

22nd Century Group, Inc.  
Form 4  
August 14, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Moynihan Michael Robert

(Last) (First) (Middle)

9530 MAIN STREET

(Street)

CLARENCE, NY 14031

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
22nd Century Group, Inc. [XXII.OB]

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/13/2013

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Vice President of R&amp;D

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                          | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                   |
| Common<br>Stock                       | 08/13/2013                              |                                                             | S                                    |                                                                            | 5,000                                                                                                              | D<br>\$<br>1.04                                                      | 1,003,934<br>D                                                    |
| Common<br>Stock                       | 08/13/2013                              |                                                             | S                                    |                                                                            | 4,315                                                                                                              | D<br>\$<br>1.06                                                      | 999,619<br>D                                                      |
| Common<br>Stock                       | 08/14/2013                              |                                                             | S                                    |                                                                            | 2,000                                                                                                              | D<br>\$<br>1.06                                                      | 997,619<br>D                                                      |
| Common<br>Stock                       | 08/14/2013                              |                                                             | S                                    |                                                                            | 3,685                                                                                                              | D<br>\$<br>1.07                                                      | 993,934<br>D                                                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    | 8.              |                                  |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|----------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                                     | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Warrant<br>to<br>Purchase<br>(1)                    | \$ 2.4869                                                             |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | 01/25/2011                                                          | 01/25/2016         | Common<br>Stock | 288,022                          |
| Warrant<br>to<br>Purchase<br>(1)                    | \$ 1.2977                                                             |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | 01/25/2011                                                          | 01/25/2016         | Common<br>Stock | 5,722                            |
| Warrant<br>to<br>Purchase<br>(1)                    | \$ 0.6                                                                |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | 05/15/2012                                                          | 05/15/2017         | Common<br>Stock | 150,000                          |
| Stock<br>Option<br>(right to<br>purchase)           | \$ 0.69                                                               |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | 05/18/2012                                                          | 05/18/2022         | Common<br>Stock | 100,000                          |
| Stock<br>Option<br>(right to<br>purchase)           | \$ 0.8                                                                |                                         |                                                             |                                         |                                                                                                                       |                                                                |     | 02/25/2013                                                          | 02/25/2023         | Common<br>Stock | 75,000                           |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |                       |       |
|--------------------------------|---------------|-----------|-----------------------|-------|
|                                | Director      | 10% Owner | Officer               | Other |
|                                |               |           | Vice President of R&D |       |

Moynihan Michael Robert  
9530 MAIN STREET  
CLARENCE, NY 14031

## Signatures

/s/ Thomas L. James, Attorney-in-Fact for Michael R.  
Moynihan

08/14/2013

—Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise price and/or number of shares underlying this security are subject to adjustment as set forth in the applicable warrant agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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