Melo John Form 4 October 12, 2011

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

Common

Stock

1. Name and Address of Reporting Person \* Melo John

(First) (Middle)

C/O AMYRIS, INC., 5885 HOLLIS ST., SUITE 100

(Street)

AMYRIS, INC. [AMRS] 3. Date of Earliest Transaction

(Month/Day/Year)

10/10/2011

Symbol

4. If Amendment, Date Original Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

\_X\_\_ Director 10% Owner Other (specify

X\_ Officer (give title below) President and CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

EMERYV	ILLE, CA 94608	Form filed by More than One Reporting Person								
(City)	(State)	(Zip) Tal	ole I - Non-	-Derivative	Secu	rities Acqu	ired, Disposed o	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onor Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/10/2011		M(1)	20,000	A	\$ 0.28	20,000	I (2)	John and Mary Melo Family Foundation	
Common Stock	10/10/2011		S <u>(1)</u>	20,000 (3)	D	\$ 17.216	0	I (2)	John and Mary Melo Family Foundation	

D

23,000

(2)

### Edgar Filing: Melo John - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 0.28	10/10/2011		M(1)			20,000	(5)(6)	01/18/2017	Common Stock	20,000
Stock Option (Right to Buy)	\$ 0.28							(5)(6)	01/18/2017	Common Stock	553,730
Stock Option (Right to Buy)	\$ 0.28							(5)(6)	01/18/2017	Common Stock	18,539
Stock Option (Right to Buy)	\$ 0.28							(5)(6)	01/18/2017	Common Stock	9,936
Stock Option (Right to Buy)	\$ 0.28							(5)(6)	01/18/2017	Common Stock	9,936
Stock Option (Right to Buy)	\$ 0.28							(5)(6)	01/18/2017	Common Stock	9,936
Stock Option (Right to Buy)	\$ 0.28							(5)(6)	01/18/2017	Common Stock	26,653

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Melo John

C/O AMYRIS, INC. 5885 HOLLIS ST., SUITE 100 X President and CEO

EMERYVILLE, CA 94608

# **Signatures**

/s/ John Melo by Nicholas Khadder, Attorney-in-Fact

10/12/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - \*\*\*RULE 10B5-1 TRADING PLAN TRANSACTION: EACH OF THE FOREGOING OPTION EXERCISE AND STOCK SALES
- (1) WAS EFFECTED PURSUANT TO A RULE 10B5-1 TRADING PLAN ADOPTED BY THE JOHN AND MARY MELO FAMILY FOUNDATION ON SEPTEMBER 9, 2011.\*\*\*
- The shares are directly beneficially held by the John and Mary Melo Family Foundation (the "Foundation"). Mr. Melo is the President of the Foundation and, as such, has voting and/or investment power over the securities held by the Foundation.
- (3) Represents the aggregate of sales effected on the same day at different prices pursuant to the plan in footnote (1).
  - Represents the weighted average sales price per share. The shares sold at prices ranging from \$16.66 to \$17.55 per share. Full
- (4) information regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.
- (5) Immediately exercisable.
- (6) The stock option vested as to 1/5th of the total number of shares subject to the option on October 23, 2007, and thereafter vested and shall vest as to 1/60th of the total number of shares subject to the option in equal monthly installments.
- (7) This stock option was previously reported as directly beneficially owned by Mr. Melo, but was contributed on September 1, 2011 to the Foundation.
- (8) This stock option was previously reported as directly beneficially owned by Mr. Melo, but was contributed on March 18, 2010 to the Melo Family 2010 Distribution Trust.
- (9) This stock option was previously reported as directly beneficially owned by Mr. Melo, but was contributed on April 4, 2011 to the Descendant's Trust #1.
- (10) This stock option was previously reported as directly beneficially owned by Mr. Melo, but was contributed on April 4, 2011 to the Descendant's Trust #2.
- (11) This stock option was previously reported as directly beneficially owned by Mr. Melo, but was contributed on April 4, 2011 to the Descendant's Trust #3.
- (12) This stock option was previously reported as directly beneficially owned by Mr. Melo, but was contributed on April 4, 2011 to the Melo Renewal Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3