Edgar Filing: 21ST CENTURY HOLDING CO - Form 4

21ST CENTURY Form 4 August 24, 2011	HOLDING	CO	5		-					
FORM 4	UNITED	STATES					COMMISSIO		PPROVAL 3235-0287	7
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	f no longer ubject to Section 16. Form 4 or Sorm 5 biligations nay continue. See Instruction StateMent of CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									, 5 5
(Print or Type Respo	onses)									
HART CHARLES B JR Sy 21				2. Issuer Name and Ticker or Trading Symbol 21ST CENTURY HOLDING CO [TCHC]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Mon				3. Date of Earliest Transaction (Month/Day/Year) 08/22/2011			X_ Director 10% Owner Officer (give title Other (specify below) below)			
				If Amendment, Date Original iled(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
LAUDERDALE	E LAKES, FI	2 33311					Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	ansaction Date hth/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report or	n a separate line	for each cl	ass of sec	urities benef	-	-				
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.SEC 1474 (9-02)										
	Tabl					posed of, or convertible s	Beneficially Owner securities)	d		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount o
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	(Month/Day/Yea	f)	(Instr. 3 and	4)
				Code V	(A) (D) Date Exercisable	Expiration Date	Title	Amount or Number of Share
Options	\$ 2.45	08/22/2011		А	10,000	08/22/2012 <u>(1)</u>	08/22/2021	Common Stock	10,000
Options	\$ 15.79					12/05/2006 <u>(2)</u>	12/05/2011	Common Stock	10,000
Options	\$ 13.17					12/06/2008 <u>(2)</u>	12/06/2013	Common Stock	500
Options	\$ 12.58					01/30/2009(2)	01/30/2014	Common Stock	4,500
Options	\$ 4.73					01/02/2010(1)	01/02/2019	Common Stock	15,000

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
HART CHARLES B JR 3661 WEST OAKLAND P SUITE 300 LAUDERDALE LAKES, H	Х						
Signatures							
Charles B. Hart, Jr.	08/24/2011						
<pre>**Signature of Reporting Person</pre>	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest $33 \frac{1}{3}\%$ per year.
- (2) The options vest 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.