Edgar Filing: ANIKA THERAPEUTICS INC - Form 4

ANIKA THE Form 4 October 04, 2	RAPEUTICS IN	С									
FORM	1								OMB A	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMM Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287		
Check this if no long	~*	DOX									
subject to	SIAIEM	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								2005 average	
Section 16 Form 4 or	Section 16. SECURITIES							burden hou	rs per 0.5		
Form 5									response	0.5	
obligation may conti <i>See</i> Instru 1(b).	nue. Section 17(a) of the Pu	blic Uti		ing Com	pany	Act of	f 1935 or Section	n		
(Print or Type R	esponses)										
SHEETS JOHN W JR Symbol ANIK			ymbol	er Name and Ticker or Trading A THERAPEUTICS INC]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	· · · ·	liddle) 3.	-	Earliest Tra 19/Year)	insaction			Director X Officer (give below)		o Owner er (specify	
32 WIGGINS AVENUE 10/02/			0/02/20	/2013				Chief Scientific Officer			
				mendment, Date Original /onth/Day/Year)				6. Individual or Joint/Group Filing(Check			
								Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
		7:)						Person			
(City)	(State) (Zip)	Table	I - Non-De	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8)		sposed	of	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
C				Code V	Amount	(D)	Price	(msu. <i>3</i> and 4)			
Common Stock (1)	10/02/2013			А	10,000	А	\$0	10,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of 6. Date Exercisable and orDerivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 E S (1	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 25.46	10/02/2013		A	30,000	(2)	10/02/2023	Common Stock	30,000	

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Reporting Owners

Attorney-in-Fact

<u>**</u>Signature of Reporting Person

Reporting Owner Name / Address	Relationships						
F8	Director	10% Owner	Officer	Other			
SHEETS JOHN W JR 32 WIGGINS AVENUE BEDFORD, MA 02173			Chief Scientific Officer				
Signatures							
/s/ Sylvia Cheung,		10/04/2013					

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock award vests in four equal annual installments beginning October 2, 2014
- (2) The stock options vest in four equal installments annually beginning October 2, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.