### KEY TECHNOLOGY INC

Form 4

October 03, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

(City)

Stock

1. Name and Address of Reporting Person \* Johnson Steven R

2. Issuer Name and Ticker or Trading Symbol

KEY TECHNOLOGY INC [KTEC]

5. Relationship of Reporting Person(s) to

Issuer

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(Middle)

(Zip)

3. Date of Earliest Transaction

(Check all applicable)

KEY TECHNOLOGY, INC., 150

(Month/Day/Year)

09/30/2013

Director 10% Owner \_\_X\_\_ Other (specify Officer (give title

below) below) Senior Director of Marketing

AVERY STREET (Street)

(State)

10/01/2013

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

D

WALLA WALLA, WA 99362

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D) Beneficially (D) or Beneficial Indirect (I) Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 09/30/2013 F 282 (1) D 12,860 D 13.8 Stock Common 2,152 10/01/2013 \$0 15,012 D A (2) Stock Common 4,370

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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19,382

\$0

SEC 1474 (9-02)

### Edgar Filing: KEY TECHNOLOGY INC - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Da	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date Expiration Exercisable Date	Expiration	m: .1	or		
							Title Number				
				G 1 17	(A) (D)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Johnson Steven R KEY TECHNOLOGY, INC. 150 AVERY STREET WALLA WALLA, WA 99362

Senior Director of Marketing

## **Signatures**

Reporting Person

/s/ Steven R.

Johnson 10/03/2013
\*\*Signature of Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Delivery of shares to Company to satisfy tax withholding obligations in connection with lapse of restriction on 1,031 shares of restricted stock.
- (2) Represents restricted stock award, the restrictions on which shall lapse as to 718 shares on September 30, 2014, 717 shares on September 30, 2015 and 717 shares on September 30, 2016.
- (3) Represents restricted stock award, the restrictions on which shall lapse on September 30, 2016 based on the achievement of certain performance criteria measured over a three year period ended September 30, 2016 and continued employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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