3,049 | SH | SOLE | 2 |
| SHIRE PLC ORD GBPO.0 | CMN | 82481R106 | 428 | 4,548 | SH | SOLE | 2 |
| SHUFFLE MASTER INC C | CMN | 825549108 | 141 | 15,034 | SH | DEFINED | 2 |
| SIEMENS AG NPV(REGD) | CMN | 826197501 | 461 | 3,350 | SH | SOLE | 2 |
| SIGNET JEWELERS LTD | CMN | G81276100 | 5,559 | 118,754 | SH | DEFINED | 1 |
| SIGNET JEWELERS LTD | CMN | G81276100 | 42 | 887 | SH | DEFINED | 2 |
| SILICON IMAGE INC CO | CMN | 82705T102 | 208 | 32,168 | SH | SOLE | 2 |
| SILICONWARE PRECISIO | CMN | 827084864 | 810 | 130,205 | SH | SOLE | 2 |
| SILVER WHEATON CORP | CMN | 828336107 | 1,949 | 59,048 | SH | SOLE | 2 |
| SILVERCORP METALS IN | CMN | 82835P103 | 444 | 47,324 | SH | SOLE | 2 |
| SIMON PROPERTY GROUP | CMN | 828806109 | 554 | 4,764 | SH | SOLE | 2 |
| SINA CORP/CHINA ORD | CMN | G81477104 | 388 | 3,723 | SH | SOLE | 2 |

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|----------------------|-----|-----------|--------|-----------|----|---------|---|
| SIRIUS XM RADIO INC | CMN | 82967N108 | 43 | 19,702 | SH | DEFINED | 1 |
| SIRIUS XM RADIO INC | CMN | 82967N108 | 12,380 | 5,659,500 | SH | DEFINED | 2 |
| SIRIUS XM RADIO INC | CMN | 82967N108 | 734 | 335,339 | SH | DEFINED | 2 |
| SIRONA DENTAL SYSTEM | CMN | 82966C103 | 954 | 17,963 | SH | SOLE | 2 |
| SIX FLAGS ENTMT CORP | CMN | 83001A102 | 4,845 | 129,369 | SH | SOLE | 4 |
| SM ENERGY CO COM USD | CMN | 78454L100 | 10 | 130 | SH | DEFINED | 1 |
| SM ENERGY CO COM USD | CMN | 78454L100 | 235 | 3,200 | SH | DEFINED | 2 |
| SMART MODULAR COM ST | CMN | G82245104 | 1,001 | 109,300 | SH | DEFINED | 1 |
| SMART MODULAR COM ST | CMN | G82245104 | 1,978 | 216,054 | SH | DEFINED | 2 |
| SOHU.COM INC COM USD | CMN | 83408W103 | 2,210 | 30,584 | SH | SOLE | 2 |
| SONIC CORP COM USD0. | CMN | 835451105 | 885 | 83,316 | SH | SOLE | 2 |
| SONY CORP NPV | CMN | 835699307 | 228 | 8,654 | SH | SOLE | 2 |
| SOTHEBY'S COM STK US | CMN | 835898107 | 1,022 | 23,500 | SH | DEFINED | 1 |
| SOTHEBY'S COM STK US | CMN | 835898107 | 254 | 5,836 | SH | DEFINED | 2 |
| SOUTHERN CO COM USD5 | CMN | 842587107 | 575 | 14,252 | SH | DEFINED | 2 |
| SOUTHERN CO COM USD5 | CMN | 842587107 | 108 | 2,684 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|----------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| SOUTHERN UNION CO CO | CMN | 844030106 | 11 | 270 | SH | DEFINED | 1 |
| SOUTHERN UNION CO CO | CMN | 844030106 | 5,256 | 130,900 | SH | DEFINED | 2 |
| SOUTHWEST AIRLINES C | CMN | 844741108 | 231 | 20,195 | SH | SOLE | 2 |
| SOUTHWESTERN ENERGY | CMN | 845467109 | 13 | 300 | SH | DEFINED | 1 |
| SOUTHWESTERN ENERGY | CMN | 845467109 | 385 | 8,990 | SH | DEFINED | 2 |
| SPDR GOLD SHARES GOL | CMN | 78463V107 | 56,917 | 389,841 | SH | SOLE | 2 |
| SPDR KBW BANK ETF KB | CMN | 78464A797 | 6,445 | 268,700 | SH | DEFINED | 1 |
| SPDR KBW BANK ETF KB | CMN | 78464A797 | 3,161 | 131,800 | SH | DEFINED | 2 |
| SPDR S&P 500 ETF | CMN | 78462F103 | 113 | 856 | SH | SOLE | 3 |
| SPDR S&P 500 ETF TRU | CMN | 78462F103 | 17,263 | 130,810 | SH | DEFINED | 2 |
| SPDR S&P 500 ETF TRU | CMN | 78462F103 | 18,422 | 139,595 | SH | DEFINED | 2 |
| SPDR S&P INTERNATION | CMN | 78463X681 | 3,338 | 100,000 | SH | SOLE | 1 |
| SPECTRA ENERGY CORP | CMN | 847560109 | 4 | 160 | SH | DEFINED | 1 |
| SPECTRA ENERGY CORP | CMN | 847560109 | 213 | 7,774 | SH | DEFINED | 2 |
| SPECTRUM BRANDS HOLD | CMN | 84763R101 | 994 | 31,064 | SH | SOLE | 1 |
| SPRINT NEXTEL CORP C | CMN | 852061100 | 1,157 | 214,607 | SH | SOLE | 2 |
| SPROTT PHYSICAL GOLD | CMN | 85207H104 | 156 | 11,958 | SH | SOLE | 2 |
| SRA INTERNATIONAL IN | CMN | 78464R105 | 1,858 | 60,100 | SH | DEFINED | 1 |
| SRA INTERNATIONAL IN | CMN | 78464R105 | 18,765 | 606,859 | SH | DEFINED | 2 |
| ST JUDE MEDICAL INC | CMN | 790849103 | 31 | 660 | SH | DEFINED | 1 |
| ST JUDE MEDICAL INC | CMN | 790849103 | 485 | 10,160 | SH | DEFINED | 2 |
| STAR SCIENTIFIC INC | CMN | 85517P101 | 166 | 36,898 | SH | SOLE | 2 |
| STARWOOD HOTELS & RE | CMN | 85590A401 | 316 | 5,633 | SH | SOLE | 2 |
| STATE STREET CORP CO | CMN | 857477103 | 368 | 8,163 | SH | SOLE | 2 |
| STEEL DYNAMICS INC | CMN | 858119100 | 1,909 | 117,500 | SH | DEFINED | 1 |
| STEEL DYNAMICS INC C | CMN | 858119100 | 15 | 949 | SH | DEFINED | 2 |
| STERLING BANCSHARES | CMN | 858907108 | 9,049 | 1,109,400 | SH | DEFINED | 1 |
| STERLING BANCSHARES | CMN | 858907108 | 67 | 8,162 | SH | DEFINED | 2 |
| STILLWATER MNG COM U | CMN | 86074Q102 | 22 | 1,000 | SH | DEFINED | 1 |
| STILLWATER MNG COM U | CMN | 86074Q102 | 442 | 20,075 | SH | DEFINED | 2 |
| STRAYER EDUCATION IN | CMN | 863236105 | 238 | 1,887 | SH | SOLE | 2 |
| STREETTRACKS DIVIDEN | CMN | 78464A763 | 211 | 3,900 | SH | SOLE | 1 |

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|----------------------|-----|-----------|--------|-----------|----|---------|---|
| STREETTRACKS SPDR O& | CMN | 78464A730 | 14,695 | 250,000 | SH | SOLE | 1 |
| STRYKER CORP COM USD | CMN | 863667101 | 9 | 160 | SH | DEFINED | 1 |
| STRYKER CORP COM USD | CMN | 863667101 | 242 | 4,130 | SH | DEFINED | 2 |
| SUCCESSFACTORS INC U | CMN | 864596101 | 1,610 | 54,744 | SH | SOLE | 2 |
| SULPHCO INC COM USD0 | CMN | 865378103 | 2 | 67,200 | SH | SOLE | 2 |
| SUNCOR ENERGY INC CO | CMN | 867224107 | 886 | 22,656 | SH | SOLE | 2 |
| SUNPOWER CORP COM US | CMN | 867652109 | 2 | 117 | SH | DEFINED | 1 |
| SunPower Corporation | CMN | 867652109 | 20,000 | 1,034,661 | SH | SOLE | 3 |
| SUNTECH POWER HLDG S | CMN | 86800C104 | 526 | 66,834 | SH | SOLE | 2 |
| SUNTRUST BANKS, INC | CMN | 867914103 | 506 | 19,601 | SH | SOLE | 2 |
| SUPERVALU INC COM US | CMN | 868536103 | 256 | 27,170 | SH | SOLE | 2 |
| SUSQUEHANNA BANCShar | CMN | 869099101 | 1,185 | 148,100 | SH | DEFINED | 1 |
| SUSQUEHANNA BANCShar | CMN | 869099101 | 17 | 2,118 | SH | DEFINED | 2 |
| SWIFT ENERGY CO COM | CMN | 870738101 | 283 | 7,600 | SH | SOLE | 2 |
| SYCAMORE NETWORKS IN | CMN | 871206405 | 856 | 38,513 | SH | SOLE | 1 |
| SYMANTEC CORP COM US | CMN | 871503108 | 77 | 3,896 | SH | DEFINED | 2 |
| Symantec Corporation | CMN | 871503108 | 10,897 | 552,586 | SH | SOLE | 3 |
| SYNAPTICS INC COM US | CMN | 87157D109 | 817 | 31,757 | SH | SOLE | 2 |
| SYNOPSIS INC COM USD | CMN | 871607107 | 524 | 20,369 | SH | SOLE | 2 |
| SYNTROLEUM CORPORATI | CMN | 871630109 | 19 | 13,100 | SH | SOLE | 1 |
| TAIWAN SEMICONDUCTOR | CMN | 874039100 | 72,412 | 5,742,695 | SH | SOLE | 2 |
| TAKE-TWO INTERACTIVE | CMN | 874054109 | 45 | 2,938 | SH | DEFINED | 1 |
| TAKE-TWO INTERACTIVE | CMN | 874054109 | 6,971 | 456,177 | SH | DEFINED | 2 |
| TAKE-TWO INTERACTIVE | CMN | 874054109 | 179 | 11,698 | SH | DEFINED | 2 |
| TALISMAN ENERGY INC | CMN | 87425E103 | 206 | 10,050 | SH | SOLE | 2 |
| TARGET CORP COM STK | CMN | 87612E106 | 23 | 500 | SH | DEFINED | 1 |
| TARGET CORP COM STK | CMN | 87612E106 | 2,868 | 61,138 | SH | DEFINED | 2 |
| TARGET CORP COM STK | CMN | 87612E106 | 241 | 5,140 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| TATA MOTORS LTD INR1 | CMN | 876568502 | 767 | 34,070 | SH | DEFINED | 2 |
| TATA MOTORS LTD INR1 | CMN | 876568502 | 364 | 16,168 | SH | DEFINED | 2 |
| TELECOM CORP OF NEW | CMN | 879278208 | 116 | 11,500 | SH | SOLE | 2 |
| TELECOMMNS SYSTEMS C | CMN | 87929J103 | 241 | 50,000 | SH | SOLE | 2 |
| TELEFLEX INC COM USD | CMN | 879369106 | 3,578 | 58,591 | SH | SOLE | 1 |
| TEMPLE-INLAND INC CO | CMN | 879868107 | 503 | 16,900 | SH | DEFINED | 1 |
| TEMPLE-INLAND INC CO | CMN | 879868107 | 12 | 400 | SH | DEFINED | 2 |
| TEMPUR-PEDIC INTERNA | CMN | 88023U101 | 244 | 3,602 | SH | SOLE | 2 |
| TENARIS S.A. USD1 | CMN | 88031M109 | 514 | 11,230 | SH | SOLE | 2 |
| TENET HEALTHCARE COR | CMN | 88033G100 | 12,715 | 2,039,565 | SH | DEFINED | 1 |
| TENET HEALTHCARE COR | CMN | 88033G100 | 443 | 71,110 | SH | DEFINED | 2 |
| TERADATA CORP COM ST | CMN | 88076W103 | 1,559 | 25,893 | SH | DEFINED | 1 |
| TERADATA CORP COM ST | CMN | 88076W103 | 139 | 2,306 | SH | DEFINED | 2 |
| TERADYNE INC COM USD | CMN | 880770102 | 10,596 | 716,080 | SH | DEFINED | 2 |
| TERADYNE INC COM USD | CMN | 880770102 | 274 | 18,491 | SH | DEFINED | 2 |
| TEREX CORP COM USD0. | CMN | 880779103 | 186 | 6,550 | SH | DEFINED | 1 |
| TEREX CORP COM USD0. | CMN | 880779103 | 437 | 15,352 | SH | DEFINED | 2 |
| TERNIUM SA COM NPV | CMN | 880890108 | 793 | 26,860 | SH | SOLE | 2 |
| TESORO CORP COM USD0 | CMN | 881609101 | 6 | 280 | SH | DEFINED | 1 |
| TESORO CORP COM USD0 | CMN | 881609101 | 1,076 | 46,968 | SH | DEFINED | 2 |

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|----------------------|-----|-----------|--------|---------|----|---------|---|
| TEXAS INSTRUMENTS IN | CMN | 882508104 | 205 | 6,241 | SH | SOLE | 2 |
| TEXTRON INC COM STK | CMN | 883203101 | 249 | 10,538 | SH | DEFINED | 1 |
| TEXTRON INC COM STK | CMN | 883203101 | 11,463 | 485,521 | SH | DEFINED | 2 |
| TEXTRON INC COM STK | CMN | 883203101 | 67 | 2,853 | SH | DEFINED | 2 |
| TGC INDUSTRIES INC C | CMN | 872417308 | 803 | 125,699 | SH | SOLE | 1 |
| THE CHARLES SCHWAB C | CMN | 808513105 | 247 | 15,002 | SH | SOLE | 2 |
| THE GOLDMAN SACHS GR | CMN | 38141G104 | 343 | 2,574 | SH | DEFINED | 1 |
| THE GOLDMAN SACHS GR | CMN | 38141G104 | 8,643 | 64,936 | SH | DEFINED | 2 |
| THE GOLDMAN SACHS GR | CMN | 38141G104 | 4,022 | 30,223 | SH | DEFINED | 2 |
| THORATEC CORP COM NP | CMN | 885175307 | 918 | 27,989 | SH | SOLE | 2 |
| THQ INC COM USD0.01 | CMN | 872443403 | 8 | 2,100 | SH | DEFINED | 1 |
| THQ INC COM USD0.01 | CMN | 872443403 | 45 | 12,412 | SH | DEFINED | 2 |
| TICC CAPITAL CORP CO | CMN | 87244T109 | 143 | 14,856 | SH | SOLE | 2 |
| TIFFANY & CO COM USD | CMN | 886547108 | 41 | 526 | SH | DEFINED | 2 |
| TIFFANY & CO COM USD | CMN | 886547108 | 1,250 | 15,920 | SH | DEFINED | 2 |
| TIME WARNER CABLE IN | CMN | 88732J207 | 360 | 4,610 | SH | DEFINED | 1 |
| TIME WARNER CABLE IN | CMN | 88732J207 | 889 | 11,394 | SH | DEFINED | 2 |
| TIME WARNER INC USD0 | CMN | 887317303 | 217 | 5,975 | SH | SOLE | 2 |
| TJX COS INC COM USD1 | CMN | 872540109 | 765 | 14,570 | SH | SOLE | 2 |
| TOTAL SA EUR2.5 | CMN | 89151E109 | 4,431 | 76,607 | SH | SOLE | 2 |
| TOYOTA MOTOR CORP NP | CMN | 892331307 | 1,353 | 16,412 | SH | SOLE | 2 |
| TRACTOR SUPPLY CO CO | CMN | 892356106 | 306 | 4,577 | SH | SOLE | 2 |
| TRANSDIGM GROUP INC | CMN | 893641100 | 266 | 2,918 | SH | SOLE | 2 |
| TRANSGLOBE ENERGY CO | CMN | 893662106 | 117 | 10,275 | SH | SOLE | 2 |
| TRANSOCEAN LTD CHF15 | CMN | H8817H100 | 2,570 | 39,810 | SH | DEFINED | 2 |
| TRANSOCEAN LTD CHF15 | CMN | H8817H100 | 4,187 | 64,846 | SH | DEFINED | 2 |
| TRAVELERS COS INC/TH | CMN | 89417E109 | 508 | 8,700 | SH | DEFINED | 1 |
| TRAVELERS COS INC/TH | CMN | 89417E109 | 218 | 3,735 | SH | DEFINED | 2 |
| TRIMBLE NAVIGATION L | CMN | 896239100 | 1,708 | 43,095 | SH | SOLE | 2 |
| TRINA SOLAR LIMITE A | CMN | 89628E104 | 754 | 33,611 | SH | SOLE | 2 |
| TRINITY INDUSTRIES I | CMN | 896522109 | 1,083 | 31,055 | SH | DEFINED | 1 |
| TRINITY INDUSTRIES I | CMN | 896522109 | 19 | 535 | SH | DEFINED | 2 |
| TRIQUINT SEMICONDUCT | CMN | 89674K103 | 2,811 | 275,878 | SH | SOLE | 2 |
| TTM TECHNOLOGIES INC | CMN | 87305R109 | 842 | 52,593 | SH | DEFINED | 1 |
| TTM TECHNOLOGIES INC | CMN | 87305R109 | 24 | 1,493 | SH | DEFINED | 2 |
| TUPPERWARE BRANDS CO | CMN | 899896104 | 380 | 5,639 | SH | SOLE | 2 |
| TW TELECOM INC CLASS | CMN | 87311L104 | 2,818 | 137,266 | SH | DEFINED | 1 |
| TW TELECOM INC CLASS | CMN | 87311L104 | 149 | 7,249 | SH | DEFINED | 2 |
| TYCO INTERNATIONAL L | CMN | H89128104 | 5,470 | 110,645 | SH | SOLE | 2 |
| ULTRASHORT LEHMAN 7- | CMN | 74347R313 | 389 | 10,000 | SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | COLUMN 7 | |
|----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| UNION PACIFIC CORP C | CMN | 907818108 | 1,178 | 11,287 | SH | SOLE | 2 |
| UNITED CONTINENTAL H | CMN | 910047109 | 269 | 11,900 | SH | DEFINED | 1 |
| UNITED CONTINENTAL H | CMN | 910047109 | 238 | 10,532 | SH | DEFINED | 2 |
| UNITED MICROELECTRON | CMN | 910873405 | 28,209 | 11,008,500 | SH | SOLE | 2 |
| UNITED PARCEL SERVIC | CMN | 911312106 | 682 | 9,344 | SH | SOLE | 2 |
| UNITED STATES NATURA | CMN | 912318110 | 1,928 | 175,000 | SH | DEFINED | 1 |
| UNITED STATES NATURA | CMN | 912318110 | 3,613 | 327,952 | SH | DEFINED | 2 |
| UNITED STATES STEEL | CMN | 912909108 | 28 | 600 | SH | DEFINED | 1 |

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|----------------------|-----|-----------|--------|-----------|----|---------|---|
| UNITED STATES STEEL | CMN | 912909108 | 2,039 | 44,273 | SH | DEFINED | 2 |
| UNITED TECHNOLOGIES | CMN | 913017109 | 362 | 4,091 | SH | DEFINED | 1 |
| UNITED TECHNOLOGIES | CMN | 913017109 | 2,103 | 23,761 | SH | DEFINED | 2 |
| UNITED THERAPEUTICS | CMN | 91307C102 | 256 | 4,653 | SH | DEFINED | 1 |
| UNITED THERAPEUTICS | CMN | 91307C102 | 3,006 | 54,558 | SH | DEFINED | 2 |
| UNITEDHEALTH GROUP I | CMN | 91324P102 | 24 | 470 | SH | DEFINED | 1 |
| UNITEDHEALTH GROUP I | CMN | 91324P102 | 343 | 6,651 | SH | DEFINED | 2 |
| UNUM GROUP COM USD0. | CMN | 91529Y106 | 221 | 8,689 | SH | SOLE | 2 |
| URBAN OUTFITTERS INC | CMN | 917047102 | 520 | 18,454 | SH | SOLE | 2 |
| US AIRWAYS GROUP INC | CMN | 90341W108 | 322 | 36,104 | SH | SOLE | 2 |
| US BANCORP INC COM U | CMN | 902973304 | 361 | 14,159 | SH | DEFINED | 1 |
| US BANCORP INC COM U | CMN | 902973304 | 454 | 17,811 | SH | DEFINED | 2 |
| US GOLD CORP COM USD | CMN | 912023207 | 12 | 2,000 | SH | DEFINED | 1 |
| US GOLD CORP COM USD | CMN | 912023207 | 100 | 16,571 | SH | DEFINED | 2 |
| USEC INC COM USD0.10 | CMN | 90333E108 | 124 | 36,979 | SH | SOLE | 2 |
| U-STORE-IT TRUST COM | CMN | 91274F104 | 139 | 13,215 | SH | SOLE | 2 |
| UTD RENTALS INC COM | CMN | 911363109 | 1,898 | 74,700 | SH | DEFINED | 1 |
| UTD RENTALS INC COM | CMN | 911363109 | 106 | 4,183 | SH | DEFINED | 2 |
| UTD STS OIL FD LP UN | CMN | 91232N108 | 591 | 15,873 | SH | SOLE | 2 |
| UTI WORLDWIDE INC CO | CMN | G87210103 | 200 | 10,136 | SH | SOLE | 2 |
| VALE SA COM NPV | CMN | 91912E105 | 22,752 | 712,052 | SH | DEFINED | 2 |
| VALE SA COM NPV | CMN | 91912E105 | 3,044 | 95,272 | SH | DEFINED | 2 |
| VALEANT PHARMACEUTIC | CMN | 91911K102 | 250 | 4,819 | SH | SOLE | 2 |
| VALERO ENERGY CORP C | CMN | 91913Y100 | 5,874 | 229,771 | SH | DEFINED | 1 |
| VALERO ENERGY CORP C | CMN | 91913Y100 | 1,630 | 63,747 | SH | DEFINED | 2 |
| VALUECLICK INC COM S | CMN | 92046N102 | 445 | 26,789 | SH | SOLE | 2 |
| VANGUARD MSCI EMERGI | CMN | 922042858 | 331 | 6,800 | SH | SOLE | 1 |
| VARIAN SEMICONDUCT C | CMN | 922207105 | 22,363 | 364,000 | SH | SOLE | 2 |
| VCA ANTECH INC COM U | CMN | 918194101 | 248 | 11,700 | SH | DEFINED | 1 |
| VCA ANTECH INC COM U | CMN | 918194101 | 612 | 28,877 | SH | DEFINED | 2 |
| VEECO INSTRUMENTS IN | CMN | 922417100 | 2,209 | 45,629 | SH | SOLE | 2 |
| VENTAS INC COM USD0. | CMN | 92276F100 | 240 | 4,551 | SH | SOLE | 2 |
| VERA BRADLEY INC COM | CMN | 92335C106 | 735 | 19,244 | SH | SOLE | 2 |
| VERIFONE SYSTEMS INC | CMN | 92342Y109 | 570 | 12,850 | SH | DEFINED | 1 |
| VERIFONE SYSTEMS INC | CMN | 92342Y109 | 210 | 4,729 | SH | DEFINED | 2 |
| VERIGY LTD COM NPV | CMN | Y93691106 | 16,316 | 1,090,000 | SH | SOLE | 2 |
| VERISIGN INC COM USD | CMN | 92343E102 | 2,229 | 66,633 | SH | SOLE | 2 |
| VERISK ANALYTICS INC | CMN | 92345Y106 | 735 | 21,235 | SH | SOLE | 2 |
| VERIZON COMMUNICATIO | CMN | 92343V104 | 6,780 | 182,102 | SH | DEFINED | 1 |
| VERIZON COMMUNICATIO | CMN | 92343V104 | 35 | 943 | SH | DEFINED | 2 |
| VERIZON COMMUNICATIO | CMN | 92343V104 | 1,146 | 30,789 | SH | DEFINED | 2 |
| VERTEX PHARMACEUTICA | CMN | 92532F100 | 4,294 | 82,609 | SH | DEFINED | 1 |
| VERTEX PHARMACEUTICA | CMN | 92532F100 | 121 | 2,322 | SH | DEFINED | 2 |
| VF CORP COM NPV | CMN | 918204108 | 746 | 6,876 | SH | SOLE | 2 |
| VIACOM INC NEW CL'B' | CMN | 92553P201 | 4,716 | 92,477 | SH | DEFINED | 1 |
| VIACOM INC NEW CL'B' | CMN | 92553P201 | 25 | 489 | SH | DEFINED | 2 |
| VIRGIN MEDIA INC COM | CMN | 92769L101 | 69 | 2,300 | SH | DEFINED | 1 |
| VIRGIN MEDIA INC COM | CMN | 92769L101 | 3,611 | 120,632 | SH | DEFINED | 2 |
| VIROPHARMA INC COM U | CMN | 928241108 | 676 | 36,519 | SH | SOLE | 2 |
| VISA INC USD0.0001 | CMN | 92826C839 | 13 | 155 | SH | DEFINED | 1 |
| VISA INC USD0.0001 | CMN | 92826C839 | 4,455 | 52,873 | SH | DEFINED | 2 |
| VISA INC USD0.0001 | CMN | 92826C839 | 2,336 | 27,723 | SH | DEFINED | 2 |

FORM 13F INFORMATION TABLE

----- COLUMN 1 COLUMN 2 COLUMN 3 COLUMN 4 COLUMN 5 COLUMN 6 COLUMN 7 -----

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| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ CALL | INVESTMENT DISCRETION | OTHER MANAGER |
|----------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|------------------|
| Visa Inc. | CMN | 92826C839 | 2,765 | 32,815 | SH | SOLE | 3 |
| VISHAY INTERTECHNOLO | CMN | 928298108 | 174 | 11,560 | SH | SOLE | 2 |
| VISTAPRINT NV COM ST | CMN | N93540107 | 400 | 8,366 | SH | SOLE | 2 |
| VODAFONE GROUP PLC O | CMN | 92857W209 | 11,258 | 421,360 | SH | SOLE | 2 |
| W&T OFFSHORE INC COM | CMN | 92922P106 | 2,998 | 114,739 | SH | DEFINED | 1 |
| W&T OFFSHORE INC COM | CMN | 92922P106 | 38 | 1,444 | SH | DEFINED | 2 |
| WALGREEN CO COM USD0 | CMN | 931422109 | 1,519 | 35,787 | SH | SOLE | 2 |
| WAL-MART STORES INC | CMN | 931142103 | 11 | 200 | SH | DEFINED | 1 |
| WAL-MART STORES INC | CMN | 931142103 | 2,237 | 42,098 | SH | DEFINED | 2 |
| WALT DISNEY CO/THE D | CMN | 254687106 | 4,292 | 109,925 | SH | DEFINED | 1 |
| WALT DISNEY CO/THE D | CMN | 254687106 | 1,451 | 37,159 | SH | DEFINED | 2 |
| WALTER ENERGY INC CO | CMN | 93317Q105 | 23 | 200 | SH | DEFINED | 1 |
| WALTER ENERGY INC CO | CMN | 93317Q105 | 281 | 2,427 | SH | DEFINED | 2 |
| WARNER MUSIC GROUP C | CMN | 934550104 | 1,006 | 122,400 | SH | DEFINED | 1 |
| WARNER MUSIC GROUP C | CMN | 934550104 | 2 | 200 | SH | DEFINED | 2 |
| WASTE CONNECTIONS IN | CMN | 941053100 | 236 | 7,447 | SH | SOLE | 2 |
| WASTE MANAGEMENT INC | CMN | 94106L109 | 261 | 7,004 | SH | SOLE | 2 |
| WATERS CORP COM USD0 | CMN | 941848103 | 134 | 1,400 | SH | DEFINED | 1 |
| WATERS CORP COM USD0 | CMN | 941848103 | 92 | 965 | SH | DEFINED | 2 |
| WATSCO INC COM USD0. | CMN | 942622200 | 406 | 5,966 | SH | DEFINED | 2 |
| WATSCO INC COM USD0. | CMN | 942622200 | 111 | 1,628 | SH | DEFINED | 2 |
| WATSON PHARMACEUTICA | CMN | 942683103 | 363 | 5,287 | SH | SOLE | 2 |
| WEATHERFORD INTERNAT | CMN | H27013103 | 558 | 29,752 | SH | SOLE | 2 |
| WEBMD HEALTH CORP CO | CMN | 94770V102 | 371 | 8,150 | SH | DEFINED | 1 |
| WEBMD HEALTH CORP CO | CMN | 94770V102 | 1,731 | 37,987 | SH | DEFINED | 2 |
| WELLPOINT INC COM US | CMN | 94973V107 | 13 | 160 | SH | DEFINED | 1 |
| WELLPOINT INC COM US | CMN | 94973V107 | 19,338 | 245,512 | SH | DEFINED | 2 |
| WELLPOINT INC COM US | CMN | 94973V107 | 225 | 2,858 | SH | DEFINED | 2 |
| WELLS FARGO & CO COM | CMN | 949746101 | 3 | 100 | SH | DEFINED | 1 |
| WELLS FARGO & CO COM | CMN | 949746101 | 562 | 20,036 | SH | DEFINED | 2 |
| WELLS FARGO & CO COM | CMN | 949746101 | 989 | 35,248 | SH | DEFINED | 2 |
| WESTERN REFINING INC | CMN | 959319104 | 284 | 15,716 | SH | SOLE | 2 |
| WHIRLPOOL CORP COM U | CMN | 963320106 | 241 | 2,960 | SH | SOLE | 2 |
| WILLIAMS COS INC COM | CMN | 969457100 | 516 | 17,061 | SH | SOLE | 2 |
| WILLIAMS-SONOMA INC | CMN | 969904101 | 18 | 500 | SH | DEFINED | 1 |
| WILLIAMS-SONOMA INC | CMN | 969904101 | 754 | 20,679 | SH | DEFINED | 2 |
| WORLD ACCEPTANCE COR | CMN | 981419104 | 283 | 4,318 | SH | SOLE | 2 |
| WW GRAINGER INC COM | CMN | 384802104 | 262 | 1,705 | SH | SOLE | 2 |
| WYNDHAM WORLDWIDE CO | CMN | 98310W108 | 8,374 | 248,806 | SH | DEFINED | 2 |
| WYNDHAM WORLDWIDE CO | CMN | 98310W108 | 50 | 1,481 | SH | DEFINED | 2 |
| WYNN RESORTS LTD COM | CMN | 983134107 | 372 | 2,590 | SH | DEFINED | 1 |
| WYNN RESORTS LTD COM | CMN | 983134107 | 4,475 | 31,175 | SH | DEFINED | 2 |
| XCEL ENERGY INC COM | CMN | 98389B100 | 1,502 | 61,801 | SH | DEFINED | 1 |
| XCEL ENERGY INC COM | CMN | 98389B100 | 28 | 1,159 | SH | DEFINED | 2 |
| XEROX CORP COM USD1 | CMN | 984121103 | 141 | 13,559 | SH | SOLE | 2 |
| XILINX INC COM USD0. | CMN | 983919101 | 270 | 7,417 | SH | SOLE | 2 |
| XINYUAN REAL ESTATE | CMN | 98417P105 | 3,584 | 1,638,618 | SH | SOLE | 2 |
| XOMA LTD ORD USD0.00 | CMN | G9825R206 | 36 | 15,300 | SH | SOLE | 2 |
| YAHOO INC COM USD0.0 | CMN | 984332106 | 21,036 | 1,398,058 | SH | DEFINED | 1 |
| YAHOO INC COM USD0.0 | CMN | 984332106 | 2,895 | 192,387 | SH | DEFINED | 2 |
| YAHOO INC COM USD0.0 | CMN | 984332106 | 8,421 | 559,665 | SH | DEFINED | 2 |
| YAMANA GOLD INC COM | CMN | 98462Y100 | 675 | 58,047 | SH | SOLE | 2 |
| YANDEX NV COM NPV | CMN | N97284108 | 641 | 18,050 | SH | SOLE | 2 |
| YINGLI GREEN ENERG A | CMN | 98584B103 | 1,492 | 162,098 | SH | SOLE | 2 |
| YOUKU.COM INC 'ADS'N | CMN | 98742U100 | 341 | 9,942 | SH | SOLE | 2 |
| YRC WORLDWIDE INC US | CMN | 984249300 | 19 | 17,208 | SH | SOLE | 2 |
| YUM! BRANDS INC COM | CMN | 988498101 | 53,653 | 971,371 | SH | DEFINED | 1 |
| YUM! BRANDS INC COM | CMN | 988498101 | 505 | 9,151 | SH | DEFINED | 2 |

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|----------------------|-----|-----------|-----|-----------|------|---|
| ZEBRA TECHNOLOGIES C | CMN | 989207105 | 537 | 12,742 SH | SOLE | 2 |
| ZHONGPIN INC COM STK | CMN | 98952K107 | 507 | 48,329 SH | SOLE | 2 |

FORM 13F INFORMATION TABLE

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | | COLUMN 6 | COLUMN 7 |
|----------------------|----------------|-----------|--------------------|--------------------|-------------------------|--------------------------|------------------|
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | OTHER MANAGER |
| ZOLL MEDICAL CORP CO | CMN | 989922109 | 417 | 7,354 SH | | SOLE | 2 |
| ZORAN CORP COM USD0. | CMN | 98975F101 | 133 | 15,855 SH | | SOLE | 2 |